

Attitude maneuvers avoiding forbidden directions

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ABSTRACT

Many space missions require the execution of large-angle attitude slews during which stringent pointing constraints must be satisfied. For example, the pointing direction of a space telescope must be kept away from directions to bright objects, maintaining a prescribed safety margin. In this paper we propose an open-loop attitude control algorithm which determines a rest-to-rest maneuver between prescribed attitudes while ensuring that any of an arbitrary number of body-fixed directions of light-sensitive instruments stays clear of any of an arbitrary number of space-fixed directions. The approach is based on an application of a version of Pontryagin's Maximum Principle tailor-made for optimal control problems on Lie groups, and the pointing constraints are ensured by a judicious choice of the cost functional. The existence of up to three first integrals of the resulting system equations is established, depending on the number of light-sensitive and forbidden directions. These first integrals can be exploited in the numerical implementation of the attitude control algorithm, as is shown in the case of one light-sensitive and several forbidden directions. The results of the test cases presented confirm the applicability of the proposed algorithm.

KEYWORDS

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1 Introduction

To point a space telescope from one observation target to the next, large-angle attitude changes are typically required. For thermal and power reasons, but also to prevent straylight from reaching the instruments on board, a number of constraints on the pointing directions for the telescope and possibly other light-sensitive devices must be obeyed during such attitude maneuvers. This raises the issue of planning attitude maneuvers, desirably optimal in some sense, compatible with the pointing constraints. This question has been studied for some time [1–4] and has, in recent years, attracted renewed interest [5–10] due both to new demands from space missions and to progress in control-theoretical methods. In this paper we show that the control-theoretical approach used in Ref. [2] for the case of a single light-sensitive direction and a single forbidden direction can be extended to the case of an arbitrary number of such directions.

Our approach differs from other approaches recently proposed to tackle the problem. It is not a path-planning algorithm as in Refs. [11–14] and hence does not need to cope with the high computational load of such algorithms (especially if fine grids are used) and the necessity of a smoothing algorithm to remove sharp turns from the solutions found. Our approach is geared towards finding an open-loop algorithm rather than a feedback law; in this regard it differs from approaches such as Ref. [1], [15], or [16], which use artificial potential fields and Lyapunov-type feedback controls. In contrast to these approaches, ours does not exhibit the problem of local minima. Moreover, as opposed to solution methods such as the ones in Ref. [11] or [12], our approach is completely independent of any choice of attitude parametrization. In fact, the solution is derived in a coordinate-free way which makes full use of the geometric structure inherent in the problem, and the numerical calculations required can be performed in any system of coordinates (Euler angles,

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Rodrigues parameters, quaternions), thereby avoiding singularities or ambiguities of any such coordinates. Finally, our approach can handle an arbitrary number of forbidden directions and an arbitrary number of telescope axes.

2 Problem formulation

By a coordinate system, we always mean a right-handed orthonormal system. Let (e_1, e_2, e_3) be a space-fixed coordinate system, which is used as a fixed reference frame. Let $(g_1(t), g_2(t), g_3(t))$ be a time-dependent coordinate system rigidly attached to the spacecraft considered, where the components of $g_i(t) \in \mathbb{R}^3$ are taken with respect to the space-fixed system (e_1, e_2, e_3) . The matrix $g(t) = (g_1(t)|g_2(t)|g_3(t))$ with columns $g_i(t)$ is called the *attitude* of the spacecraft at time t ; it is an element of the three-dimensional rotation group $SO(3)$. (The notation “ g ” for the attitude matrix is reminiscent of the word “group” and emphasizes the crucial fact that attitudes are treated as elements of a Lie group.) The (space-referenced) *angular velocity* of the spacecraft at time t is the unique vector $\omega_s(t) \in \mathbb{R}^3$ such that $\dot{g}_i(t) = \omega_s(t) \times g_i(t)$ for all t . This can be rewritten as $\dot{g}(t) = L(\omega_s(t))g(t)$ where in general, given any vector $u \in \mathbb{R}^3$, we write

$$L(u) := \begin{bmatrix} 0 & -u_3 & u_2 \\ u_3 & 0 & -u_1 \\ -u_2 & u_1 & 0 \end{bmatrix} \tag{1}$$

so that $L(u)\xi = u \times \xi$ for all $\xi \in \mathbb{R}^3$. From the point of view of attitude control it is more natural to express the angular velocities (and the torques governing them) in terms of the body-fixed system, because the location of the momentum wheels or gas jets used for attitude control is known in the body-fixed system, and because the matrix expression of the inertia tensor is time-invariant with respect to the body-fixed system, but not generally with respect to the space-fixed system. We thus introduce the *body-referenced angular velocity* $\omega_b(t) \in \mathbb{R}^3$, which is the unique vector $\omega_b(t) = (\omega_1(t), \omega_2(t), \omega_3(t))^T \in \mathbb{R}^3$ such that

$$\begin{aligned} \omega_s(t) &= \omega_1(t)g_1(t) + \omega_2(t)g_2(t) + \omega_3(t)g_3(t) \\ &= g(t)(\omega_1(t)e_1 + \omega_2(t)e_2 + \omega_3(t)e_3) \\ &= g(t)\omega_b(t) \end{aligned} \tag{2}$$

so that $\omega_b(t) = g(t)^{-1}\omega_s(t)$. Then

$$\dot{g} = L(\omega_s)g = L(g\omega_b)g = (gL(\omega_b)g^{-1})g = gL(\omega_b) \tag{3}$$

which implies that the attitude kinematics are given by Eq. (4):

$$\dot{g}(t) = g(t)L(\omega_b(t)) \tag{4}$$

Equation (4) is a differential equation evolving on the rotation group $SO(3)$ which is left-invariant in the sense that if $t \mapsto g(t)$ is a solution of this differential equation, then so is $t \mapsto \gamma g(t)$ for any fixed element $\gamma \in SO(3)$. (Loosely speaking, Eq. (4) is a linear differential equation evolving on the nonlinear space $SO(3)$.) To perform, during a given time-interval $[0, T]$, an attitude maneuver steering the spacecraft from a given initial attitude g_0 to a specified target attitude g_T , we must choose $t \mapsto \omega_b(t)$ in such a way that the solution of Eq. (4) satisfying the initial condition $g(0) = g_0$ also satisfies the target condition $g(T) = g_T$. In addition, we assume that there are unit vectors $d_1, \dots, d_m \in \mathbb{R}^3$, representing the coordinate expressions of forbidden directions with respect to the space-fixed system, and unit vectors $b_1, \dots, b_n \in \mathbb{R}^3$, representing the coordinate expressions of pointing directions of on-board telescopes (or other light-sensitive devices) with respect to the body-fixed system. Note that the pointing direction of the ℓ -th telescope in the space-fixed system is $g(t)b_\ell$, where $1 \leq \ell \leq n$. We assume that each of the telescopes b_ℓ is required to never point towards any of the forbidden directions d_k , so that $d_k \neq g(t)b_\ell$ for all times t and all indices $1 \leq k \leq m$ and $1 \leq \ell \leq n$, preferably with a safety margin. We will interpret the functions $t \mapsto \omega_i(t)$ as control variables. Thus the problem we want to address in this paper is as follows: Given a time interval $[0, T]$, an initial attitude $g_0 \in SO(3)$, a target attitude $g_T \in SO(3)$, forbidden directions with coordinate representations $d_1, \dots, d_m \in \mathbb{R}^3$ in the space-fixed system and on-board telescopes with coordinate expressions $b_1, \dots, b_n \in \mathbb{R}^3$ in the body-fixed system, find a control law $t \mapsto \omega_b(t)$ such that the solution of the initial value problem

$$\dot{g}(t) = g(t)L(\omega_b(t)), \quad g(0) = g_0 \tag{5}$$

satisfies $g(T) = g_T$ and $\langle g(t)b_\ell, d_k \rangle < 1$ for all $t \in [0, T]$ (and preferably even $\langle g(t)b_\ell, d_k \rangle \leq c_{k\ell}$ with given constants $c_{k\ell} < 1$) where $1 \leq k \leq m$ and $1 \leq \ell \leq n$. Note that the constants $c_{k\ell}$ can be chosen to impose safety margins on the pointing constraints: If the ℓ -th telescope is to maintain a minimum angle $\varphi_{k\ell}$ from the k -th forbidden direction, we can choose $c_{k\ell} := \cos(\varphi_{k\ell})$.

3 Motivation of the solution approach

To invoke the power of optimal control theory, we cast our problem as the question of choosing angular velocities $t \mapsto \omega_i(t)$ satisfying Eq. (5) and the conditions thereafter while minimizing an integral $\int_0^T \Psi(g(t), \omega_b(t), t) dt$ where

$$\Psi(g, \omega_b, t) := \sum_{\ell=1}^n \sum_{k=1}^m \chi_{k\ell} (\langle gb_\ell, d_k \rangle) q(t) (\omega_1^2 + \omega_2^2 + \omega_3^2) \tag{6}$$

with a function $q : (0, T) \rightarrow (0, \infty)$ satisfying $q(t) \rightarrow \infty$ as $t \rightarrow 0$ and $t \rightarrow T$ and functions $\chi_{k\ell} : [-1, c_{k\ell}] \rightarrow (0, \infty)$ where $c_{k\ell} \leq 1$ and $\chi_{k\ell}(x) \rightarrow \infty$ as $x \rightarrow c_{k\ell}$. The idea behind this choice of cost functional is as follows:

- using the angular velocities (rather than the torques) as control variables simplifies the dynamics, allowing us to use the elegant theory of invariant control systems on Lie groups;
- the factor $q(t)$ makes nonzero values of the angular velocities at the start and the end of the maneuver prohibitively expensive, ensuring the execution of a rest-to-rest maneuver and hence imposing boundary conditions on the angular velocities which, in a physical sense, are state variables, but are formally used as control variables;
- the quadratic term $\omega_1(t)^2 + \omega_2(t)^2 + \omega_3(t)^2$ ensures a “smooth” attitude slew and is easy to handle mathematically;
- since $\langle gb_\ell, d_k \rangle$ is the cosine of the angle between the ℓ -th telescope direction and the k -th forbidden direction, the factors involving the functions $\chi_{k\ell}$ make close approaches of any of the space telescopes to any of the forbidden directions prohibitively expensive, thereby ensuring abidance by the constraints (with a safety margin which can be controlled by the choice of the values $c_{k\ell}$).

We note that the algorithm can be adapted to the case of nonzero angular velocities at the start and at the end of the maneuver by simply replacing the term $\omega_1(t)^2 + \omega_2(t)^2 + \omega_3(t)^2$ in the cost functional by a term of the form $(\omega_1(t) - u_1(t))^2 + (\omega_2(t) - u_2(t))^2 + (\omega_3(t) - u_3(t))^2$ with suitably specified functions u_i . We also note that no optimization with respect to fuel efficiency, magnitude of torques, or maneuver duration is involved; torque constraints need to be implicitly incorporated by specifying a sufficiently large maneuver duration T . Thus optimal control theory is used as a means to an end rather than as a tool to satisfy a prescribed performance

criterion. More specifically, the problem is formally recast as an optimal control problem in standard form:

$$\begin{aligned} \dot{g}(t) &= g(t)L(\omega_b(t)), \quad g(0) = g_0, \quad g(T) = g_T, \\ \min &\left\{ \int_0^T \Psi(g, \omega_b, t) dt \right\} \end{aligned} \tag{7}$$

4 Implementation of the solution

4.1 Application of Pontryagin’s Maximum Principle

To solve the above optimal control problem, we invoke a version of Pontryagin’s Maximum Principle tailored to invariant control systems on Lie groups [17, 18] which yields the result that if $t \mapsto \omega_b(t)$ is an optimal control steering the system $\dot{g} = gL(\omega_b)$ between given attitudes over a time interval $[0, T]$ while minimizing $\int_0^T \Psi(g, \omega_b, t) dt$ then, along the optimal trajectory $t \mapsto (g(t), \omega_b(t), t)$, we must have

$$\frac{d}{dt} \left[\frac{\partial \Psi}{\partial \omega_i} \right] = \frac{\partial \Psi}{\partial g} [E_i] \quad \text{for } 1 \leq i \leq 3 \tag{8}$$

where

$$E_1 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{bmatrix}, \quad E_2 = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{bmatrix}, \quad E_3 = \begin{bmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \tag{9}$$

Writing $\Omega_i := q\omega_i$, Eq. (8) reads

$$\begin{aligned} \frac{d}{dt} &\left[2 \sum_{\ell=1}^n \sum_{k=1}^m \chi_{k\ell} (\langle gb_\ell, d_k \rangle) \Omega_i \right] \\ &= \sum_{\ell=1}^n \sum_{k=1}^m \chi'_{k\ell} (\langle gb_\ell, d_k \rangle) \langle gE_i b_\ell, d_k \rangle \frac{\Omega_1^2 + \Omega_2^2 + \Omega_3^2}{q} \end{aligned} \tag{10}$$

Taking the derivative on the left-hand side using the product rule, this becomes

$$\begin{aligned} \dot{\Omega}_i &\left[2 \sum_{\ell=1}^n \sum_{k=1}^m \chi_{k\ell} (\langle gb_\ell, d_k \rangle) \right] \\ &+ \frac{2\Omega_i}{q} \sum_{\ell=1}^n \sum_{k=1}^m \chi'_{k\ell} (\langle gb_\ell, d_k \rangle) \\ &\cdot (\Omega_1 \langle gE_1 b_\ell, d_k \rangle + \Omega_2 \langle gE_2 b_\ell, d_k \rangle + \Omega_3 \langle gE_3 b_\ell, d_k \rangle) \\ &= \sum_{\ell=1}^n \sum_{k=1}^m \chi'_{k\ell} (\langle gb_\ell, d_k \rangle) \langle gE_i b_\ell, d_k \rangle \frac{\Omega_1^2 + \Omega_2^2 + \Omega_3^2}{q} \end{aligned} \tag{11}$$

Writing $\Omega = (\Omega_1, \Omega_2, \Omega_3)^T$ and noticing that

$$\begin{bmatrix} \langle gE_1 b_\ell, d_k \rangle \\ \langle gE_2 b_\ell, d_k \rangle \\ \langle gE_3 b_\ell, d_k \rangle \end{bmatrix} = b_\ell \times (g^{-1} d_k) \tag{12}$$

Eq. (11) becomes

$$\begin{aligned} & \dot{\Omega} \left[2 \sum_{\ell=1}^n \sum_{k=1}^m \chi_{k\ell} (\langle gb_\ell, d_k \rangle) \right] \\ & + \frac{2\Omega}{q} \sum_{\ell=1}^n \sum_{k=1}^m \chi'_{k\ell} (\langle gb_\ell, d_k \rangle) \langle \Omega, b_\ell \times (g^{-1}d_k) \rangle \\ & = \sum_{\ell=1}^n \sum_{k=1}^m \chi'_{k\ell} (\langle gb_\ell, d_k \rangle) \frac{\Omega_1^2 + \Omega_2^2 + \Omega_3^2}{q} (b_\ell \times (g^{-1}d_k)) \end{aligned} \tag{13}$$

Introducing the vector-valued function

$$\Phi := \frac{\sum_{\ell=1}^n \sum_{k=1}^m \chi'_{k\ell} (\langle gb_\ell, d_k \rangle) \cdot (b_\ell \times (g^{-1}d_k))}{2q \sum_{\ell=1}^n \sum_{k=1}^m \chi_{k\ell} (\langle gb_\ell, d_k \rangle)} \tag{14}$$

Eq. (13) reads

$$\dot{\Omega} + 2\langle \Phi, \Omega \rangle \Omega = \Phi \|\Omega\|^2 \tag{15}$$

Thus by eliminating the adjoint variables from Pontryagin’s Maximum Principle, we arrived at a differential equation which any optimal control $t \mapsto \Omega(t)$ and resulting optimal trajectory $t \mapsto g(t)$ must satisfy. Rewriting Eq. (4) as $\dot{g} = q^{-1}gL(\Omega)$, we thus obtain a coupled system of differential equations for the functions $t \mapsto g(t)$ and $t \mapsto \Omega(t)$ for which a solution satisfying the boundary conditions $g(0) = g_0$ and $g(T) = g_T$ is sought.

4.2 Existence of first integrals

Rather than immediately solving this boundary value problem numerically, we want to investigate whether or not the system of differential equations obtained in this way admits first integrals (whose existence could then be used to reduce the numerical load). As will become apparent in a moment, such first integrals can be obtained from solutions of the linear differential equation

$$\dot{X} + 2\langle \Phi, \Omega \rangle X = 0 \tag{16}$$

- We claim that $X := \|\Omega\|^2$ is a solution of Eq. (16). To verify this claim, take on both sides of Eq. (15) the inner product with Ω . This yields $\langle \Omega, \dot{\Omega} \rangle + \langle \Phi, \Omega \rangle \|\Omega\|^2 = 0$. Multiplying this equation by the factor 2 results in Eq. (16) with $X = \|\Omega\|^2$.
- We claim that if $n = 1$, i.e., if there is only one telescope direction $b = b_1$ to be considered, then $X := \langle b, \Omega \rangle$ is a solution of Eq. (16). To verify this claim, take on both sides of Eq. (15) the inner product with b . Since $\langle b, \Phi \rangle = 0$, this yields $\langle b, \dot{\Omega} \rangle + 2\langle \Phi, \Omega \rangle \langle b, \Omega \rangle = 0$, which is Eq. (16) with $X = \langle b, \Omega \rangle$.
- We claim that if $m = 1$, i.e., if there is only one forbidden direction $d = d_1$ to be considered,

then $X := \langle g^{-1}d, \Omega \rangle$ is a solution of Eq. (16). To verify this claim, take on both sides of Eq. (15) the inner product with $g^{-1}d$. Since $\langle g^{-1}d, \Omega \rangle = 0$ this yields Eq. (16) with $X = \langle g^{-1}d, \Omega \rangle$ because $\dot{X} = (d/dt)\langle d, g\Omega \rangle = \langle d, \dot{g}\Omega + g\dot{\Omega} \rangle = \langle d, q^{-1}gL(\Omega)\Omega + g\dot{\Omega} \rangle = \langle d, g\dot{\Omega} \rangle = \langle g^{-1}d, \dot{\Omega} \rangle$.

To see how solutions of Eq. (16) give rise to first integrals, we observe that this equation can be explicitly integrated. In fact, let

$$\alpha := \ln \left(\sum_{\ell=1}^n \sum_{k=1}^m \chi_{k\ell} (\langle gb_\ell, d_k \rangle) \right) \tag{17}$$

and note that

$$\begin{aligned} \dot{\alpha} & = \frac{\sum_{\ell=1}^n \sum_{k=1}^m \chi'_{k\ell} (\langle gb_\ell, d_k \rangle) \langle \Omega, b_\ell \times (g^{-1}d_k) \rangle}{q \sum_{\ell=1}^n \sum_{k=1}^m \chi_{k\ell} (\langle gb_\ell, d_k \rangle)} \\ & = 2\langle \Omega, \Phi \rangle \end{aligned} \tag{18}$$

so that Eq. (16) becomes $\dot{X} + \dot{\alpha}X = 0$. Multiplying by e^α shows that $(d/dt)(e^\alpha X) = 0$, which means that $e^\alpha X$ is constant. Thus the general solution of Eq. (16) is of the form $X = C/e^\alpha$ for some constant C , which means

$$X = \frac{C}{\sum_{\ell=1}^n \sum_{k=1}^m \chi_{k\ell} (\langle gb_\ell, d_k \rangle)} \tag{19}$$

Equation (19) can be written as $C = X \cdot F(g)$ where $F : \text{SO}(3) \rightarrow \mathbb{R}$ is defined by

$$F(g) := \sum_{\ell=1}^n \sum_{k=1}^m \chi_{k\ell} (\langle gb_\ell, d_k \rangle) \tag{20}$$

Thus for each solution X of Eq. (16) the quantity $X(g, \Omega)F(g)$ is a first integral for the boundary-value problem on $\text{SO}(3) \times \mathbb{R}^3$ we need to solve, namely

$$\begin{aligned} \dot{g} & = gL(q^{-1}\Omega), \quad \dot{\Omega} + 2\langle \Phi, \Omega \rangle \Omega = \Phi \|\Omega\|^2, \\ g(t_0) & = g_0, \quad g(T) = g_T \end{aligned} \tag{21}$$

Note that if $m = n = 1$, then there are three first integrals, and the system is completely integrable. This special situation was exploited in Ref. [2] to reduce the boundary problem to the much simpler problem of determining three integration constants (which could even be done in a non-iterative way), which is no longer possible in the general case.

4.3 Numerical solution scheme exploiting first integrals

Here we want to consider the case of an arbitrary number m of forbidden directions, but from now on we restrict ourselves to the case $n = 1$, i.e., the case that there is only one telescope direction b to be considered. In this

case there are two first integrals $C_1 = \|\Omega\|^2 \cdot F(g)$ and $C_2 = \langle b, \Omega \rangle \cdot F(g)$. The constraint $C_2 = \langle b, \Omega \rangle \cdot F(g)$ is linear in Ω and hence can be solved for one of the components of Ω , say $\Omega_3 = f(\Omega_1, \Omega_2, g)$. Plugging this into the other constraint $C_1 = \|\Omega\|^2 \cdot F(g)$ yields an equation of the form $\Omega_1^2 + \Omega_2^2 = R(g)^2$, which, using polar coordinates, allows us to write

$$\begin{bmatrix} \Omega_1 \\ \Omega_2 \end{bmatrix} = R(g) \begin{bmatrix} \cos w \\ \sin w \end{bmatrix} \tag{22}$$

with a scalar function w . Taking derivatives and plugging in the differential equation for Ω yields a differential equation for w , which has the form $\dot{w}(t) = W(g(t), w(t), t; C_1, C_2)$, and the time derivatives of Ω_1 and Ω_2 can be expressed in terms of w . Thus our original boundary-value problem can be replaced by a new boundary-value problem of the form

$$\begin{aligned} \dot{g} &= gL(V(g, w, t; C_1, C_2)), \quad \dot{w} = W(g, w, t; C_1, C_2), \\ \dot{C}_1 &= 0, \quad \dot{C}_2 = 0, \quad g(t_0) = g_0, \quad g(T) = g_T \end{aligned} \tag{23}$$

In this new boundary-value problem, two of the six functions sought are constants, so that the knowledge of the existence of two first integrals is built into the numerical solution scheme. Without loss of generality, we may assume that $b = (0, 0, 1)^T$, which simply amounts to making the telescope axis the z -axis of the body-fixed system used. Under this assumption, the second constraint becomes $C_2 = \Omega_3 \cdot F(g)$, and the first constraint then becomes

$$C_1 = F(g) \cdot \left(\Omega_1^2 + \Omega_2^2 + \frac{C_2^2}{F(g)^2} \right) \tag{24}$$

and hence

$$\Omega_1^2 + \Omega_2^2 = \frac{C_1 \cdot F(g) - C_2^2}{F(g)^2} = R(g)^2 \tag{25}$$

where

$$R(g) := \frac{\sqrt{C_1 \cdot F(g) - C_2^2}}{F(g)}$$

This implies that the constants C_1 and C_2 must be such that $C_1 \cdot F(g(t)) \geq C_2^2$ for all times t . Consequently, $C_1 > 0$ and

$$\frac{C_2^2}{C_1} \leq F(g(t)) \quad \text{for all } t \tag{26}$$

Remark. Since $n = 1$, we simply write χ_k instead of $\chi_{k\ell}$ where $\ell = 1$. If we choose $\chi_k(x) = 1/(1 - x)$ for all k then $F(g) \geq m/2$ for all $g \in \text{SO}(3)$. Hence in an iterative numerical scheme, we may choose the initial values for C_1 and C_2 such that $C_2^2/C_1 \leq m/2$, which implies that Eq. (26) will hold at least during the first iteration.

The kinematical equation is $\dot{g} = gL(\omega_b)$ where

$$\begin{bmatrix} \omega_1 \\ \omega_2 \\ \omega_3 \end{bmatrix} = \frac{1}{q} \cdot \begin{bmatrix} \Omega_1 \\ \Omega_2 \\ \Omega_3 \end{bmatrix} = \frac{1}{q} \cdot \begin{bmatrix} R(g) \cos(w) \\ R(g) \sin(w) \\ C_2/F(g) \end{bmatrix} \tag{27}$$

Rewriting Eq. (27) in terms of Euler angles, we find that

$$\begin{aligned} \dot{\alpha} &= \frac{\sin(\gamma)}{\sin(\beta)} \cdot \omega_1 - \frac{\cos(\gamma)}{\sin(\beta)} \cdot \omega_2 = \frac{1}{q} \cdot R(g) \cdot \frac{\sin(\gamma - w)}{\sin(\beta)}, \\ \dot{\beta} &= \cos(\gamma) \cdot \omega_1 + \sin(\gamma) \cdot \omega_2 = \frac{1}{q} \cdot R(g) \cdot \cos(\gamma - w), \\ \dot{\gamma} &= -\frac{\sin(\gamma)}{\tan(\beta)} \cdot \omega_1 + \frac{\cos(\gamma)}{\tan(\beta)} \cdot \omega_2 + \omega_3 \\ &= \frac{1}{q} \cdot \left(\frac{C_2}{F(g)} - R(g) \cdot \frac{\sin(\gamma - w)}{\tan(\beta)} \right) \end{aligned} \tag{28}$$

To derive the remaining equation for w , we observe that $\tan(w) = \Omega_2/\Omega_1$. Taking on both sides of this equation the derivative with respect to t , we find that

$$(1 + \tan(w)^2) \dot{w} = \frac{\dot{\Omega}_2 \Omega_1 - \Omega_2 \dot{\Omega}_1}{\Omega_1^2} \tag{29}$$

and hence, using $1 + \tan(w)^2 = (\Omega_1^2 + \Omega_2^2)/\Omega_1^2$, arrive at Eq. (30):

$$\begin{aligned} \dot{w} &= \frac{\dot{\Omega}_2 \Omega_1 - \Omega_2 \dot{\Omega}_1}{\Omega_1^2 + \Omega_2^2} \\ &= \frac{(\|\Omega\|^2 \dot{\Phi}_2 - 2\langle \Phi, \Omega \rangle \dot{\Omega}_2) \Omega_1 - (\|\Omega\|^2 \dot{\Phi}_1 - 2\langle \Phi, \Omega \rangle \dot{\Omega}_1) \Omega_2}{\Omega_1^2 + \Omega_2^2} \\ &= \|\Omega\|^2 \cdot \frac{\Phi_2 \dot{\Omega}_1 - \Phi_1 \dot{\Omega}_2}{\Omega_1^2 + \Omega_2^2} \\ &= \frac{C_1}{F(g)} \cdot \frac{\Phi_2 \cdot R(g) \cos(w) - \Phi_1 \cdot R(g) \sin(w)}{R(g)^2} \\ &= \frac{C_1}{F(g)} \cdot \frac{\Phi_2 \cos(w) - \Phi_1 \sin(w)}{R(g)} \\ &= \frac{C_1}{F(g)} \cdot \frac{\langle \Phi, e_2 \rangle \cos(w) - \langle \Phi, e_1 \rangle \sin(w)}{R(g)} \\ &= \frac{C_1}{R(g)F(g)} \cdot \frac{\sum_{k=1}^m \chi_k(\langle gb, d_k \rangle) \cdot \langle \cos(w)e_1 + \sin(w)e_2, g^{-1}d_k \rangle}{2q \cdot F(g)} \\ &= \frac{C_1}{2qF(g)^2R(g)} \cdot \sum_{k=1}^m \chi_k'(\langle gb, d_k \rangle) \cdot \langle (\cos(w), \sin(w), 0)^T, g^{-1}d_k \rangle \end{aligned} \tag{30}$$

We can now solve the boundary value problem Eq. (23) with a straightforward shooting method. To do so, we need the partial derivatives of the state variables with respect to the parameters C_1, C_2, w_0 , and these are obtained via the variational equations, which we are now going to derive. Let us write $\hat{F}(C_1, C_2, w_0) := F(g(C_1, C_2, w_0))$ and $\hat{R}(C_1, C_2, w_0) := R(g(C_1, C_2, w_0))$.

Then if $p \in \{C_1, C_2, w_0\}$ we have

$$\begin{aligned} \frac{\partial \widehat{F}}{\partial p} &= \frac{\partial}{\partial p} \sum_{k=1}^m \chi_k (\langle gb, d_k \rangle) \\ &= \sum_{k=1}^m \chi'_k (\langle gb, d_k \rangle) \\ &\quad \cdot \left\langle \left(\frac{\partial g}{\partial \alpha} \cdot \frac{\partial \alpha}{\partial p} + \frac{\partial g}{\partial \beta} \cdot \frac{\partial \beta}{\partial p} + \frac{\partial g}{\partial \gamma} \cdot \frac{\partial \gamma}{\partial p} \right) b, d_k \right\rangle \\ &= \left[\sum_{k=1}^m \chi'_k (\langle gb, d_k \rangle) \left\langle \frac{\partial g}{\partial \alpha} b, d_k \right\rangle \right] \frac{\partial \alpha}{\partial p} \\ &\quad + \left[\sum_{k=1}^m \chi'_k (\langle gb, d_k \rangle) \left\langle \frac{\partial g}{\partial \beta} b, d_k \right\rangle \right] \frac{\partial \beta}{\partial p} \\ &\quad + \left[\sum_{k=1}^m \chi'_k (\langle gb, d_k \rangle) \left\langle \frac{\partial g}{\partial \gamma} b, d_k \right\rangle \right] \frac{\partial \gamma}{\partial p} \end{aligned} \tag{31}$$

Moreover, since $R = \sqrt{C_1 F - C_2^2} / F$, we find that

$$\begin{aligned} \frac{\partial \widehat{R}}{\partial C_1} &= \frac{1}{2\widehat{F}^3 \widehat{R}} \cdot \left(\widehat{F}^2 + (2C_2^2 - C_1 \widehat{F}) \cdot \frac{\partial \widehat{F}}{\partial C_1} \right), \\ \frac{\partial \widehat{R}}{\partial C_2} &= \frac{1}{2\widehat{F}^3 \widehat{R}} \cdot \left(-2C_2 \widehat{F} + (2C_2^2 - C_1 \widehat{F}) \cdot \frac{\partial \widehat{F}}{\partial C_2} \right), \\ \frac{\partial \widehat{R}}{\partial w_0} &= \frac{1}{2\widehat{F}^3 \widehat{R}} \cdot (2C_2^2 - C_1 \widehat{F}) \cdot \frac{\partial \widehat{F}}{\partial w_0} \end{aligned} \tag{32}$$

We are now ready to write down the variational equations.

If p is any of the parameters C_1, C_2 , and w_0 then

$$\begin{aligned} \left[\frac{\partial \alpha}{\partial p} \right]^\bullet &= \frac{1}{q} \cdot \frac{\partial \widehat{R}}{\partial p} \cdot \frac{\sin(\gamma - w)}{\sin(\beta)} + \frac{1}{q} \cdot \frac{\widehat{R}}{\sin(\beta)^2} \\ &\quad \cdot \left(\cos(\gamma - w) \sin(\beta) \left[\frac{\partial \gamma}{\partial p} - \frac{\partial w}{\partial p} \right] \right. \\ &\quad \left. - \sin(\gamma - w) \cos(\beta) \frac{\partial \beta}{\partial p} \right) \end{aligned} \tag{33}$$

and

$$\begin{aligned} \left[\frac{\partial \beta}{\partial p} \right]^\bullet &= \frac{1}{q} \cdot \left(\frac{\partial \widehat{R}}{\partial p} \cdot \cos(\gamma - w) \right. \\ &\quad \left. - \widehat{R} \sin(\gamma - w) \left[\frac{\partial \gamma}{\partial p} - \frac{\partial w}{\partial p} \right] \right) \end{aligned} \tag{34}$$

If $p = C_1$ or $p = w_0$ then

$$\begin{aligned} \left[\frac{\partial \gamma}{\partial p} \right]^\bullet &= -\frac{1}{q} \cdot \left(\frac{C_2}{\widehat{F}^2} \cdot \frac{\partial \widehat{F}}{\partial p} + \frac{\partial \widehat{R}}{\partial p} \cdot \frac{\sin(\gamma - w)}{\tan(\beta)} \right) \\ &\quad - \frac{1}{q} \cdot \frac{\widehat{R}}{\tan(\beta)^2} \cdot \left(\cos(\gamma - w) \tan(\beta) \left[\frac{\partial \gamma}{\partial p} - \frac{\partial w}{\partial p} \right] \right. \\ &\quad \left. - \frac{\sin(\gamma - w) \partial \beta}{\cos(\beta)^2 \partial p} \right) \end{aligned} \tag{35}$$

On the other hand, for $p = C_2$ we find that

$$\begin{aligned} \left[\frac{\partial \gamma}{\partial C_2} \right]^\bullet &= \frac{1}{q} \cdot \left(\frac{1}{\widehat{F}} - \frac{C_2}{\widehat{F}^2} \cdot \frac{\partial \widehat{F}}{\partial C_2} - \frac{\partial \widehat{R}}{\partial C_2} \cdot \frac{\sin(\gamma - w)}{\tan(\beta)} \right) \\ &\quad - \frac{1}{q} \cdot \frac{\widehat{R}}{\tan(\beta)^2} \cdot \left(\cos(\gamma - w) \tan(\beta) \left[\frac{\partial \gamma}{\partial C_2} - \frac{\partial w}{\partial C_2} \right] \right. \\ &\quad \left. - \frac{\sin(\gamma - w) \partial \beta}{\cos(\beta)^2 \partial C_2} \right) \end{aligned} \tag{36}$$

To determine the variational equations for w , we write

$$\begin{cases} U(w) := (\cos(w), \sin(w), 0)^T \\ V(w) := (-\sin(w), \cos(w), 0)^T \end{cases} \tag{37}$$

If $p = C_2$ or $p = w_0$ then

$$\begin{aligned} \left[\frac{\partial w}{\partial p} \right]^\bullet &= - \left(\frac{C_1}{q\widehat{F}^3 \widehat{R}} \cdot \frac{\partial \widehat{F}}{\partial p} + \frac{C_1}{2q\widehat{F}^2 \widehat{R}^2} \cdot \frac{\partial \widehat{R}}{\partial p} \right) \\ &\quad \cdot \sum_{k=1}^m \chi'_k (\langle gb, d_k \rangle) \cdot \langle gU(w), d_k \rangle \\ &\quad + \frac{C_1}{2q\widehat{F}^2 \widehat{R}} \cdot \sum_{k=1}^m \chi''_k (\langle gb, d_k \rangle) \left[\left\langle \frac{\partial g}{\partial \alpha} b, d_k \right\rangle \frac{\partial \alpha}{\partial p} \right. \\ &\quad \left. + \left\langle \frac{\partial g}{\partial \beta} b, d_k \right\rangle \frac{\partial \beta}{\partial p} + \left\langle \frac{\partial g}{\partial \gamma} b, d_k \right\rangle \frac{\partial \gamma}{\partial p} \right] \cdot \langle gU(w), d_k \rangle \\ &\quad + \frac{C_1}{2q\widehat{F}^2 \widehat{R}} \cdot \sum_{k=1}^m \chi'_k (\langle gb, d_k \rangle) \cdot \langle gV(w), d_k \rangle \cdot \left[\frac{\partial w}{\partial p} \right] \\ &\quad + \frac{C_1}{2q\widehat{F}^2 \widehat{R}} \cdot \sum_{k=1}^m \chi'_k (\langle gb, d_k \rangle) \left[\left\langle \frac{\partial g}{\partial \alpha} U(w), d_k \right\rangle \frac{\partial \alpha}{\partial p} \right. \\ &\quad \left. + \left\langle \frac{\partial g}{\partial \beta} U(w), d_k \right\rangle \frac{\partial \beta}{\partial p} + \left\langle \frac{\partial g}{\partial \gamma} U(w), d_k \right\rangle \frac{\partial \gamma}{\partial p} \right] \end{aligned} \tag{38}$$

For $p = C_1$ we obtain

$$\begin{aligned} \left[\frac{\partial w}{\partial C_1} \right]^\bullet &= \left(\frac{1}{2q\widehat{F}^2 \widehat{R}} - \frac{C_1}{q\widehat{F}^3 \widehat{R}} \cdot \frac{\partial \widehat{F}}{\partial C_1} - \frac{C_1}{2q\widehat{F}^2 \widehat{R}^2} \cdot \frac{\partial \widehat{R}}{\partial C_1} \right) \\ &\quad \cdot \sum_{k=1}^m \chi'_k (\langle gb, d_k \rangle) \cdot \langle gU(w), d_k \rangle \\ &\quad + \frac{C_1}{2q\widehat{F}^2 \widehat{R}} \cdot \sum_{k=1}^m \chi''_k (\langle gb, d_k \rangle) \left[\left\langle \frac{\partial g}{\partial \alpha} b, d_k \right\rangle \frac{\partial \alpha}{\partial C_1} \right. \\ &\quad \left. + \left\langle \frac{\partial g}{\partial \beta} b, d_k \right\rangle \frac{\partial \beta}{\partial C_1} + \left\langle \frac{\partial g}{\partial \gamma} b, d_k \right\rangle \frac{\partial \gamma}{\partial C_1} \right] \cdot \langle gU(w), d_k \rangle \\ &\quad + \frac{C_1}{2q\widehat{F}^2 \widehat{R}} \cdot \sum_{k=1}^m \chi'_k (\langle gb, d_k \rangle) \cdot \langle gV(w), d_k \rangle \cdot \left[\frac{\partial w}{\partial C_1} \right] \\ &\quad + \frac{C_1}{2q\widehat{F}^2 \widehat{R}} \cdot \sum_{k=1}^m \chi'_k (\langle gb, d_k \rangle) \left[\left\langle \frac{\partial g}{\partial \alpha} U(w), d_k \right\rangle \frac{\partial \alpha}{\partial C_1} \right. \\ &\quad \left. + \left\langle \frac{\partial g}{\partial \beta} U(w), d_k \right\rangle \frac{\partial \beta}{\partial C_1} + \left\langle \frac{\partial g}{\partial \gamma} U(w), d_k \right\rangle \frac{\partial \gamma}{\partial C_1} \right] \end{aligned} \tag{39}$$

Note that the variational equations (33)–(39) express the time evolutions of the partial derivatives $\partial x/\partial p$ of all state variables $x \in \{\alpha, \beta, \gamma, w\}$ with respect to all parameters $p \in \{w_0, C_1, C_2\}$ involved. Based on specified initial estimates for the parameters, these variational equations are then numerically integrated along with the system equations as part of a shooting procedure in which, after each iteration, the parameter estimates are updated via

$$\begin{bmatrix} w_{0,\text{new}} \\ C_{1,\text{new}} \\ C_{2,\text{new}} \end{bmatrix} = \begin{bmatrix} w_0 \\ C_1 \\ C_2 \end{bmatrix} + \begin{bmatrix} \frac{\partial \alpha}{\partial w_0}(T) & \frac{\partial \alpha}{\partial C_1}(T) & \frac{\partial \alpha}{\partial C_2}(T) \\ \frac{\partial \beta}{\partial w_0}(T) & \frac{\partial \beta}{\partial C_1}(T) & \frac{\partial \beta}{\partial C_2}(T) \\ \frac{\partial \gamma}{\partial w_0}(T) & \frac{\partial \gamma}{\partial C_1}(T) & \frac{\partial \gamma}{\partial C_2}(T) \end{bmatrix}^{-1} \cdot \begin{bmatrix} \alpha_T - \alpha(T; w_0, C_1, C_2) \\ \beta_T - \beta(T; w_0, C_1, C_2) \\ \gamma_T - \gamma(T; w_0, C_1, C_2) \end{bmatrix} \quad (40)$$

in order to match the attitude at the end of the maneuver with the target attitude. The shooting procedure is stopped when the numerically computed target attitude residual is sufficiently small. The size of this residual is measured in terms of its Frobenius norm

$$\|g(T) - g_T\|_F = \sqrt{\sum_{r=1}^3 \sum_{s=1}^3 (g(T) - g_T)_{rs}^2} \quad (41)$$

The termination criterion used in the following test cases is chosen to be $\|g(T) - g_T\|_F < 0.1$, and the numerical integration is carried out with a classical Runge–Kutta method using the step size $h = 0.04$.

5 Simulation results

We present three test cases to show the applicability of our algorithm. In all cases, the telescope direction (in the body-fixed system) is $b = (0, 0, 1)^T$, and there are four forbidden directions. The target attitude g_T and the initial attitudes $g_0^{(i)}$ (where upper indices are used to number the test cases) are given by

$$g_0^{(1)} = \begin{bmatrix} \cos \beta & 0 & \sin \beta \\ 0 & 1 & 0 \\ -\sin \beta & 0 & \cos \beta \end{bmatrix} \text{ where } \beta = 75^\circ,$$

$$g_0^{(2)} = g_0^{(3)} = \begin{bmatrix} 0 & 0 & 1 \\ 0 & -1 & 0 \\ 1 & 0 & 0 \end{bmatrix}, \quad g_T = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

In all three cases forbidden directions are chosen in such a way that an eigenaxis slew from g_0 to g_T is not admissible, because the telescope points towards one of the forbidden directions during such a slew. This prevents the execution of an eigenaxis slew and necessitates finding a replacement maneuver which avoids the forbidden

directions. The forbidden directions in the first case are chosen to be

$$d_1^{(1)} = \frac{1}{\sqrt{11}} \begin{bmatrix} 1 \\ 1 \\ 3 \end{bmatrix}, \quad d_2^{(1)} = \frac{1}{\sqrt{21}} \begin{bmatrix} 4 \\ 2 \\ 1 \end{bmatrix},$$

$$d_3^{(1)} = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}, \quad d_4^{(1)} = \frac{1}{\sqrt{6}} \begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix}$$

The forbidden directions in the second case are chosen to be

$$d_1^{(2)} = \frac{1}{\sqrt{10}} \begin{bmatrix} 3 \\ 0 \\ 1 \end{bmatrix}, \quad d_2^{(2)} = \begin{bmatrix} 0.9755 \\ -0.2185 \\ 0.0245 \end{bmatrix},$$

$$d_3^{(2)} = \frac{1}{\sqrt{51}} \begin{bmatrix} 5 \\ 1 \\ 5 \end{bmatrix}, \quad d_4^{(2)} = \begin{bmatrix} 0.2061 \\ 0.5721 \\ 0.7939 \end{bmatrix}$$

The forbidden directions in the third case are chosen to be

$$d_1^{(3)} = \frac{1}{\sqrt{19}} \begin{bmatrix} 3 \\ 3 \\ -1 \end{bmatrix}, \quad d_2^{(3)} = \begin{bmatrix} 0.9755 \\ -0.2185 \\ 0.0245 \end{bmatrix},$$

$$d_3^{(3)} = \frac{1}{\sqrt{42}} \begin{bmatrix} 5 \\ 1 \\ 4 \end{bmatrix}, \quad d_4^{(3)} = \begin{bmatrix} 0.2061 \\ 0.5721 \\ 0.7939 \end{bmatrix}$$

As stated before, the data in each case are such that a simple eigenaxis slew is inadmissible. An admissible replacement maneuver, avoiding all forbidden directions, is then found with our algorithm, using the choices $T = 10$, $q(t) := 1/[t^2(T - t)^2]$ and $\chi_k(x) := 1/(1 - x)$ for $1 \leq k \leq 4$. All maneuvers are visualized, with the forbidden directions marked as red dots on the unit sphere. See Fig. 1 for the first, Fig. 2 for the second, and Fig. 3 for the third test case. In each case, the diagram on the left shows the eigenaxis slew between the prescribed attitudes, which violates one of the pointing constraints, whereas the diagram on the right shows the replacement maneuver avoiding the four forbidden directions.

Finally, we evaluate the numerically obtained solutions for the chosen test cases. In each of the Figs. 4, 5, and 6, the left-hand side shows the optimal body-referenced angular velocity profiles obtained. Assuming the geometry of the (fictitious) spacecraft under consideration to be a cylinder with radius $r = 1$ (m) and height $h = 3$ (m) and a homogeneously distributed mass of $m = 400$ (kg), we obtain the inertia tensor

$$I = \frac{m}{12} \begin{bmatrix} 3r^2 + h^2 & 0 & 0 \\ 0 & 3r^2 + h^2 & 0 \\ 0 & 0 & 6r^2 \end{bmatrix} \text{ (kg}\cdot\text{m}^2)$$

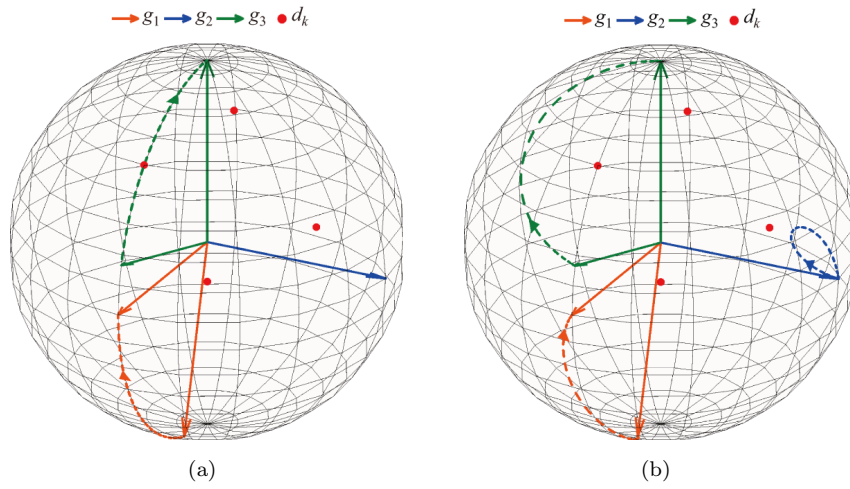


Fig. 1 First test case: (a) eigenaxis slew violating one of the constraints and (b) replacement maneuver.

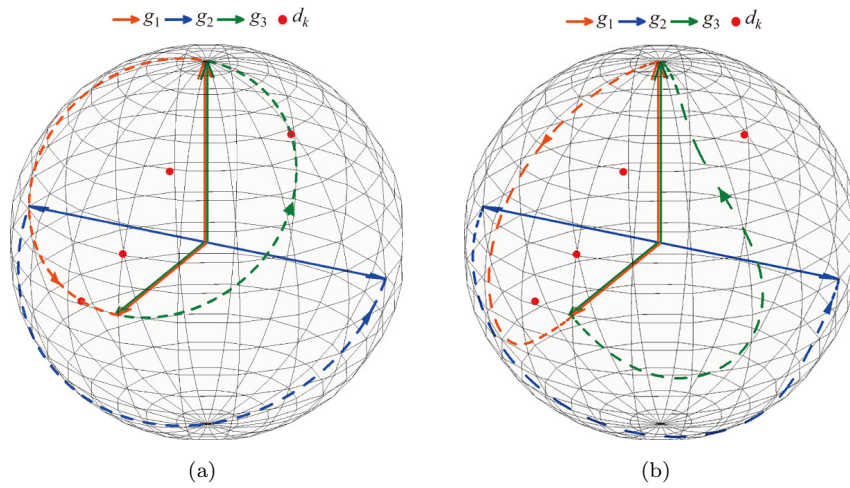


Fig. 2 Second test case: (a) eigenaxis slew violating one of the constraints and (b) replacement maneuver.

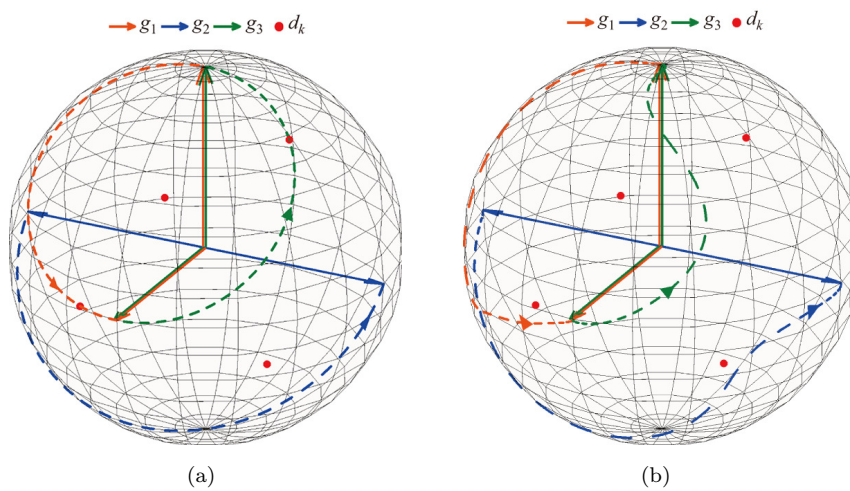


Fig. 3 Third test case: (a) eigenaxis slew violating one of the constraints and (b) replacement maneuver.

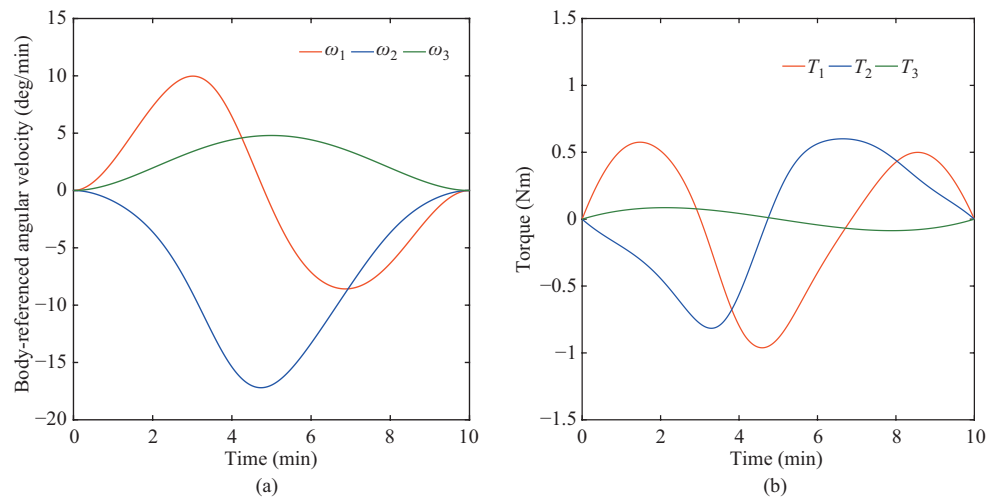


Fig. 4 First test case: (a) body-referenced angular velocities of the solution and (b) torques required to carry out the replacement maneuver.

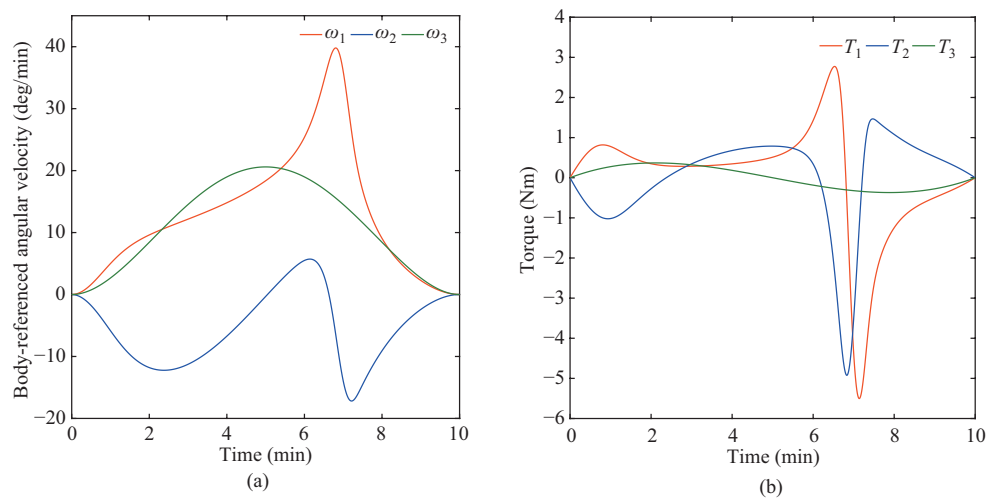


Fig. 5 Second test case: (a) body-referenced angular velocities of the solution and (b) torques required to carry out the replacement maneuver.

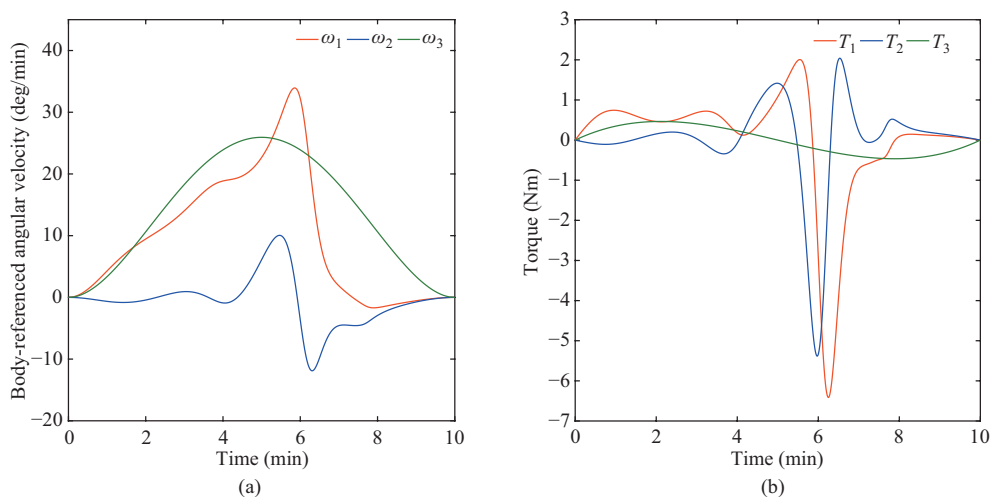


Fig. 6 Third test case: (a) body-referenced angular velocities of the solution and (b) torques required to carry out the replacement maneuver.

Table 1 First test case: parameters and Frobenius norm of the target attitude residual for each iteration

Iteration	w_0	$C_1 (10^5)$	C_2	$\ g(T) - g_T\ _F$
0	-0.1100000000000000	0.5340000000000000	0.0025000000000000	1.296736723702625
1	-0.157783966571746	0.464426369530345	0.002340136469027	0.855155305154384
2	-0.245297938916252	0.404115046884200	0.002168931677067	0.321504128055294
3	-0.328852742676504	0.383720044831227	0.002029382810866	0.065417008555013

Table 2 Second test case: parameters and Frobenius norm of the target attitude residual for each iteration

Iteration	w_0	$C_1 (10^5)$	C_2	$\ g(T) - g_T\ _F$
0	-1.0000000000000000	1.7600000000000000	0.0230000000000000	1.172929615977860
1	-0.891618472714860	0.593949753846037	0.013963422101656	0.684258451584116
2	-0.861910674988305	0.488590987213005	0.012357212938490	0.320187040429589
3	-0.838763517355054	0.464624466525863	0.011991483037560	0.098710683113344

Table 3 Third test case: parameters and Frobenius norm of the target attitude residual for each iteration

Iteration	w_0	$C_1 (10^5)$	C_2	$\ g(T) - g_T\ _F$
0	-0.4600000000000000	0.5170000000000000	0.0331000000000000	1.150424953903803
1	-0.275697436200118	0.251323637218136	0.018902187739479	0.660283199276287
2	-0.222507753174762	0.238465593072488	0.017255819329852	0.295651140814267
3	-0.189301064873860	0.235343129550037	0.016110945093501	0.074039590637067

$$= \frac{400}{12} \begin{bmatrix} 12 & 0 & 0 \\ 0 & 12 & 0 \\ 0 & 0 & 6 \end{bmatrix} (\text{kg}\cdot\text{m}^2) \tag{42}$$

We then use Euler’s equations to find the torques which effect these angular velocities and hence give rise to the attitude maneuvers as visualized on the right-hand sides of Figs. 4–6. In Tables 1–3, the values of the parameters w_0 , C_1 , C_2 , and the Frobenius norm of the target attitude residual are shown for each iteration in each of the test cases. The initial guesses for the parameters and the resulting Frobenius norm of the target attitude residual are shown in iteration 0.

6 Conclusions

An open-loop control law was derived which effects a spacecraft attitude slew from a specified initial attitude to a specified target attitude in such a way that close proximity of a finite number of sensitive directions (telescope axes, camera axes, etc.) to any of a finite number of forbidden directions is avoided. Safety cones around each of the forbidden directions can be incorporated as control specifications. The approach is based on a version of Pontryagin’s Maximum Principle tailor-made for optimal control problems on Lie groups. Both a smooth execution of the attitude slew and the avoidance of the forbidden directions are ensured by a

judicious choice of the cost functional. The control law is derived in a coordinate-free way and does not rely on any specific choice of attitude representation. First integrals were identified and exploited in the implementation of the control law. The feasibility of the chosen approach was confirmed by numerical examples.

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