

# Systems of Parallel Communicating Restarting Automata

Dissertation

zur Erlangung des akademischen Grades

Doktor der Naturwissenschaften (Dr. rer. nat.)

im Fachbereich Elektrotechnik / Informatik der Universität Kassel

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Kassel im Januar 2013

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Tag der Disputation: 3. Juli 2013

## ZUSAMMENFASSUNG

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In der vorliegenden Dissertation werden Systeme von parallel arbeitenden und miteinander kommunizierenden Restart-Automaten (engl.: *parallel communicating restarting automata systems*; abgekürzt *PCRA-Systeme*) vorgestellt und untersucht. Dabei werden zwei bekannte Konzepte aus den Bereichen Formale Sprachen und Automatentheorie miteinander verknüpft: das Modell des *Restart-Automaten* und die sogenannten PC-Systeme (*systems of parallel communicating components*).

Der Restart-Automat wurde entwickelt, um die linguistische Technik *Analyse durch Reduktion* zu modellieren. Diese wird unter anderem dazu verwendet, die syntaktische Korrektheit von Sätzen natürlicher Sprachen zu überprüfen. Bisher wurden viele verschiedene Varianten des Restart-Automaten definiert und untersucht, wobei sich unterschiedliche Eigenschaften und diverse Parallelen zu anderen Aspekten aus der Theorie der Formalen Sprachen gezeigt haben. Die Zusammenarbeit von Restart-Automaten wurde erstmalig anhand der CD-Systeme von Restart-Automaten (*cooperating distributed restarting automata systems*) betrachtet, in denen die Komponenten sequentiell an einer gemeinsamen Zeichenkette arbeiten.

Das Konzept der PC-Systeme beruht auf dem *classroom model* als eine von Menschen angewandte Problemlösungsstrategie, in der mehrere Experten gemeinsam und parallel an der Lösung eines gegebenen Problems arbeiten und dabei Information via Kommunikation austauschen können. Dieser Ansatz wurde bereits auf verschiedene Automaten- und Grammatikmodelle übertragen, z. B. auf endliche Automaten, Kellerautomaten, Watson-Crick-Automaten und Chomsky-Grammatiken unterschiedlichen Typs. In den meisten Fällen waren PC-Systeme trotz einer sehr einfachen Kommunikationsstruktur deutlich ausdrucksstärker als eine einzelne Komponente für sich genommen. Im übertragenen Sinn bedeutet dies, dass ein Team von Experten durch eine einfach strukturierte Kooperation Aufgaben bewältigen kann, die ein einzelner Experte allein nicht zu lösen vermag. Da sich nun Formale Sprachen als Problemspezifikationen interpretieren lassen, liegt es nahe, Sprachen akzeptierende Automaten oder Sprachen generierende Grammatiken zur Modellierung der Experten zu betrachten.

Die vorliegende Arbeit ist wie folgt aufgebaut: Zunächst wird eine ausführliche Motivation für die PCRA-Systeme gegeben und der aktuelle Forschungsstand dargelegt. Nach dem Festlegen grundlegender Definitionen und Notationen werden die wichtigsten Vertreter von bereits bekannten PC-Systemen anhand von Defi-

nitionen, Beispielen und bekannten Resultaten vorgestellt. Dies soll einen ersten Eindruck von der Funktionsweise, den unterschiedlichen Kommunikationsstrategien und den sich daraus ergebenden Eigenschaften solcher Systeme vermitteln. Darüber hinaus dienen diese Ausführungen als Modellierungs- und Vergleichsgrundlage für die PCRA-Systeme. Nachfolgend wird das Modell des Restart-Automaten detailliert beschrieben, da es die Grundlage für die hier betrachteten Systeme bildet.

Im darauffolgenden Hauptteil dieser Arbeit werden PCRA-Systeme eingeführt und untersucht. Dazu wird zunächst das Kommunikationsprotokoll erläutert, welches festlegt, wie die einzelnen Restart-Automaten miteinander kommunizieren, und damit die Zusammenarbeit der Komponenten essentiell bestimmt. Diesbezüglich werden auch wesentliche Unterschiede zu anderen PC-Systemen herausgestellt. Eine wichtige Eigenschaft der Kommunikationsstruktur ist die Tatsache, ob sie zentralisiert oder nichtzentralisiert ist. Während in einer nichtzentralisierten Kommunikationsstruktur jede Komponente mit jeder anderen Komponente Information austauschen darf, gibt es in einer zentralisierten Kommunikationsstruktur eine ausgezeichnete Master-Komponente, sodass alle anderen (Client-)Komponenten ausschließlich mit der Master-Komponente nicht jedoch mit einer anderen Client-Komponente kommunizieren dürfen. Es hat sich herausgestellt, dass PCRA-Systeme mit zentraler und nichtzentraler Kommunikationsstruktur die gleiche Ausdrucksstärke besitzen, die Zentralisierung der Kommunikation also keinen Nachteil bewirkt (das ist im Allgemeinen bei PC-Systemen nicht so).

Im Folgenden werden PCRA-Systeme mit der *non-forgetting*-Eigenschaft untersucht und obwohl diese Eigenschaft eine echte Erweiterung für diverse Typen von Restart-Automaten darstellt und deren Ausdrucksstärke erhöht, wird gezeigt, dass diese Eigenschaft innerhalb der Systeme keine Erhöhung der Ausdrucksstärke mit sich bringt.

Um Aussagen über die Robustheit der PCRA-Systeme treffen zu können, werden die Sprachklassen der von PCRA-Systemen charakterisierten Sprachen bezüglich Abschlusseigenschaften analysiert. Die betrachteten Sprachklassen sind unter diversen Sprachoperationen abgeschlossen und einige Sprachklassen sind sogar *abstrakte Sprachfamilien* (sogenannte *AFL's*). Im darauffolgenden Abschnitt wird die Ausdrucksstärke von PCRA-Systemen untersucht und mit der von PC-Systemen von endlichen Automaten und mit der von Mehrkopfautomaten verglichen. PC-Systeme von endlichen Automaten besitzen bekanntermaßen die gleiche Ausdrucksstärke wie Einwegmehrkopfautomaten und bilden eine untere Schranke für die Ausdrucksstärke von PCRA-Systemen mit Einwegkomponenten. Tatsächlich sind PCRA-Systeme auch dann stärker als PC-Systeme von endlichen Automaten, wenn die Komponenten für sich genommen die gleiche Ausdrucksstärke besitzen,

also die regulären Sprachen charakterisieren. Für PCRA-Systeme mit Zweiwegekomponenten werden als untere Schranke die Sprachklassen der Zweiwegemehrkopfautomaten im deterministischen und im nichtdeterministischen Fall gezeigt, welche wiederum den bekannten Komplexitätsklassen  $L$  (deterministisch logarithmischer Platz) und  $NL$  (nichtdeterministisch logarithmischer Platz) entsprechen. Als obere Schranke wird die Klasse der kontextsensitiven Sprachen gezeigt.

Außerdem werden für PCRA-Systeme spezifische Probleme auf ihre Entscheidbarkeit hin untersucht. Es wird gezeigt, dass Leerheit, Universalität, Inklusion und Gleichheit bereits für die schwächste Art von PCRA-Systemen nicht einmal semientscheidbar sind. Für das Wortproblem wird gezeigt, dass es im deterministischen Fall in quadratischer Zeit und im nichtdeterministischen Fall in exponentieller Zeit entscheidbar ist.

Im letzten Abschnitt werden die erzielten Resultate noch einmal zusammengefasst und diskutiert. Offen gebliebene Fragen werden aufgezeigt und Ansätze für weitergehende Forschung über dieses Thema werden angeboten.



## PUBLICATIONS

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Some ideas and results of this thesis were already presented at the following workshops and conferences:

- [Goe09] Marcel Goehring. PC-systems of restarting automata. In Jöran Mielke, Ludwig Staiger, and Renate Winter, editors, *Theorietag Automaten und Formale Sprachen 2009*, pages 26–27, Universität Halle-Wittenberg, Institut für Informatik, 2009. Technical Report 2009/03.
- [Vol10] Marcel Vollweiler. Centralized Versus Non-Centralized Parallel Communicating Systems of Restarting Automata. In Friedrich Otto, Norbert Hundeshagen, and Marcel Vollweiler, editors, *20. Theorietag Automaten und Formale Sprachen 2010*, number 2010/3 in *Kasseler Informatikschriften*, pages 130–135. Fachbereich Elektrotechnik / Informatik, Universität Kassel, 2010. <http://nbn-resolving.de/urn:nbn:de:hebis:34-2010110534894>.
- [HOV11] Norbert Hundeshagen, Friedrich Otto, and Marcel Vollweiler. Transductions Computed by PC-Systems of Monotone Deterministic Restarting Automata. In Michael Domaratzki and Kai Salomaa, editors, *Implementation and Application of Automata*, volume 6482 of *Lecture Notes in Computer Science*, pages 163–172. Springer Berlin / Heidelberg, 2011.
- [VO12] Marcel Vollweiler and Friedrich Otto. Systems of Parallel Communicating Restarting Automata. In Rudolf Freund, Markus Holzer, Bianca Truthe, and Ulrich Ultes-Nitsche, editors, *4th Workshop on Non-Classical Models for Automata and Applications*. Österreichische Computer Gesellschaft, 2012.
- [Vol12] Marcel Vollweiler. Closure Properties of Parallel Communicating Restarting Automata Systems. In František Mráz, editor, *22. Theorietag Automaten und Formale Sprachen 2012*, pages 133–138. MATFYZ-PRESS, publishing house of the Faculty of Mathematics and Physics, Charles University in Prague, 2012.

In [Goe09] the notion of systems of parallel communicating restarting automata was announced, where the communication protocol differs a little bit from the one that is used in this thesis. In [Vol10] the equivalence of centralized and non-

centralized systems was stated with a proof outline. Then, in [HOV11] systems of two monotone and deterministic restarting automata were considered that compute transductions. There, the notation of the communication states is simplified, due to the existence of only two components. In [VO12] the following results on systems of parallel communicating restarting automata were published: the equivalence between centralized and non-centralized systems, the comparison to individual restarting automata, systems of parallel communicating finite automata, and multi-head automata with respect to their computational power. Finally, in [Vol12] a summary of the Section 5.5 was presented, which contains the results on closure properties of language classes that are characterized by systems of parallel communicating restarting automata.



## ACKNOWLEDGEMENTS

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At first, I would like to offer special thanks to my supervisor Prof. Dr. Friedrich Otto for his continual support, all the fruitful discussions, suggestions, and the critical comments during the whole development of this thesis. Moreover, I thank Prof. Dr. Jürgen Dassow for refereeing this thesis and for his stimulating questions whenever I had a talk on the topic of the thesis.

Then, I would like to thank my colleague Norbert Hundeshagen for the frequent inspiring discussions, the creative proposals, and the great teamwork over the years during the development of this thesis.

I would also like to acknowledge Prof. Dr. Helmut Jürgensen, PD Dr. habil. Henning Bordihn, and Dr. Suna Bensch, who aroused my interest in alternative models of computability and particularly in systems of parallel communicating components during my studies and helped me indirectly to find the topic of this thesis.

Moreover, I wish to thank Prof. Dr. Alexander Torossian for his helpful advices, particularly when writing down this thesis.

Last but not least, I thank Gabriele Djawadi and Angelika Hoffmann-Hesse very much for their support for a lot of administrative tasks.



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# 1 INTRODUCTION

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Over the last decades distributed and parallel computing has gained a more and more important role. Significant reasons for this are on the one hand an increase of computational power and therefore a more efficient and faster computation (see e.g. [Fou94], [Hro97]), and on the other hand an efficient shared allocation of resources [CDK05]. The former reason is especially relevant in the development of real-time applications and for problems where the power of sequential devices is not sufficient anymore. Moreover, parallelism is somehow natural, so there are various examples where parallelism occurs in the natural environment. A frequently given example is the human brain [Fou94], where information is interchanged in a massively parallel way between neurons via the synapses. Another example is the growth of a cell culture, where many cells divide simultaneously. An additional reason why parallelism is useful is the fact that many problems and their underlying data structures are somehow suitable for a divide and conquer approach. Consider, e.g. the typesetting of a book, where the different chapters can be worked on more or less independently. Consider two more simple examples that are suitable for parallelization [SCB05]:

1. The problem to sum the elements of a vector  $V = (v_1, v_2, \dots, v_n)$  to a scalar  $A$ :

$$A = \sum_{i=1}^n v_i.$$

With  $p$  processors  $P_1, P_2, \dots, P_p$  and the assumption that there exists a  $k$  such that  $n = k \cdot p$  we can divide the problem into  $p$  parts such that, for each  $1 \leq j \leq p$ ,  $P_j$  computes the partial sum  $A_j = v_{jk-k+1} + \dots + v_{jk}$ . At the end the partial results are summed to  $A = A_1 + A_2 + \dots + A_p$ .

2. Computing the prime number sieve that is also called the ‘sieve of Eratosthenes’. Let  $S(k)$  be the set of all prime numbers less than  $k$ . Then it can be tested whether any  $n$  between  $k$  and  $k^2$  is a prime number by trying to divide it by the elements of  $S(k)$  (if there are  $i$  and  $j$  such that  $n = i \cdot j < k^2$ , then at least one of  $i$  and  $j$  must be less than  $k$ ). Thus, all these  $n$  can be checked in parallel and new primes are added to  $S(k^2)$ . Then we can continue with testing the numbers between  $k^2$  and  $(k^2)^2$  and so forth.

Distributed and parallel computing were not only developed in computer science applications but also in theoretical computer science. Some popular examples

for theoretic approaches of distributed and parallel computing are: multi-head automata [RS59, Ros66]<sup>1</sup>, multi-processor automata [Bud87], artificial neural networks [Hay94], networks of parallel language processors [CS97], Lindenmayer systems [PL96], eco-grammar systems [CKKP94, CKKP97], cooperating distributed grammar and automata systems (CD systems), and parallel communicating grammar and automata systems (PC systems)<sup>2</sup>. Throughout the development it can be observed that by combining simple components to systems usually new interesting properties arise and the computational power increases although the connection between the components is mostly very simply structured. Moreover, various approaches of cooperation and communication were considered within that context. In multi-head and multi-processor automata one device just uses some resources in parallel (i.e. different reading heads or processors, respectively). The typical structure of a network is a graph, where the nodes can be seen as independent processors and the edges are communication connections. In such networks, the particular power of the processors and the way of communication differs from one model to another. PC grammar and automata systems can be seen as special types of (communication) networks.

Some of the examples above are motivated by biological issues: the artificial neural networks as a formal model for the biological neural network, the Lindenmayer systems for describing the growth of plants, and the eco-grammar systems for modeling the interaction between agents in a common biological or social environment. The CD and PC systems, mentioned above, are of particular interest, because they represent two approaches of (human) problem solving: the *blackboard model* and the *classroom model* [CDKP94]. The blackboard model originally arises from the field of artificial intelligence and consists of a central commonly used data structure (blackboard) that contains the ‘problem solving state data’, several separate and independent knowledge sources (experts), and an implicit control that determines the currently working knowledge source [Nii86]. To solve a given problem that is initially written on the blackboard, the experts work alternately on the blackboard, one at each point of time, and change its content until the problem is solved. The essential idea of this strategy is to combine the different knowledge and skills of the experts to find a solution for a problem that cannot be solved by a single expert or that can just be solved more efficiently in this manner. This way of problem solving is indeed distributed, but the interaction of the experts is rather sequential. In contrast, in the classroom model, introduced in [CDKP94], only one distinguished knowledge source works on the blackboard, this

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<sup>1</sup>The original definitions do not supply a parallel work, but over the years an equivalent definition was established where all read-only heads are moved simultaneously, see e.g. [HKM11].

<sup>2</sup>CD and PC grammar systems are considered in detail in [CDKP94]. Examples for CD and PC automata systems are presented below.

is the team leader, and the others work on their own data structures (‘notebooks’). All experts work independently and mainly simultaneously (in parallel), and they can communicate with each other. Thus, the experts solve parts of the problem and communicate the partial results. The problem is solved once the solution is written on the blackboard, i.e. the data structure of the leader contains it, and the leader decides that this is indeed a solution to the given problem.

Distributed and parallel computing is one of the two main aspects of this work. The other one is the model of the *restarting automaton*. The restarting automaton is a device from formal language theory that was investigated throughout the last two decades. Originally it was developed by Jančar et al. in 1995 to model a linguistic technique that is called *analysis by reduction* [JMPV95] (see also [JMP<sup>+</sup>97, JMPV97, MPV96, NO01, NO03, Ott06, PLO03]). This technique is used for checking the syntactical correctness of a sentence of a natural language with a free word order, which means that the positions of the parts of the sentence are not strictly determined. Over the years many properties (e.g. monotonicity [JMPV98, JMPV99, JMPV07, JOMP05a, JOMP05b, JOMP08, MPJV97, Plá01], lookahead hierarchies [Mrá01, Sch10, Sch11], the number of auxiliary symbols [JO06b, JO06a], the descriptive complexity [KR08, Rei07], closure properties [JLNO04]) and variants of the restarting automata were considered, and interesting connections to well-known language classes were achieved [JMP<sup>+</sup>95, JLNO04, NO99a, NO99b, Ott03], for example: stateless restarting automata [KMO09, Ott08b], shrinking restarting automata [JO05, JO07], nonforgetting restarting automata [Mes08, MS04, Mes07, MO06, MO11], restarting tree automata [SO07a, SO07b, Sta08], and clearing restarting automata [ČM10, ČM11].

Additionally, interesting results were shown for cooperating restarting automata, i.e. for CD systems of restarting automata [MO07a, MO07b, MO09, Ott08a, Ott10], where the components work sequentially one after another on a common string. It was proved that these systems have the same computational power as nonforgetting automata [Mes07, MO07a]. Moreover, connections between the language classes accepted by CD systems of restarting automata, rational trace languages, and context-free trace languages have been established [NO10, NO11a, NO11b]. From another point of view the CD systems of restarting automata can be seen as finite-state acceptors with translucent letters [NO11c].

The aim of this thesis is to combine these two concepts: systems of parallel communicating components and restarting automata. *Systems of parallel communicating restarting automata* are defined (abbreviated as *PCRA systems*), where several restarting automata work in parallel and independently of each other with the possibility to communicate. For this, a specification of an appropriate communication protocol is necessary. Afterwards, various properties of these systems are

investigated like their computational power, decidability questions, and closure properties. Moreover, they are related to existing results from formal language theory, complexity theory, and computability theory. This thesis consists of six main parts. It is organized as follows.

At first, we give some preliminaries and basic notations in Section 2 that are used within this work. In Section 3, the most popular representatives of parallel communicating systems are presented giving their definitions, examples, and results that can be found in the literature. This should give a first impression of the structure, the used communication protocols, the principles of operation, and their properties. We will use these explanations sometimes for the purpose of comparisons. Section 4 gives a short overview of the model of the restarting automaton, which is used as the basic device for the systems investigated in Section 5.

Section 5 is the main part of this work. There, PCRA systems are introduced and investigated. At first, these systems are defined and explained. The communication protocol is presented and important differences to the systems given in Section 3 are emphasized. Moreover, a first detailed example is given. Then, after defining some more useful technical material in Subsection 5.1, we will see some other example systems for various well-known formal languages in Subsection 5.2. More examples are given throughout the further subsections whenever it seems helpful. Afterwards, we consider properties that are typically interesting for systems of parallel communicating components. In Subsection 5.3, we investigate an essential property of the communication, namely whether a centralized communication structure is a restriction for the computational power of our systems. In Subsection 5.4, we study the effect of the ‘nonforgetting property’ on our systems. For single restarting automata (that are in general ‘forgetting’), this property is an extension that in fact increases the computational power. In Subsection 5.5, we show some closure properties for language classes that are characterized by our systems. We will see that some of them are so-called ‘abstract families of languages’ (AFLs). Then, in Section 5.6 we investigate the computational power of PCRA systems. In this context our systems are compared with single restarting automata and with other types of parallel communicating systems, and we obtain some correlations to popular complexity classes. Moreover, systems of shrinking restarting automata are considered there. In Section 5.7 it is shown that, although the word problem is decidable in quadratic time for deterministic systems and in exponential time for nondeterministic systems, many other questions are not decidable even for systems with weak types of restarting automata as components.

In the last section we summarize our results, give a short discussion on interesting problems that remain open, and present some approaches for further research.



## 2 PRELIMINARIES

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Here basic definitions and notations of formal language theory that are used in the subsequent sections are introduced. Since this is only a short survey, the reader is referred to the literature for further details, e.g. [HU79, RS97].

We denote *sets* with capital Latin letters. For a set  $M$ , the number of elements is denoted by  $|M|$ . For two sets  $M_1$  and  $M_2$ , we can apply the usual set operations: union ( $M_1 \cup M_2$  and  $M_1 \dot{\cup} M_2$  for the disjoint union), intersection ( $M_1 \cap M_2$ ), difference ( $M_1 \setminus M_2$ ), cartesian product ( $M_1 \times M_2$ ), the power set ( $\mathcal{P}(M_1)$ ), and the set of all finite subsets ( $\mathcal{P}_e(M_1)$ ). With  $M^n$  we mean the cartesian product

$$\underbrace{M \times M \times \dots \times M}_{n\text{-times}}.$$

For  $n$  sets  $M_1, M_2, \dots, M_n$ , we can define an  $n$ -ary relation  $R$ , i.e. a subset of  $M_1 \times M_2 \times \dots \times M_n$ . If  $M_1$  is a subset of  $M_2$ , we notate this by  $M_1 \subseteq M_2$ . If the subset is even proper, then this is denoted by  $M_1 \subset M_2$ .

In general, the members of a set are not ordered and no member occurs more than once. In contrast, an  $n$ -tuple is a finite and ordered list of objects that is denoted by  $A = (a_1, a_2, \dots, a_n)$ , where the members have not necessarily to be distinct. Such tuples are usually used for the description of mathematical structures and the formalization of formal language devices.

An *alphabet* is a finite collection of distinct *symbols* and is denoted by a capital Greek letter. Symbols are mostly written with the first lower case Latin letters  $a, b, c$ , and so forth. A *word* or *string* is a finite sequence of symbols and denoted by the last Latin letters  $u, v, w, x, y$ , and  $z$ . The length  $|w|$  of a word  $w$  is just the number of symbols it consists of, e.g. for the word  $w = abcba$ , we have  $|w| = |abcba| = 5$ . The word of length zero is called the *empty word* and denoted by  $\varepsilon$ . With  $|w|_a$  we mean the number of occurrences of the symbol  $a$  in  $w$ . Two words  $u$  and  $v$  can be combined to a word  $w$  with the associative operation of concatenation:  $w = u \cdot v$ . Obviously,  $|w| = |u \cdot v| = |u| + |v|$  and  $\varepsilon \cdot w = w \cdot \varepsilon = w$ . The operator  $\cdot$  is often omitted and we write  $w = uv$ . Let  $\Sigma$  be an alphabet, then  $\Sigma^*$  is the monoid over  $\Sigma$  (according to the concatenation as operation and the identity element  $\varepsilon$ ), i.e. the set of all words that can be obtained by combining an arbitrary but finite number of symbols of  $\Sigma$ . The set  $\Sigma^*$  without  $\varepsilon$  is denoted by  $\Sigma^+$ . Moreover, with  $\Sigma^n$  and  $\Sigma^{\leq n}$  we denote the set of all words of length  $n$  and of length at most  $n$ , respectively. A subset  $L$  of  $\Sigma^*$  is called a (*formal*) *language*

over the alphabet  $\Sigma$ . Besides the usual set operations mentioned above we need some more operations for formal languages  $L$ ,  $L_1$ , and  $L_2$  over an alphabet  $\Sigma$ :

- complement:  $\bar{L} = \Sigma^* \setminus L$ ,
- reversal:  $L^R = \{w^R \mid w \in L\}$ , where for a word  $w = a_1a_2 \dots a_n$ , the reversal is  $w^R = a_n \dots a_2a_1$  that is also called the *mirror image*,
- product:  $L_1 \cdot L_2 = \{uv \mid u \in L_1 \text{ and } v \in L_2\}$ ,

- Kleene closure:

$$L^* = \bigcup_{i=0}^{\infty} L^i,$$

where  $L^0 = \{\varepsilon\}$  and  $L^i = L \cdot L^{i-1}$  for all  $i > 0$ ,

- positive closure:

$$L^+ = \bigcup_{i=1}^{\infty} L^i.$$

Another operation that can be applied to words as well as to languages are homomorphisms, which are defined by

$$h : \Sigma \rightarrow \Gamma^*,$$

where  $\Sigma$  and  $\Gamma$  are alphabets. Usually,  $h$  is extended to  $h : \Sigma^* \rightarrow \Gamma^*$  by defining  $h(\varepsilon) = \varepsilon$  and  $h(ua) = h(u)h(a)$  for any word  $u \in \Sigma^*$  and any symbol  $a \in \Sigma$ . A homomorphism is called *non-erasing* if  $|h(a)| > 0$  for all  $a \in \Sigma$ .

A set of languages is called a *language class*. Famous language classes in formal language theory are the set of *regular languages* (REG), the set of *context-free languages* (CFL), the set of *context-sensitive languages* (CSL), and the set of *recursively enumerable languages* (RE). The connection between these classes according to the inclusion relation is given through the Chomsky hierarchy:

$$\text{REG} \subset \text{CFL} \subset \text{CSL} \subset \text{RE}.$$

These language classes are characterized by formal grammars of different types, where the type indicates particular restrictions: regular grammars, context-free grammars, context-sensitive grammars, and unrestricted grammars. Over the years there were developed and investigated many more types of formal grammars and automata models characterizing language classes with rather different properties.

Other well-known language classes we will use here are: the *deterministic context-free languages* (DCFL), which are characterized by deterministic pushdown

automata; the *growing context-sensitive languages* (GCSL) [DW86], which are characterized by strictly monotone grammars, i.e. grammars with rules only of the form  $\alpha \rightarrow \beta$  s.t.  $|\alpha| < |\beta|$ ; the *Church-Rosser languages* (CRL) [MNO88], characterized by Church-Rosser Thue systems; and the classes of languages that can be accepted by a Turing machine with only a logarithmic amount of space in the deterministic case (L) or in the nondeterministic case (NL). The first three classes can also be placed within the Chomsky hierarchy:

$$\text{REG} \subset \text{DCFL} \begin{array}{c} \subset \text{CFL} \\ \supset \text{CRL} \end{array} \supset \text{GCSL} \subset \text{CSL} \subset \text{RE}.$$

An important property of language classes that we will investigate here are closure properties. We say that a language class  $\mathcal{L}$  is closed under an  $n$ -ary operation  $\circ \subseteq \mathcal{L}^n$  if, for arbitrary languages  $L_1, L_2, \dots, L_n \in \mathcal{L}$ , it holds that  $\circ(L_1, L_2, \dots, L_n) \in \mathcal{L}$ . A language class is called an *abstract family of languages* (abbreviated by *AFL*) if it is closed under the operations union, intersection with regular languages, non-erasing homomorphisms, inverse homomorphisms, product, and positive closure.

Let  $M$  be a particular formal language device (e.g. an automaton, a grammar, a system etc.). Then  $\mathcal{L}(M)$  denotes the language class consisting of all languages that can be characterized (generated, accepted) by this device.



# 3 SYSTEMS OF PARALLEL COMMUNICATING COMPONENTS

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## 3.1 Multi-head finite automata and multi-processor automata

In this section we consider the model of multi-head finite automata. Although it is not a system of several components that work in a distributed manner, it plays an important role within this research field. On the one hand, it is closely connected to the parallel communicating systems of finite automata, and the coordination of the various heads can be seen as a simple kind of implicit communication. On the other hand, they characterize the popular complexity classes **L** and **NL** that are the classes of languages accepted by deterministic, nondeterministic respectively, Turing machines using only a logarithmic amount of workspace (according to the length of the input).

The *multi-head finite automaton* was introduced by Rabin and Scott [RS64] and Rosenberg [Ros67]. It consists of a finite state control and an input tape with a fixed number of read-only heads (see Figure 3.1). Basically, the finite control requests the input symbols read by all heads and then determines a successor state and the moving directions for all heads. The heads are abstract in the sense that they can freely pass each other. Moreover, we consider so-called non-sensing multi-head automata, which means that the automaton cannot check whether some heads have the same position on the tape or not.

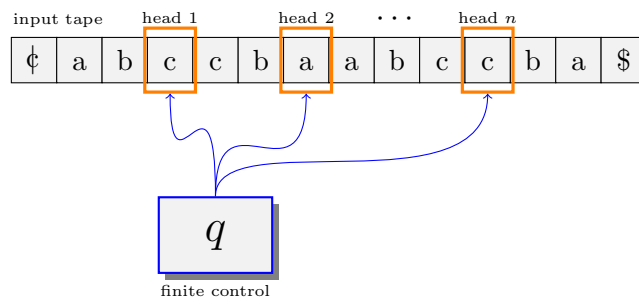


Figure 3.1: Schematic representation of a multi-head finite automaton.

Formally<sup>1</sup>, a nondeterministic two-way  $n$ -head automaton  $M$  (2-NFA( $n$ )) for

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<sup>1</sup>Here, for technical reasons we do not use the original definition, but a modified one that can be found in e.g. [HKM09].

short) is a tuple

$$M = (Q, \Sigma, n, \delta, \clubsuit, \$, q_0, F)$$

with the finite set of states  $Q$ , the input alphabet  $\Sigma$ , the initial state  $q_0 \in Q$ , and the set of final states  $F \subseteq Q$ . The symbols  $\clubsuit$  and  $\$$  are not included in  $\Sigma$  and used as the left and the right sentinels of the input. Further,  $\delta$  is a mapping of  $Q \times (\Sigma \cup \{\clubsuit, \$\})^n$  into the finite subsets of  $Q \times \{-1, 0, 1\}^n$ . We write  $(q, (d_1, d_2, \dots, d_n)) \in \delta(p, (a_1, a_2, \dots, a_n))$  if the automaton  $M$  is allowed to change into the state  $q$  and to move the  $i$ -th head  $d_i$  steps to the right for all  $1 \leq i \leq n$ , when it is currently in state  $p$  and reads the input symbol  $a_i$  with the  $i$ -th head for all  $1 \leq i \leq n$ . In particular, if  $d_i = -1$  for some  $1 \leq i \leq n$ , then the  $i$ -th head is moved one step to the left. In the cases  $d_i = 0$  and  $d_i = 1$ , the  $i$ -th head does not move and keeps the current position, or it moves one step to the right, respectively. Whenever a head of the automaton reads the left sentinel  $\clubsuit$ , or the right sentinel  $\$$  respectively, it is not allowed to move to the left, to the right respectively. That is,  $(q, (d_1, d_2, \dots, d_n)) \in \delta(p, (a_1, a_2, \dots, a_n))$  with  $a_i = \clubsuit$  ( $a_i = \$$ ) for some  $1 \leq i \leq n$  implies  $d_i \in \{0, 1\}$  ( $d_i \in \{-1, 0\}$ ).

A configuration of a 2-NFA( $n$ )  $M$  with input  $w$  is an  $n$ -tuple  $(x_1qy_1, x_2qy_2, \dots, x_nqy_n)$ , where  $x_1y_1 = x_2y_2 = \dots = x_ny_n = \clubsuit w \$$ ,  $q$  is the current state of the finite control, and for all  $1 \leq i \leq n$ , the  $i$ -th head is positioned on the first symbol of  $y_i$ . The initial configuration for an input  $w$  is the  $n$ -tuple  $(q_0\clubsuit w \$, q_0\clubsuit w \$, \dots, q_0\clubsuit w \$)$ . For two configurations  $(x_1pa_1y_1, x_2pa_2y_2, \dots, x_npa_ny_n)$  and  $(x'_1qy'_1, x'_2qy'_2, \dots, x'_nqy'_n)$  of  $M$ , a computation step

$$(x_1pa_1y_1, x_2pa_2y_2, \dots, x_npa_ny_n) \vdash_M (x'_1qy'_1, x'_2qy'_2, \dots, x'_nqy'_n)$$

is possible if and only if  $(q, (d_1, d_2, \dots, d_n)) \in \delta(p, (a_1, a_2, \dots, a_n))$  and for all  $1 \leq i \leq n$ ,

1.  $d_i = -1$ ,  $x_i = x''_ib_i$ ,  $x'_i = x''_i$ , and  $y'_i = b_ia_iy_i$ ; or
2.  $d_i = 0$ ,  $x'_i = x_i$ , and  $y'_i = a_iy_i$ ; or
3.  $d_i = 1$ ,  $x'_i = x_ia_i$ , and  $y'_i = y_i$ .

A computation of an automaton  $M$  is denoted by  $\vdash_M^*$ , which is the reflexive and transitive closure of  $\vdash_M$ . If there is no applicable transition for some configuration, then the automaton halts. An input word  $w$  is accepted by an automaton  $M$  if and only if  $M$  starts the computation from the initial configuration on input  $w$  and halts after a finite number of computation steps in a final state. The language

that is accepted by  $M$  with initial state  $q_0$  is

$$L(M) = \{w \in \Sigma^* \mid (q_0\clubsuit w\$, q_0\clubsuit w\$, \dots, q_0\clubsuit w\$) \vdash_M^* (x_1qa_1y_1, x_2qa_2y_2, \dots, x_nqa_ny_n) \\ \text{such that } q \in F, x_iy_i = \clubsuit w\$ \text{ for all } 1 \leq i \leq n, \\ \text{and } \delta(q, (a_1, a_2, \dots, a_n)) = \emptyset\}.$$

Whenever the mapping  $\delta$  of an  $n$ -head automaton  $M$  is a (partial) function into the set  $Q \times \{-1, 0, 1\}^n$ , then we say  $M$  is a deterministic  $n$ -head automaton, 2-DFA( $n$ ) for short. Moreover, if  $\delta$  maps into the set  $Q \times \{0, 1\}^n$ , i.e. no left moves can be done, then we call  $M$  a one-way  $n$ -head automaton, that is, a 1-DFA( $n$ ) in the deterministic case or a 1-NFA( $n$ ) in the nondeterministic case.

The class of all languages that can be accepted by any  $n$ -head automaton of type  $X \in \{2\text{-NFA}, 2\text{-DFA}, 1\text{-NFA}, 1\text{-DFA}\}$  is denoted by  $\mathcal{L}(X(n))$ . The class of languages accepted by a multi-head finite automaton of type  $X$  with arbitrarily many heads is denoted by

$$\mathcal{L}(X) = \bigcup_{n \geq 1} \mathcal{L}(X(n)).$$

In the following example a 1-DFA(2)  $M$  is given that accepts the language  $L_{a^n b^n c^n} = \{a^n b^n c^n \mid n \geq 1\}$ . The automaton  $M$  is just a deterministic finite automaton with one additional head. Nevertheless it can accept languages like  $L_{a^n b^n c^n}$  that are not even context-free. This simple example demonstrates how even small extensions by additional resources that can be used in parallel can increase the computational power of a device.

**Example 1.** A two-head automaton that accepts the language  $L_{a^n b^n c^n}$  behaves as follows: initially, reading the  $\clubsuit$ -symbol with both heads, the heads are moved one step to the right. Then, while reading  $a$ 's the second head moves to the right and the first head stays on the first  $a$ . When the second head reaches the first  $b$  of the input, then both heads move to the right simultaneously, where the first head only reads  $a$ 's and the second head only reads  $b$ 's. At some point in time the first head reads the first  $b$  and the second head reads the first  $c$  - at least if the number of  $a$ 's and  $b$ 's are equal. Then, both heads are synchronously moved to the right, while the first head reads the  $b$ 's and the second head reads the  $c$ 's. If the number of  $b$ 's and  $c$ 's are equal, then the first head reads the first  $c$  at the same point in time that the second head reads the  $\$$ -symbol. In this case  $M$  switches into a final state and halts. If the input word is not of the correct form, then  $M$  will halt without reaching the final state and thus reject. Formally,  $M$  is given by

$$M = (\{p, q, r, s\}, \{a, b, c\}, 2, \delta, \clubsuit, \$, p, \{s\}),$$

where

$$\begin{aligned}\delta(p, (\phi, \phi)) &= (p, (1, 1)), & \delta(q, (b, c)) &= (r, (1, 1)), \\ \delta(p, (a, a)) &= (p, (0, 1)), & \delta(r, (b, c)) &= (r, (1, 1)), \\ \delta(p, (a, b)) &= (q, (1, 1)), & \delta(r, (c, \$)) &= (s, (0, 0)). \\ \delta(q, (a, b)) &= (q, (1, 1)),\end{aligned}$$

The computation on an input word  $a^k b^k c^k$  for some  $k > 0$  is

$$\begin{array}{l} (p\phi a^k b^k c^k \$, \quad p\phi a^k b^k c^k \$ \quad ) \\ \vdash_M (\phi p a^k b^k c^k \$, \quad \phi p a^k b^k c^k \$ \quad ) \\ \vdash_M^k (\phi p a^k b^k c^k \$, \quad \phi a^k p b^k c^k \$ \quad ) \\ \vdash_M (\phi a q a^{k-1} b^k c^k \$, \quad \phi a^k b q b^{k-1} c^k \$) \\ \vdash_M^{k-1} (\phi a^k q b^k c^k \$, \quad \phi a^k b^k q c^k \$ \quad ) \\ \vdash_M (\phi a^k b r b^{k-1} c^k \$, \quad \phi a^k b^k c r c^{k-1} \$) \\ \vdash_M^{k-1} (\phi a^k b^k r c^k \$, \quad \phi a^k b^k c^k r \$ \quad ) \\ \vdash_M (\phi a^k b^k s c^k \$, \quad \phi a^k b^k c^k s \$ \quad ). \end{array}$$

Observe that  $M$  is deterministic, since in each situation at most one move is possible. Moreover, it moves the heads only to the right but not to the left, thus it is a one-way automaton. All in all,  $M$  is a 1-DFA(2) with  $L(M) = L_{a^n b^n c^n}$ .  $\square$

There are several results concerning the computational power of multi-head finite automata. For multi-head automata with only one head we just obtain a finite automaton and thus for all variants of one-way, two-way, deterministic, and nondeterministic automata the regular languages are characterized [RS59, She64]:

$$\mathcal{L}(1\text{-DFA}(1)) = \mathcal{L}(1\text{-NFA}(1)) = \mathcal{L}(2\text{-DFA}(1)) = \mathcal{L}(2\text{-NFA}(1)) = \text{REG}.$$

In [YR78] a hierarchy of the language classes according to the number of heads was shown for one-way multi-head automata:

$$\mathcal{L}(1\text{-DFA}(n)) \subset \mathcal{L}(1\text{-DFA}(n+1)) \text{ and } \mathcal{L}(1\text{-NFA}(n)) \subset \mathcal{L}(1\text{-NFA}(n+1)) \text{ for all } n \geq 1.$$

For this, the language

$$L_b = \{w_1 * w_2 * \dots * w_{2b} \mid (w_i \in \{0, 1\}^*) \text{ and } (w_i = w_{2b+1-i}) \text{ for all } 1 \leq i \leq 2b\}$$

was shown to be accepted by a 1-DFA( $n+1$ ) but not to be accepted by any 1-NFA( $n$ ) for  $b = \binom{n+1}{2}$ . The same language and the fact that deterministic one-way automata are closed under complementation are used to separate the deterministic and the nondeterministic language classes:

$$\mathcal{L}(1\text{-NFA}(2)) \setminus \mathcal{L}(1\text{-DFA}) \neq \emptyset.$$



Comparing one-way and two-way multi-head automata this leads to the result that the former ones are less powerful than the latter ones:

$$\mathcal{L}(1\text{-DFA}(n)) \subset \mathcal{L}(2\text{-DFA}(n)) \text{ and } \mathcal{L}(1\text{-NFA}(n)) \subset \mathcal{L}(2\text{-NFA}(n)) \text{ for all } n \geq 2.$$

This holds because the language of palindromes

$$L_{pal} = \{w \mid w \in \{a, b\}^* \text{ and } w = w^R\}$$

cannot be accepted by any one-way multi-head automaton independently of how many heads are used. But  $L_{pal}$  can easily be accepted by a deterministic two-way multi-head automaton with only two heads: initially the first head is positioned on the first letter of the input, while the second head is moved to the right end, i.e. the last letter of the input word. Then, both heads are moved simultaneously comparing each scanned symbol, the first head reads the input from the left to the right and the second head reads the input from the right to the left. Reaching the according other end of the tape, the word is accepted by the automaton. Otherwise, if two compared symbols are not equal, the automaton halts and rejects, since the input is not a palindrome.

Hierarchy results for two-way multi-head automata can be found in [Mon80, Iba73, Sud77]. Summarizing it is known that

$$\mathcal{L}(2\text{-DFA}(n)) \subset \mathcal{L}(2\text{-DFA}(n+1)) \text{ and } \mathcal{L}(2\text{-NFA}(n)) \subset \mathcal{L}(2\text{-NFA}(n+1)).$$

An important result that we will use below is the characterization of the well-known complexity classes  $L$  and  $NL$  by two-way multi-head automata [Har72]:

$$L = \mathcal{L}(2\text{-DFA}) \text{ and } NL = \mathcal{L}(2\text{-NFA}).$$

Another approach concerning parallel computations in finite automata is the *multi-processor automaton* that was introduced by A.O. Buda in [Bud87]. This device is equipped with a finite number of processors that are working simultaneously on a common working tape. The behaviour of each processor depends on its own current state and the input symbol it currently reads and is determined by a common transition mapping. Moreover, a global switching function determines, depending on the current states of all processors, which of them are active and which of them are idle.

In contrast to multi-head automata that have only one control with several heads the computation of a multi-processor automaton with several (more or less

independent<sup>2</sup>) processing units has a higher degree of distribution. Nevertheless it was proved that both concepts have the same computational power. In the original paper it was proved that each  $n$ -processor automaton can be simulated by an  $n$ -head automaton. Later Āuriš et al. proved the other direction [ĀJKL98] with the following consequences:

$$\mathcal{L}(1\text{-DP}) = \mathcal{L}(1\text{-DFA}), \mathcal{L}(1\text{-NP}) = \mathcal{L}(1\text{-NFA}), \mathcal{L}(2\text{-DP}) = \mathcal{L}(2\text{-DFA}),$$

$$\text{and } \mathcal{L}(2\text{-NP}) = \mathcal{L}(2\text{-NFA}),$$

where  $\mathcal{L}(1\text{-DP})$  ( $\mathcal{L}(1\text{-NP})$ ,  $\mathcal{L}(2\text{-DP})$ ,  $\mathcal{L}(2\text{-NP})$ ) is the class of languages that are accepted by a one-way deterministic (one-way nondeterministic, two-way deterministic, two-way nondeterministic) multi-processor automaton.

According to the number of heads or processors, respectively, the authors of [ĀJKL98] showed that each nondeterministic  $n$ -head automaton can be simulated by an  $n$ -processor automaton:

$$\mathcal{L}(1\text{-NP}(n)) = \mathcal{L}(1\text{-NFA}(n)) \text{ and } \mathcal{L}(2\text{-NP}(n)) = \mathcal{L}(2\text{-NFA}(n)).$$

In the deterministic one-way case this equality does not hold:

$$\mathcal{L}(1\text{-DP}(2)) \subset \mathcal{L}(1\text{-DFA}(2)).$$

But the simulation works when two additional processors are used:

$$\mathcal{L}(1\text{-DFA}(n)) \subseteq \mathcal{L}(1\text{-DP}(n+2)) \text{ and } \mathcal{L}(2\text{-DFA}(n)) \subseteq \mathcal{L}(2\text{-DP}(n+2)).$$

Multi-head and multi-processor automata are early examples for parallel computations in formal language devices. In the next sections systems of parallel communicating devices are presented that have a rather different structure, but also some interesting similarities.

### 3.2 Parallel communicating finite automata systems

Parallel communicating finite automata systems (PCFA systems for short) were introduced by Martín-Vide, Mateescu, and Mitrana in 2002 [MMM02]. They can be seen as an in-between of the multi-processor automaton on the one hand and the multi-head finite automaton on the other hand. Both kinds of models are somehow extremes in coordinating their work: in the former one the processors

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<sup>2</sup>On the one hand a transition step for a particular processor is independent of the other processors. On the other hand it depends on the states of all processors, whether a particular processor is active or not.

work quite independently of each other and the cooperation is very loose, while in the latter one there is just a single control using several heads. Further, PCFA systems can be seen as a counterpart to PC grammar systems with the difference that an automata system accepts input words instead of generating them like a grammar system does.

A PCFA system consists of several finite automata that communicate by their states. Originally, they all use the same input tape, but have their own finite control and one-way read-only head (observe the similarity to multi-processor automata). However, w.l.o.g. it seems more natural to imagine that each automaton has its own input tape like it is shown in Figure 3.2. The finite automata within the system are called components and the number of components determines the degree of the system.

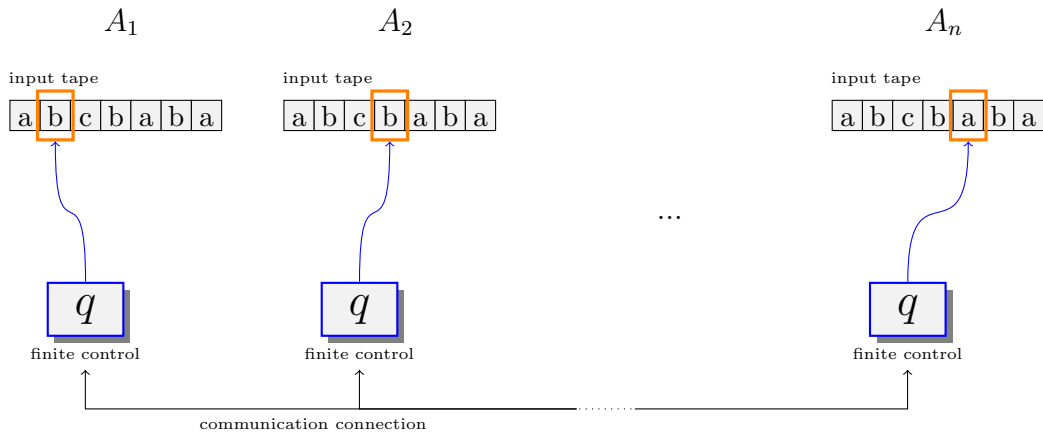


Figure 3.2: Schematic representation of a PCFA system with components  $A_1, A_2, \dots, A_n$ .

Formally, a *parallel communicating finite automata system*  $\mathcal{A}$  of degree  $n$  is described by an  $(n + 2)$ -tuple

$$\mathcal{A} = (\Sigma, A_1, A_2, \dots, A_n, K),$$

where  $\Sigma$  is the non-empty finite input alphabet, and for all  $1 \leq i \leq n$ ,

$$A_i = (Q_i, \Sigma, \delta_i, q_i, F_i)$$

is a finite automaton with a set of states  $Q_i$ , the initial state  $q_i \in Q_i$ , the set of final states  $F_i \subseteq Q_i$ , and the transition mapping  $\delta_i : Q_i \times (\Sigma \cup \{\varepsilon\}) \rightarrow \mathcal{P}(Q_i)$ . The set

$$K = \{K_1, K_2, \dots, K_n\} \subseteq \bigcup_{i=1}^n Q_i$$

contains the query states that are used for communication<sup>3</sup>. For each component  $A_i$ , the set  $K$  contains a dedicated query state  $K_i$ . In general, a PCFA system is nondeterministic. However, if for all  $1 \leq i \leq n$ ,  $\delta_i$  is a partial function, and moreover,  $\delta_i(s, \varepsilon) \neq \emptyset$  implies  $\delta_i(s, a) = \emptyset$  for all  $s \in Q_i$  and  $a \in \Sigma$ , then the system is deterministic.

A PCFA system is called *centralized* if there exists only one  $1 \leq i \leq n$  with  $K \subseteq Q_i$ . The component  $A_i$  is then called the *master* of the system, and each communication can only be initiated by  $A_i$ . For simplicity it is mostly assumed that the first component is the master of the system.

A configuration of a PCFA system of degree  $n$  is a  $2n$ -tuple

$$(s_1, x_1, s_2, x_2, \dots, s_n, x_n),$$

where  $s_i \in Q_i$  is the current state of  $A_i$ , and  $x_i$  is the part of the input word that is not yet read by the component  $A_i$ ,  $1 \leq i \leq n$ . There are two kinds of computation steps: 1) a local computation step and 2) a communication step. A local step can only be applied if none of the current states is a query state. Then each component of the system performs exactly one computation step. If at least one component is actually in a query state, then communication takes place. That means that each requested component sends its current state to the requesting component. It is required that query states themselves cannot be communicated. Thus, if the requested state is also a query state, then this communication has to be resolved first. Whenever there occurs a circular query, then the computation of the system is blocked, the system halts, and it rejects the input.

Let  $(s_1, x_1, s_2, x_2, \dots, s_n, x_n)$  and  $(p_1, y_1, p_2, y_2, \dots, p_n, y_n)$  be two configurations. Then

$$(s_1, x_1, s_2, x_2, \dots, s_n, x_n) \vdash (p_1, y_1, p_2, y_2, \dots, p_n, y_n)$$

is a computation step if and only if one of the following two conditions is fulfilled:

- (local computation step)  $K \cap \{s_1, s_2, \dots, s_n\} = \emptyset$ ,  $x_i = a_i y_i$ ,  $a_i \in \Sigma \cup \{\varepsilon\}$ , and  $p_i \in \delta_i(s_i, a_i)$  for all  $1 \leq i \leq n$ ; or
- (communication step)  $K \cap \{s_1, s_2, \dots, s_n\} \neq \emptyset$ , for all  $1 \leq i \leq n$  for which  $s_i = K_{j_i}$  and  $s_{j_i} \notin K$ ,  $p_i = s_{j_i}$ , for all the other  $1 \leq r \leq n$ ,  $p_i = s_i$ , and for all  $1 \leq t \leq n$ ,  $y_i = x_i$ .

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<sup>3</sup>In difference to the original definition we allow  $K \subseteq \{K_1, \dots, K_n\}$  instead of  $K = \{K_1, \dots, K_n\}$ , omitting communication states that will not be used. Otherwise, an inaccuracy would appear in the case of centralized systems (see below), where component  $A_1$  must unnecessarily contain the state  $K_1$ .

In the above definition of a computation step, a component whose state was requested by another component continues its computation from the communicated state. This strategy is called *non-returning mode*. Another strategy is to reset the requested component to its initial state. This is called the *returning mode* and is formally defined in the following way. For two configurations  $(s_1, x_1, s_2, x_2, \dots, s_n, x_n)$  and  $(p_1, y_1, p_2, y_2, \dots, p_n, y_n)$

$$(s_1, x_1, s_2, x_2, \dots, s_n, x_n) \vdash_r (p_1, y_1, p_2, y_2, \dots, p_n, y_n)$$

is a computation step in the returning mode iff one of the following two conditions holds:

- (local computation step)  $K \cap \{s_1, s_2, \dots, s_n\} = \emptyset$ ,  $x_i = a_i y_i$ ,  $a_i \in \Sigma \cup \{\varepsilon\}$ , and  $p_i \in \delta_i(s_i, a_i)$  for all  $1 \leq i \leq n$ ; or
- (communication step)  $K \cap \{s_1, s_2, \dots, s_n\} \neq \emptyset$ , for all  $1 \leq i \leq n$  for which  $s_i = K_{j_i}$  and  $s_{j_i} \notin K$ ,  $p_i = s_{j_i}$  and  $p_{j_i} = q_{j_i}$ , for all the other  $1 \leq r \leq n$ ,  $p_i = s_i$ , and for all  $1 \leq t \leq n$ ,  $y_i = x_i$ .

The reflexive and transitive closures of the relations  $\vdash$  and  $\vdash_r$  are denoted by  $\vdash^*$  and  $\vdash_r^*$ , respectively. A computation of a PCFA system is a sequence of computation steps and it holds that a pair of two configurations  $\kappa$  and  $\kappa'$  is an element of  $\vdash^*$ ,  $\vdash_r^*$  respectively, if and only if there exists a computation from  $\kappa$  to  $\kappa'$ . The initial configuration for a system of degree  $n$  and an input word  $w$  is  $(q_1, w, q_2, w, \dots, q_n, w)$ . The languages that are accepted by a PCFA system  $\mathcal{A}$  in the non-returning and the returning mode are defined by

$$L(\mathcal{A}) = \{w \in \Sigma^* \mid (q_1, w, q_2, w, \dots, q_n, w) \vdash^* (s_1, \varepsilon, s_2, \varepsilon, \dots, s_n, \varepsilon) \\ \text{with } s_i \in F_i \text{ for all } 1 \leq i \leq n\}$$

and

$$L_r(\mathcal{A}) = \{w \in \Sigma^* \mid (q_1, w, q_2, w, \dots, q_n, w) \vdash_r^* (s_1, \varepsilon, s_2, \varepsilon, \dots, s_n, \varepsilon) \\ \text{with } s_i \in F_i \text{ for all } 1 \leq i \leq n\}.$$

Due to the different possibilities of how to combine the properties of being centralized/non-centralized and returning/non-returning, we distinguish four different types of PCFA systems:

- PCFA( $n$ ) - non-centralized and non-returning PCFA systems of degree  $n$ ,
- CPCFA( $n$ ) - centralized and non-returning PCFA systems of degree  $n$ ,
- RPCFA( $n$ ) - non-centralized and returning PCFA systems of degree  $n$ , and

- RCPCFA( $n$ ) - centralized and returning PCFA systems of degree  $n$ .

The deterministic variants of the PCFA systems get the prefix ‘D’, i.e. DPCFA, DCPCFA, DRPCFA, and DRCPCFA. The class of languages that are accepted by a PCFA system of type  $X \in \{\text{PCFA, CPCFA, RPCFA, RCPCFA, DPCFA, DCPCFA, DRPCFA, DRCPCFA}\}$  and degree  $n$  is denoted by  $\mathcal{L}(X(n))$ . The class of languages that can be accepted by a PCFA system of type  $X$  with arbitrarily many components is denoted by

$$\mathcal{L}(X) = \bigcup_{n \geq 1} \mathcal{L}(X(n)).$$

In the following example from [MMM02] a system  $\mathcal{A}$  is given that accepts the language  $L_{a^n b^n c^n}$ . This language is not context-free, which gives a first impression of the computational power of PCFA systems.

**Example 2.** A system that accepts  $L_{a^n b^n c^n}$  consists of two components that basically work as follows: the first component waits, while the second component moves its head over the  $a$ 's to the beginning of the  $b$ 's. Then both components compare the number of  $a$ 's and the number of  $b$ 's just by moving their heads synchronously. If the number of  $a$ 's equals the number of  $b$ 's, then  $A_1$  reaches the  $b$ 's at the same time that  $A_2$  reaches the  $c$ 's. The number of  $b$ 's and  $c$ 's are compared in the same way. In the whole computation both components work synchronously, and  $A_1$  requests the state of  $A_2$  after each local computation step. Since  $A_2$  stores the information about its own currently read symbol in its state,  $A_1$  knows the current symbol of  $A_2$  in each step.

The system is given by

$$\mathcal{A} = (\{a, b, c\}, A_1, A_2, \{K_1, K_2\})$$

with the two components

$$\begin{aligned} A_1 &= (\{q_1, q_2, q_f, s_1, s_2, s_f, K_2\}, \{a, b, c\}, \delta_1, q_1, \{q_f\}) \text{ and} \\ A_2 &= (\{q_1, q_2, q_f, s_1, s_2, s_f\}, \{a, b, c\}, \delta_2, q_2, \{s_f\}), \end{aligned}$$

where  $\delta_1$  and  $\delta_2$  are defined as follows:

$$\begin{aligned} \delta_1(q_1, \varepsilon) &= K_2, & \delta_2(q_2, a) &= q_2, \\ \delta_1(s_1, a) &= K_2, & \delta_2(q_2, b) &= s_1, \\ \delta_1(q_2, \varepsilon) &= K_2, & \delta_2(s_1, b) &= s_1, \\ \delta_1(s_2, b) &= K_2, & \delta_2(s_1, c) &= s_2, \\ \delta_1(s_f, c) &= q_f, & \delta_2(s_2, c) &= s_2, \\ \delta_1(q_f, c) &= q_f, & \delta_2(s_2, \varepsilon) &= s_f, \\ & & \delta_2(s_f, \varepsilon) &= s_f. \end{aligned}$$

A computation of  $\mathcal{A}$  for an input word  $a^n b^n c^n$  proceeds as follows:

$$\begin{array}{l|l}
(q_1, a^n b^n c^n, q_2, a^n b^n c^n) & \vdash (K_2, b^n c^n, s_2, c^{n-1}) \\
\vdash (K_2, a^n b^n c^n, q_2, a^{n-1} b^n c^n) & \vdash (s_2, b^n c^n, s_2, c^{n-1}) \\
\vdash (q_2, a^n b^n c^n, q_2, a^{n-1} b^n c^n) & \vdash^{2(n-1)} (s_2, b c^n, s_2, \varepsilon) \\
\vdash^{2(n-1)} (q_2, a^n b^n c^n, q_2, b^n c^n) & \vdash (K_2, c^n, s_f, \varepsilon) \\
\vdash (K_2, a^n b^n c^n, s_1, b^{n-1} c^n) & \vdash (s_f, c^n, s_f, \varepsilon) \\
\vdash (s_1, a^n b^n c^n, s_1, b^{n-1} c^n) & \vdash (q_f, c^{n-1}, s_f, \varepsilon) \\
\vdash^{2(n-1)} (s_1, a b^n c^n, s_1, c^n) & \vdash^{2-1} (q_f, \varepsilon, s_f, \varepsilon)
\end{array}$$

□

The following facts result immediately from the definition:

1.  $\mathcal{L}(\text{CPCFA}(n)) \subseteq \mathcal{L}(\text{PCFA}(n))$ ,  $\mathcal{L}(\text{RCPCFA}(n)) \subseteq \mathcal{L}(\text{RPCFA}(n))$ ,  
 $\mathcal{L}(\text{DCPCFA}(n)) \subseteq \mathcal{L}(\text{DPCFA}(n))$ , and  $\mathcal{L}(\text{DRCPCFA}(n)) \subseteq \mathcal{L}(\text{DRPCFA}(n))$ ,
2.  $\mathcal{L}(X(n)) \subseteq \mathcal{L}(X(n+1))$  for all  $X \in \{\text{PCFA}, \text{CPCFA}, \text{RPCFA}, \text{RCPCFA}, \text{DPCFA}, \text{DCPCFA}, \text{DRPCFA}, \text{DRCPCFA}\}$ .<sup>4</sup>

The authors of [MMM02] showed that non-centralized and non-returning PCFA systems of degree  $n$  have the same computational power as one-way  $n$ -head automata in the deterministic and in the nondeterministic case:

$$\mathcal{L}(\text{DPCFA}(n)) = \mathcal{L}(\text{1-DFA}(n)) \text{ and } \mathcal{L}(\text{PCFA}(n)) = \mathcal{L}(\text{1-NFA}(n)).$$

A direct consequence of this result and the fact that multi-head pushdown automata satisfy the semi-linearity property is that all types of PCFA systems can only accept semi-linear languages. Later, Choudhary, Krithivasan, and Mitranu showed in [CKM07] that the second of the above equalities also holds for nondeterministic, non-centralized, and returning PCFA systems:

$$\mathcal{L}(\text{RPCFA}(n)) = \mathcal{L}(\text{PCFA}(n)) = \mathcal{L}(\text{1-NFA}(n)).$$

Thus, returning and non-returning systems are equally powerful in the nondeterministic and non-centralized version. Like in [MMM02] the authors proved this by simulating a one-way multi-head finite automaton. The question, whether this equation also holds for deterministic non-centralized PCFA systems was answered by Bordihn, Kutrib, and Malcher in [BKM08]:

$$\mathcal{L}(\text{DRPCFA}(n)) = \mathcal{L}(\text{DPCFA}(n)) = \mathcal{L}(\text{1-DFA}(n)).$$

---

<sup>4</sup>Whether these inclusions form a strict hierarchy we will see below.

For the proof the authors defined and used the ‘cycling-token-method’, where an information token is cyclically communicated within the system. Moreover, they proved that in the deterministic non-returning case, centralized systems are weaker than non-centralized systems:

$$\mathcal{L}(\text{DCPCFA}) \subset \mathcal{L}(\text{DPCFA})$$

and that deterministic systems are less powerful than nondeterministic systems:

$$\mathcal{L}(\text{DCPCFA}) \subset \mathcal{L}(\text{CPCFA}) \text{ and } \mathcal{L}(\text{DPCFA}) \subset \mathcal{L}(\text{PCFA}).$$

For returning systems the proper inclusion

$$\mathcal{L}(\text{DRPCFA}) \subset \mathcal{L}(\text{RPCFA})$$

is immediately obtained due to the above connections and

$$\mathcal{L}(\text{DRPCFA}) \subset \mathcal{L}(\text{RCPCFA})$$

can be shown similarly to the proof in [BKM08]: the complement of the language of palindromes  $L_{pal}$  over the alphabet  $\{a, b\}$ , i.e.  $\overline{L_{pal}}$ , is accepted by an RCPCFA(3)-system (see Example 3 below). Suppose  $\overline{L_{pal}}$  is also accepted by any DRPCFA-system with arbitrarily many components, then  $\overline{L_{pal}} \in \mathcal{L}(\text{1-DFA})$ . Since  $\mathcal{L}(\text{1-DFA})$  is closed under complementation [Ros66],  $L_{pal} \in \mathcal{L}(\text{1-DFA})$ . This is a contradiction, because it is well-known that even  $L_{pal} \notin \mathcal{L}(\text{1-NFA})$  [WW86]. Thus,  $L_{pal} \notin \text{DRPCFA}$ .

**Example 3** (RCPCFA-system for  $\overline{L_{pal}} = \{a, b\}^* \setminus \{w \mid w \in \{a, b\}^* \text{ and } w = w^R\}$ ). In Example 2 we presented a centralized system working in non-returning mode. Now, we define a centralized system

$$\mathcal{A} = (\{a, b\}, A_1, A_2, A_3, \{K_1, K_2, K_3\})$$

working in returning mode and accepting the complement of the language of all palindromes over the alphabet  $\{a, b\}$ , i.e.  $\overline{L_{pal}}$ . A word  $w = w_1 w_2 \dots w_l$  is contained in  $\overline{L_{pal}}$  if and only if  $l \geq 2$  and there exists an integer  $i$  with  $\lceil \frac{l}{2} \rceil < i \leq l$  such that  $w_i \neq w_{l-i+1}$ .

The components of the system  $\mathcal{A}$  behave as follows: at the beginning of a computation the first component only performs  $\varepsilon$ -steps and remains in its initial state  $q_0$  without moving its head, while  $A_2$  and  $A_3$  move their heads in each step one position to the right. Thereby in each step  $A_2$  stores the last symbol read in the



current state, i.e. it changes into  $q_a$  if it reads an  $a$  or into  $q_b$  reading the symbol  $b$ . Subsequently, after  $i$  steps  $A_2$  is in state  $q_{w_i}$ . After exactly  $i$   $\varepsilon$ -steps  $A_1$  requests the current state of  $A_2$  that contains the symbol  $w_i$  by reaching nondeterministically the communication state  $K_2$ . From here the second component is not of interest anymore: it just reads the rest of the input, and performs  $\varepsilon$ -steps in an accepting state. After the communication between  $A_1$  and  $A_2$  the former automaton moves its head one position to the right in each computation step. After exactly  $l - i + 1$  steps it reaches the communication state  $K_3$  due to a nondeterministic decision. Thus,  $A_1$  reaches state  $K_3$  in  $i + l - i + 1 = l + 1$  local steps. Since component  $A_3$  moves its head in each step one position to the right, it has read the entire input after  $l$  steps. With an additional  $\varepsilon$ -step it reaches a dedicated state  $q_f$  that is communicated to  $A_1$ . In the last phase of the computation  $A_1$  reads the remaining  $i - 1$  input symbols and  $\mathcal{A}$  accepts.

Formally, the components of  $\mathcal{A}$  are given by:

$$\begin{aligned} A_1 &= (\{q_0, K_2, q_a, q_b, q_f\}, \{a, b\}, \delta_1, q_0, \{q_f\}), \\ A_2 &= (\{p_0, q_a, q_b, p_e\}, \{a, b\}, \delta_2, p_0, \{p_e\}), \text{ and} \\ A_3 &= (\{s_0, s_1, q_f, s_e\}, \{a, b\}, \delta_3, s_0, \{q_f, s_e, s_0\}) \end{aligned}$$

with

$$\begin{aligned} \delta_1(q_0, \varepsilon) &= \{q_0, K_2\}, & \delta_2(p_0, a) &= \delta_2(q_a, a) = \delta_2(q_b, a) = \{q_a, p_e\}, \\ \delta_1(q_a, b) &= \{q_a, K_3\}, & \delta_2(p_0, b) &= \delta_2(q_a, b) = \delta_2(q_b, b) = \{q_b, p_e\}, \\ \delta_1(q_a, a) &= \{q_a\}, & \delta_2(p_0, \varepsilon) &= \delta_2(p_e, \varepsilon) = \{p_e\}, \\ \delta_1(q_b, a) &= \{q_b, K_3\}, & & \\ \delta_1(q_b, b) &= \{q_b\}, & \delta_3(s_0, a) &= \delta_3(s_0, b) = \{s_0, s_1\}, \\ \delta_1(q_f, a) &= \delta_1(q_f, b) = \{q_f\}, & \delta_3(s_1, \varepsilon) &= \{q_f\}, \\ & & \delta_3(q_f, \varepsilon) &= \delta_3(s_e, \varepsilon) = \delta_3(s_0, \varepsilon) = \{s_e\}. \end{aligned}$$

A computation of  $\mathcal{A}$  for a word  $w \in \overline{L_{pal}}$  is given by:

for $i < l$ :	for $i = l$ :
$(q_0, w, p_0, w, s_0, w)$	$(q_0, w, p_0, w, s_0, w)$
$\vdash^{i-1} (q_0, w, q_{w_{i-1}}, w_i \dots w_l, s_0, w_i \dots w_l)$	$\vdash^{l-1} (q_0, w, q_{w_{l-1}}, w_l, s_0, w_l)$
$\vdash (K_2, w, q_{w_i}, w_{i+1} \dots w_l, s_0, w_{i+1} \dots w_l)$	$\vdash (K_2, w, q_{w_l}, \varepsilon, s_1, \varepsilon)$
$\vdash (q_{w_i}, w, p_0, w_{i+1} \dots w_l, s_0, w_{i+1} \dots w_l)$	$\vdash (q_{w_l}, w, p_0, \varepsilon, s_1, \varepsilon)$
$\vdash^{l-i} (q_{w_i}, w_{l-i+1} \dots w_l, p_e, \varepsilon, s_1, \varepsilon)$	$\vdash (K_3, w_2 \dots w_l, p_e, \varepsilon, q_f, \varepsilon)$
$\vdash (K_3, w_{l-i+2} \dots w_l, p_e, \varepsilon, q_f, \varepsilon)$	$\vdash (q_f, w_2 \dots w_l, p_e, \varepsilon, s_0, \varepsilon)$
$\vdash (q_f, w_{l-i+2} \dots w_l, p_e, \varepsilon, s_0, \varepsilon)$	$\vdash^{l-1} (q_f, \varepsilon, p_e, \varepsilon, s_e, \varepsilon)$
$\vdash^{i-1} (q_f, \varepsilon, p_e, \varepsilon, s_e, \varepsilon)$	

Observe that although the components can nondeterministically choose the next state, there is only one correct choice for  $A_2$  and  $A_3$  in each accepting computation. If they choose wrongly by executing an  $\varepsilon$ -move in the middle of the input word (instead of at the end), then the remaining part of the input cannot be read anymore and thus the system does not accept. Moreover, in any accepting computation there are two situations where  $A_1$  performs nondeterministic choices: guess the position  $i$  and guess the position  $l - i + 1$ . If the second guess is wrong, i.e. it does not match the first guess, then  $A_1$  does not obtain  $q_f$  from  $A_3$ , because  $A_3$  is only in  $q_f$  after exactly  $l + 1$  local computation steps. If the first guess is wrong and the second guess fits to the first one, i.e.  $w_i = w_{l-i+1}$ , then  $A_1$  is not allowed to switch into  $K_3$  in  $l + 1$  steps due to third and the fifth transitions. In both cases  $A_1$  does not accept.

Obviously, if  $w \notin \overline{L_{pal}}$ , then  $A_1$  cannot guess successfully and thus cannot reach  $q_f$ . Therefore, in this case  $\mathcal{A}$  does not accept  $w$ .  $\square$

In Figure 3.3 we summarize the relations between the language classes that are characterized by multi-head finite automata and PCFA systems. An arrow denotes a proper inclusion and a dotted arrow denotes an inclusion that is not yet known to be proper.

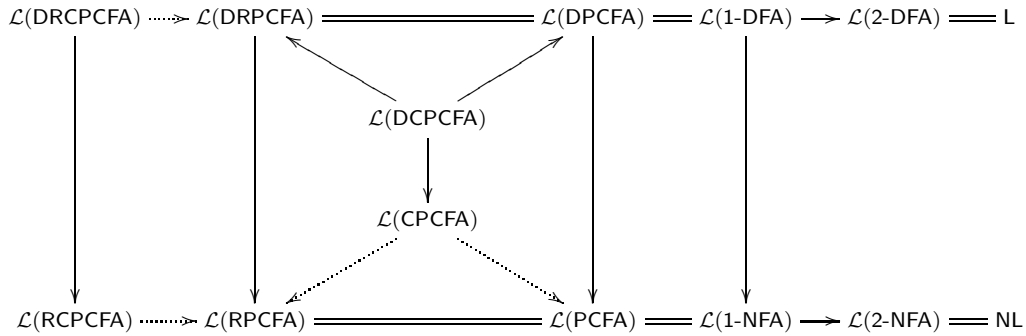


Figure 3.3: Relations between language classes characterized by PCFA systems and multi-head finite automata.

Besides the consideration of the computational power of the various types of PCFA systems, typical decidability problems were investigated in [MM01, BKM10]. Though almost all questions are decidable for single finite automata (see e.g. [HU79]), recent results show that most typical problems are not even semi-decidable even for the weakest types of PCFA systems [BKM11]. Table 3.1 gives an overview of some problems and these results can immediately be carried over to the language classes of the remaining types of PCFA systems.

Dealing with systems of several components, another interesting question is whether systems with more components are more powerful than systems with less

	$\mathcal{L}(\text{CPCFA}(n))$	$\mathcal{L}(\text{RCPCFA}(n))$	$\mathcal{L}(\text{DCPCFA}(n))$	$\mathcal{L}(\text{DRPCFA}(n))$
emptiness	$n \geq 2$	$n \geq 3$	$n \geq 2$	$n \geq 3$
universality	$n \geq 2$	$n \geq 2$	$n \geq 2$	$n \geq 3$
inclusion	$n \geq 2$	$n \geq 2$	$n \geq 2$	$n \geq 3$
equivalence	$n \geq 2$	$n \geq 2$	$n \geq 2$	$n \geq 3$
finiteness	$n \geq 2$	$n \geq 3$	$n \geq 2$	$n \geq 3$
infiniteness	$n \geq 2$	$n \geq 3$	$n \geq 2$	$n \geq 3$
regularity	$n \geq 2$	$n \geq 3$	$n \geq 2$	$n \geq 3$
context-freeness	$n \geq 2$	$n \geq 3$	$n \geq 2$	$n \geq 3$

Table 3.1: Undecidability results for PCFA systems. All problems are not even semi-decidable for the stated number of components.

components. For non-centralized PCFA systems this follows immediately from the equivalence with multi-head automata and the hierarchy results that are already known for multi-head automata:

$$\mathcal{L}(\mathbf{X}(n)) \subset \mathcal{L}(\mathbf{X}(n+1)) \text{ for all } n \geq 1 \text{ and } \mathbf{X} \in \{\text{PCFA}, \text{RPCFA}, \text{DPCFA}, \text{DRPCFA}\}.$$

In [BKM11] it is proved that there exists an infinite strict hierarchy also for centralized non-returning PCFA systems:

$$\mathcal{L}(\mathbf{X}(n)) \subset \mathcal{L}(\mathbf{X}(n+1)) \text{ for all } n \geq 1 \text{ and } \mathbf{X} \in \{\text{CPCFA}, \text{DCPCFA}\}.$$

For centralized systems working in the returning mode this is still an open question.

In the next section we will have a look at a more powerful variant of PC systems, namely parallel communicating pushdown automata systems. These systems use a quite different communication protocol: instead of communicating states as in PCFA systems, the components send their stack content by request. It turns out that also these systems are related to well-known language classes of the Chomsky-hierarchy.

### 3.3 Parallel communicating pushdown automata systems

Parallel communicating pushdown automata systems (we will abbreviate them as PCPA systems) were introduced by Csuhanj-Varjú et al. in the year 2000 [CMMV00] (see also [MM00] for a more compact introduction). Such a system consists of several pushdown automata (the components) that are able to communicate with each other. In contrast to PCFA systems, PCPA systems are defined to communicate via requesting and sending the content of their stacks. Although a communication by states is possible for PCPA systems as well, the authors of [CMMV00] stated

that such systems can obviously simulate two-stack automata. Figure 3.4 shows the schematical construction of a PCPA system.

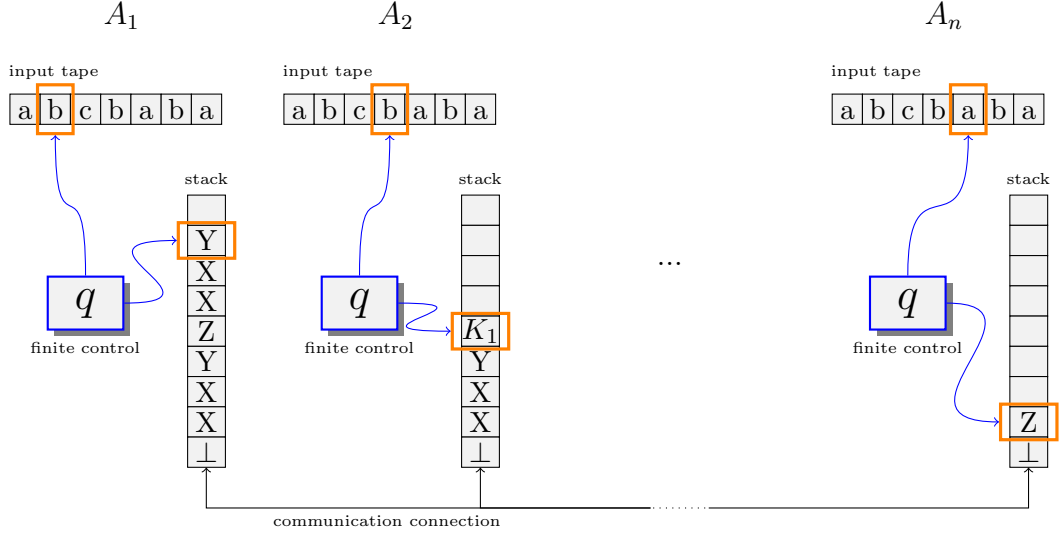


Figure 3.4: Schematic representation of a PCPA system.

Formally, a *parallel communicating pushdown automata system*  $\mathcal{A}$  of degree  $n$  is an  $(n + 3)$ -tuple

$$\mathcal{A} = (V, \Delta, A_1, A_2, \dots, A_n, K),$$

where  $V$  is the input alphabet,  $\Delta$  is the stack alphabet,  $K \subseteq \{K_1, K_2, \dots, K_n\} \subseteq \Delta$  is the set of query symbols, and for all  $1 \leq i \leq n$ ,

$$A_i = (Q_i, V, \Delta, \delta_i, q_i, Z_i, F_i)$$

is a pushdown automaton, where

- $Q_i$  is the set of states,
- $V$  is the input alphabet,
- $\Delta$  is the stack alphabet,
- $\delta_i$  is the transition mapping from  $Q_i \times (V \cup \{\varepsilon\}) \times \Delta$  into  $\mathcal{P}(Q_i \times \Delta^*)$ ,
- $q_i \in Q_i$  is the initial state,
- $Z_i \in \Delta$  is the bottom symbol of the stack, and
- $F_i \subseteq Q_i$  is the set of final states.

A configuration of a PCPA system of degree  $n$  is a  $3n$ -tuple

$$(s_1, x_1, \alpha_1, s_2, x_2, \alpha_2, \dots, s_n, x_n, \alpha_n),$$

where for all  $1 \leq i \leq n$ ,  $s_i \in Q_i$  is the current state of  $A_i$ ,  $x_i \in V^*$  is the remaining part of the input word that is not yet read by  $A_i$ , and  $\alpha_i \in \Delta^*$  is the current stack content of  $A_i$  with the first letter being the topmost symbol of the stack. For an input word  $w \in V^*$ , the initial configuration is

$$(q_1, w, Z_1, q_2, w, Z_2, \dots, q_n, w, Z_n)$$

such that each component starts with the same input on its input tape and with only the bottom symbol on its stack.

For two configurations  $(s_1, x_1, B_1\alpha_1, \dots, s_n, x_n, B_n\alpha_n)$  and  $(p_1, y_1, \beta_1, \dots, p_n, y_n, \beta_n)$  with  $B_i \in \Delta$  and  $\alpha_i, \beta_i \in \Delta^*$  for all  $1 \leq i \leq n$ ,

$$(s_1, x_1, B_1\alpha_1, \dots, s_n, x_n, B_n\alpha_n) \vdash (p_1, y_1, \beta_1, \dots, p_n, y_n, \beta_n)$$

is a computation step iff one of the following two conditions holds:

- (local computation step)
  - $K \cap \{B_1, B_2, \dots, B_n\} = \emptyset$  and for all  $1 \leq i \leq n$ :
  - $x_i = a_i y_i$ ,  $a_i \in V \cup \{\varepsilon\}$ ,  $(p_i, \beta'_i) \in \delta_i(s_i, a_i, B_i)$ , and  $\beta_i = \beta'_i \alpha_i$ ; or
- (communication step)
  - for all  $1 \leq i \leq n$  with  $B_i = K_{j_i}$  and  $B_{j_i} \notin K$ :  $\beta_i = B_{j_i} \alpha_{j_i} \alpha_i$ ,
  - for all other  $1 \leq r \leq n$ :  $\beta_r = B_r \alpha_r$ , and
  - for all  $1 \leq t \leq n$ :  $y_t = x_t$  and  $p_t = s_t$ .

Whenever a communication can be performed this has a higher priority than the local computation. Thus, if the topmost stack symbol of any component is a query symbol, then a communication step has to be executed and no component is allowed to perform a local computation step. Moreover, a component can send its stack content only if the topmost symbol of its own stack is not a query symbol. In that case this communication has to be resolved first. If there is a circular query, then the system is blocked. Another strategy for the communication is the returning mode (the previous strategy is called non-returning mode). Here, after communicating the stack content, the stack of the requested component is emptied and the bottom symbol is put onto it. Thus, the requested stack is initialized like

in the very beginning of the computation. Formally,

$$(s_1, x_1, B_1\alpha_1, \dots, s_n, x_n, B_n\alpha_n) \vdash_{\bar{r}} (p_1, y_1, \beta_1, \dots, p_n, y_n, \beta_n)$$

is a computation step iff one of the following two conditions holds:

- (local computation step)
  - $K \cap \{B_1, B_2, \dots, B_n\} = \emptyset$  and for all  $1 \leq i \leq n$ :
  - $x_i = a_i y_i$ ,  $a_i \in V \cup \{\varepsilon\}$ ,  $(p_i, \beta'_i) \in \delta_i(s_i, a_i, B_i)$ , and  $\beta_i = \beta'_i \alpha_i$ ; or
- (communication step in the returning mode)
  - for all  $1 \leq i \leq n$  with  $B_i = K_{j_i}$  and  $B_{j_i} \notin K$ :  $\beta_i = B_{j_i} \alpha_{j_i} \alpha_i$  and  $\beta_{j_i} = Z_{j_i}$ ,
  - for all other  $1 \leq r \leq n$ :  $\beta_r = B_r \alpha_r$ , and
  - for all  $1 \leq t \leq n$ :  $y_t = x_t$  and  $p_t = s_t$ .

A computation of a PCPA system is denoted by  $\vdash^*$  in the non-returning mode and  $\vdash_{\bar{r}}^*$  in the returning mode that are the reflexive and transitive closures of  $\vdash$  and  $\vdash_{\bar{r}}$ , respectively. The language that is accepted by a PCPA system  $\mathcal{A}$  is defined as

$$L(\mathcal{A}) = \{w \in V^* \mid (q_1, w, Z_1, q_2, w, Z_2, \dots, q_n, w, Z_n) \vdash^* (s_1, \varepsilon, \alpha_1, \dots, s_n, \varepsilon, \alpha_n) \\ \text{with } s_i \in F_i \text{ for all } 1 \leq i \leq n\}$$

in the non-returning mode and

$$L_r(\mathcal{A}) = \{w \in V^* \mid (q_1, w, Z_1, q_2, w, Z_2, \dots, q_n, w, Z_n) \vdash_{\bar{r}}^* (s_1, \varepsilon, \alpha_1, \dots, s_n, \varepsilon, \alpha_n) \\ \text{with } s_i \in F_i \text{ for all } 1 \leq i \leq n\}$$

in the returning mode.

If only one component is allowed to request the stack content of any other component (i.e. only this component is allowed to write query symbols on the stack), then the system is called *centralized* and this component is called the *master* of the system. For simplicity it is often assumed that the first component is the master within a centralized system.

To distinguish the different types of PCPA systems we use the following notation<sup>5</sup>:

- PCPA( $n$ ): PCPA system of degree  $n$ ,

<sup>5</sup>Here we differ from the notation in [CMMV00], where the different types are written in lowercase and the according language classes are written in capital letters.

- $\text{CPCPA}(n)$ : centralized PCPA system of degree  $n$ ,
- $\text{RPCPA}(n)$ : returning PCPA system of degree  $n$ ,
- $\text{RCPCPA}(n)$ : returning centralized PCPA system of degree  $n$ .

The class of all languages that are accepted by any PCPA system of type  $X \in \{\text{PCPA}(n), \text{CPCPA}(n), \text{RPCPA}(n), \text{RCPCPA}(n)\}$  is denoted by  $\mathcal{L}(X)$ .

The authors of [CMMV00] established the following results. Non-centralized systems are at least as powerful as centralized systems with the same number of components in the returning mode as well as in the non-returning mode:

$$\mathcal{L}(\text{CPCPA}(n)) \subseteq \mathcal{L}(\text{PCPA}(n)) \text{ and } \mathcal{L}(\text{RCPCPA}(n)) \subseteq \mathcal{L}(\text{RPCPA}(n)) \text{ for all } n \geq 1.$$

Systems of degree 1 characterize exactly the context-free languages and systems with more components are at least as powerful as systems with less components:

$$\begin{aligned} \mathcal{L}(X(1)) = \text{CFL} \text{ and } \mathcal{L}(X(n)) \subseteq \mathcal{L}(X(n+1)) \\ \text{for all } X \in \{\text{PCPA}, \text{CPCPA}, \text{RPCPA}, \text{RCPCPA}\} \text{ and } n \geq 1. \end{aligned}$$

Whether the hierarchy is strict for any of the above types is still an open problem.

Investigating the computational power of PCPA systems, it is proved in [CMMV00] that each non-returning PCPA system can be simulated by a returning system with twice as many components in the non-centralized case:

$$\mathcal{L}(\text{PCPA}(n)) \subseteq \mathcal{L}(\text{RPCPA}(2n)) \text{ for all } n \geq 2.$$

Furthermore, they proved that already two components are enough for non-returning and non-centralized systems to accept all recursively enumerable languages. In the case of returning non-centralized systems three components are used. For the proof a two-stack automaton is simulated that characterizes the language class RE [Har78]:

$$\mathcal{L}(\text{PCPA}(2)) = \mathcal{L}(\text{RPCPA}(3)) = \text{RE}.$$

For centralized systems a lower bound of the computational power is given by multi-head pushdown automata [CMMV00] (these are automata with one stack and multiple one-way read-only heads on the input tape, see e.g. [HI68] for further details): each language accepted by an  $n$ -head pushdown automaton is accepted by a centralized PCPA system with  $n$  components in the returning and in the non-returning case. Moreover, any  $n$ -pushdown automaton can be simulated by a centralized PCPA system with  $n+1$  components in the non-returning mode. An  $n$ -pushdown automaton uses one input tape with a one-way head and  $n$  stacks, see e.g. [BCCC96].

Later, Balan, Krithivasan, and Madhu proved in [BKM03] that even centralized PCPA systems with three components accept all recursively enumerable languages in the non-returning case:

$$\mathcal{L}(\text{CPCPA}(3)) = \text{RE}.$$

Similar to the proofs in [CMMV00] they simulate a two-stack automaton with a centralized PCPA system of degree 3. Moreover, the authors introduce two new communication protocols for PCPA systems: 1) filtered communication and 2) communicating a constant amount of stack symbols. The communication filter is realized by a projection for each component that determines which symbols of the according stack content are transmitted and which are not. In a communication step a requesting component replaces the query symbol only with the transmitted symbols, i.e. only with those symbols that were not filtered out. In the returning-mode only the transmitted symbols are deleted from the requested stack, such that the filtered symbols remain in the stack of the requested component. It was shown that PCPA systems with two components and communication filter are computationally complete independent of the system's type:

$$\mathcal{L}(\text{CPCPAF}(2)) = \mathcal{L}(\text{PCPAF}(2)) = \mathcal{L}(\text{RCPCPAF}(2)) = \mathcal{L}(\text{RPCPAF}(2)) = \text{RE},$$

where the 'F' in the name of the type signalizes the usage of a communication filter. With the other communication protocol, namely that only the topmost  $k$  symbols of the stack are transmitted for a constant  $k$  has lead to a similar result. The authors of [BKM03] proved that

$$\mathcal{L}(\text{PCPA}(2, k)) = \mathcal{L}(\text{RPCPA}(2, k)) = \mathcal{L}(\text{CPCPA}(3, k)) = \mathcal{L}(\text{RCPCPA}(2, k)) = \text{RE}$$

for all  $k \geq 1$ , where  $\text{X}(n, k)$  denotes the type of the according PCPA system of degree  $n$  that communicates only the topmost  $k$  stack symbols. Moreover, they stated that even  $\mathcal{L}(\text{CPCPA}(2, k)) = \text{RE}$  holds.

In 2009 M. S. Balan [Bal09] gave an answer to the question for the computational power of the remaining type of PCPA systems, namely the centralized returning PCPA systems. He claimed that any RCPCPA system of degree  $n$  can be simulated by an  $n$ -head pushdown automaton ( $n$ -PDA). As mentioned above, the opposite direction was already proved in [CMMV00]. Unfortunately, the proof of Balan is not correct. This was recently shown in [Ott12]. Moreover, the claim does not hold either as it was recently shown in [Pet12]. For this, Petersen constructed a RCPCPA-system of degree two that accepts the exponential language  $\{a^{2^n} \mid n \geq 1\}$  and used the fact that each unary language that is accepted by a multi-head pushdown automaton must be regular [HI68]. In the same work, Pe-



tersen showed that a RCPCPA(2)-system can simulate a one register machine with the operations multiplication and conditional division by 2 or 3. Thus, centralized and returning PCPA systems of degree two are universal. This also improves the result for non-centralized returning systems. In summary, we have:

$$\mathcal{L}(\text{RCPCPA}(2)) = \mathcal{L}(\text{RPCPA}(2)) = \text{RE}.$$

### 3.4 Parallel communicating Watson-Crick automata systems

In contrast to the variants of automata considered above, the Watson-Crick finite automaton is biochemically inspired. It was introduced in [FPRS97] as a theoretical approach for DNA computing. Basically, a *Watson-Crick finite automaton* (WK automaton, for short<sup>6</sup>) consists of a finite control and a Watson-Crick input tape that has two tracks of the same length lying one upon the other. On each track there is a one-way read-only head and both heads can be moved independently from the left-hand side of the tape to the right. The strings on the two tracks represent a double-stranded DNA molecule<sup>7</sup>, and each two symbols that stand at the same position (one stands above the other) are combined by a complementarity relation. In DNA molecules both strands are connected by a fixed one-to-one complementarity relation called *Watson-Crick complementarity relation* with the pairing  $(A, T)$  and  $(C, G)$ <sup>8</sup>. Figure 3.5 illustrates the structure of a WK automaton.

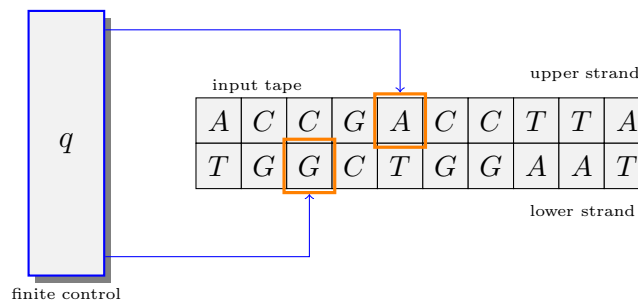


Figure 3.5: Schematic representation of a Watson-Crick finite automaton (following [PRS98], p. 154).

Although the Watson-Crick complementarity relation is determined by  $\{(A, T),$

<sup>6</sup>The abbreviation WK is obtained by taking the first and the last letter of ‘WATSON-CRICK’ [FPRS97].

<sup>7</sup>For this model DNA molecules are idealised and seen as linear double-stranded sequences of nucleotides without loops and branches.

<sup>8</sup> $A, C, G,$  and  $T$  stand for the four types of nucleotides DNA molecules consist of: adenine, cytosine, guanine, and thymine.

$(C, G)\}$ , the definition of WK automata is generalized in the sense that arbitrary symmetric binary relations over a finite alphabet are allowed. Formally, a Watson-Crick finite automaton is a 6-tuple

$$A = (V, \rho, Q, q_0, F, \delta),$$

where  $V$  is the finite input alphabet,  $\rho \subseteq V \times V$  is a symmetric relation representing the complementarity relation on  $V$ ,  $Q$  is a finite set of states containing the initial state  $q_0$ ,  $F$  is the set of final states with  $F \subseteq Q$ , and  $\delta : Q \times (V^* \times V^*) \rightarrow \mathcal{P}(Q)$  is the transition mapping with  $\delta(q, \binom{x}{y}) \neq \emptyset$  only for finitely many triples  $(q, x, y) \in Q \times V^* \times V^*$ . A transition  $q \in \delta(p, \binom{x}{y})$  means that being in state  $p$ , reading  $x$  on the upper strand, and reading  $y$  on the lower strand, the automaton can change into state  $q$  and move the input heads to the right of  $x$  and  $y$ , respectively. In general, a WK automaton is nondeterministic. Whenever  $\delta$  is a (partial) function to  $Q$ , the automaton is called deterministic.

Referring to the notation of double stranded DNA molecules the elements of  $V^* \times V^*$  are usually written as  $\binom{x}{y}$  instead of  $(x, y)$  and a transition  $q \in \delta(p, \binom{x}{y})$  is written as a rewriting rule  $p \binom{x}{y} \rightarrow \binom{x}{y} q$ . By  $\begin{bmatrix} x \\ y \end{bmatrix}$  well-formed double-stranded sequences are denoted, i.e.  $x$  and  $y$  have the same length and match according to the complementarity relation  $\rho$  (if  $x = x_1 \dots x_n$  and  $y = y_1 \dots y_n$ , then for each  $1 \leq i \leq n$ , it holds that  $(x_i, y_i) \in \rho$ ). Then, the Watson-Crick domain that is associated to  $\rho$  and  $V$  is defined as

$$WK_\rho(V) = \left\{ \begin{bmatrix} a_1 a_2 \dots a_n \\ b_1 b_2 \dots b_n \end{bmatrix} \mid n \geq 0, a_1, \dots, a_n, b_1, \dots, b_n \in V, \right. \\ \left. (a_1, b_1), \dots, (a_n, b_n) \in \rho \right\}$$

and contains all well-formed double-stranded sequences over  $V$ .

A computation step of a WK automaton is of the form

$$\binom{x_1}{y_2} p \binom{x_2}{y_2} \binom{x_3}{y_3} \Rightarrow \binom{x_1}{y_1} \binom{x_2}{y_2} q \binom{x_3}{y_3},$$

and it is allowed if and only if  $q \in \delta(p, \binom{x_2}{y_2})$ , where  $x_i, y_i \in V^*$  for  $1 \leq i \leq 3$  and

$\begin{bmatrix} x_1 x_2 x_3 \\ y_1 y_2 y_3 \end{bmatrix} \in WK_\rho(V)$ . The reflexive and transitive closure of  $\Rightarrow$  is denoted by  $\Rightarrow^*$ . The language that is accepted by a Watson-Crick automaton  $A$  is

$$L(A) = \left\{ x \in V^* \mid q_0 \begin{bmatrix} x \\ y \end{bmatrix} \Rightarrow^* \begin{bmatrix} x \\ y \end{bmatrix} q, q \in F, \begin{bmatrix} x \\ y \end{bmatrix} \in WK_\rho(V) \right\}.$$

The class of languages that are accepted by any Watson-Crick finite automaton is denoted by  $\mathcal{L}(\text{WK})$ .

The following example is taken from [CC06a]. It shows how a deterministic WK automaton  $A$  accepts the language  $L_{a^n b^n c^n}$ . Observe the similarity between the definition and the behaviour of  $A$ , the 2-head automaton in Example 1, and the PCFA system constructed in Example 2.

**Example 4.** A WK automaton  $A$  accepting the language  $L_{a^n b^n c^n}$  is constructed as follows:  $A = (\{a, b, c\}, \rho, \{q_0, q_1, q_2, q_f\}, q_0, \{q_f\}, \delta)$ . Here  $\rho = \{(a, a), (b, b), (c, c)\}$ , and  $\delta$  is given through:

$$\begin{aligned} \delta(q_0, \binom{a}{\varepsilon}) &= q_0, & \delta(q_1, \binom{b}{a}) &= q_1, & \delta(q_2, \binom{c}{b}) &= q_2, \\ \delta(q_0, \binom{b}{a}) &= q_1, & \delta(q_1, \binom{c}{b}) &= q_2, & \delta(q_2, \binom{\varepsilon}{c}) &= q_f, \\ \delta(q_f, \binom{\varepsilon}{c}) &= q_f. \end{aligned}$$

First, the head on the upper strand reads over all  $a$ 's until it reaches the first  $b$ . Then, the number of  $b$ 's of the upper strand is compared with the number of  $a$ 's of the lower strand by moving both heads synchronously. The number of  $b$ 's and  $c$ 's are compared in the same manner. At the end, the second head is moved to the right hand end of the input and the word is accepted if and only if it is of the form  $a^n b^n c^n$ .  $\square$

The complementarity relation is said to be injective if each symbol  $a \in V$  has a unique complementary symbol  $b \in V$  with  $(a, b) \in \rho$ . It is known that this is not a restriction and, moreover, for each WK automaton  $A$  with an arbitrary complementarity relation, there exists a WK automaton  $A'$  with the identity complementarity relation, i.e.  $\rho = \{(a, a) \mid a \in V\}$ , such that  $L(A) = L(A')$  [KW05]. A WK automaton with the identity complementarity relation can be interpreted just as an automaton with a tape with one track and two one-way read-only heads. Indeed, Watson-Crick finite automata have the same computational power as one-way 2-head finite automata [FPRS97].

In the literature several modifications and extensions of Watson-Crick automata have been studied, for instance: stateless WK automata, where  $Q = F = \{q_0\}$  [FPRS97], Watson-Crick  $\omega$ -automata [Pet03], Watson-Crick finite transducers [FPRS97], WK automata with an additional Watson-Crick memory [PRS98]. Based on the fact that the two strands of a DNA molecule have opposite 5'-3' orientations, reverse Watson-Crick finite automata are investigated (also called 5'  $\rightarrow$  3' Watson-Crick automata [FPRS97, LN10]). There, the two heads start on opposite ends of the input and one head moves from the left to the right and the other one moves from the right to the left. Reverse Watson-Crick automata are

also considered in the sensing case, i.e. the computation of an automaton ends when both heads meet each other [Nag08].

In [FPRS97] several variants of WK automata are introduced and compared with each other according to their computational power. Moreover, different characterizations of recursively enumerable languages by WK languages can be found there. In addition, results about deterministic WK automata and about their descriptonal complexity are presented in [CCKS09].

Besides the Watson-Crick complementarity relation parallelism plays a major rule in DNA computing. Accordingly, *parallel communicating Watson-Crick automata systems* (PCWK systems, for short) were introduced in [CC05] and investigated in [CC06b, CC06c, CC06a]. Similar to PCFA systems, a PCWK system is a finite collection of Watson-Crick finite automata, where each automaton works on its own input tape and communicates through sending its current state on request. Figure 3.6 shows the basic structure of a PCWK system. Formally, a PCWK system of degree  $n$  (abbreviated by PCWK( $n$ )) is an  $n + 3$ -tuple

$$\mathcal{A} = (V, \rho, A_1, A_2, \dots, A_n, K),$$

where  $V$  is the finite input alphabet,  $\rho$  is the complementarity relation,  $A_i = (V, \rho, Q_i, q_i, F_i, \delta_i)$  are the components of  $\mathcal{A}$ ,  $1 \leq i \leq n$ , and  $K \subseteq \{K_1, K_2, \dots, K_n\}$  is the set of communication states with  $K \subseteq \bigcup_{i=1}^n Q_i$ .

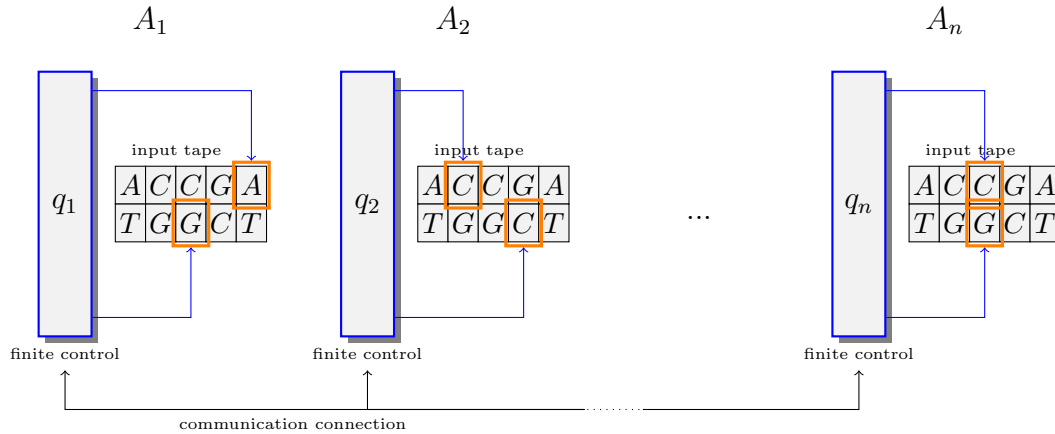


Figure 3.6: Schematic representation of a PCWK system with components  $A_1, A_2, \dots, A_n$ .

A configuration of a PCWK system  $\mathcal{A}$  of degree  $n$  is a  $2n$ -tuple

$$\left( p_1, \begin{pmatrix} u_1 \\ v_1 \end{pmatrix}, p_2, \begin{pmatrix} u_2 \\ v_2 \end{pmatrix}, \dots, p_n, \begin{pmatrix} u_n \\ v_n \end{pmatrix} \right),$$

where  $p_i$  is the current state of component  $A_i$  and  $\begin{pmatrix} u_i \\ v_i \end{pmatrix}$  is the remaining part of the input that has not yet been read by component  $A_i$ ,  $1 \leq i \leq n$ . Then, a computation step of  $\mathcal{A}$  is of the form

$$\left( p_1, \begin{pmatrix} u_1 \\ v_1 \end{pmatrix}, p_2, \begin{pmatrix} u_2 \\ v_2 \end{pmatrix}, \dots, p_n, \begin{pmatrix} u_n \\ v_n \end{pmatrix} \right) \vdash \left( r_1, \begin{pmatrix} x_1 \\ y_1 \end{pmatrix}, r_2, \begin{pmatrix} x_2 \\ y_2 \end{pmatrix}, \dots, r_n, \begin{pmatrix} x_n \\ y_n \end{pmatrix} \right)$$

and can be executed by  $\mathcal{A}$  if and only if one of the following two conditions holds:

1.  $K \cap \{p_1, p_2, \dots, p_n\} = \emptyset$ ,  $\begin{pmatrix} u_i \\ v_i \end{pmatrix} = \begin{pmatrix} z_i \\ z'_i \end{pmatrix} \begin{pmatrix} x_i \\ y_i \end{pmatrix}$ , and  $r_i \in \delta_i(p_i, \begin{pmatrix} z_i \\ z'_i \end{pmatrix})$  for all  $i \in \{1, \dots, n\}$  (local computation step);
2. for all  $i \in \{1, \dots, n\}$  such that  $p_i = K_{j_i}$  and  $p_{j_i} \notin K$ , it holds that  $r_i = p_{j_i}$ . For all other  $l \in \{1, \dots, n\}$ ,  $r_l = p_l$ . Moreover, for all  $i \in \{1, \dots, n\}$ ,  $\begin{pmatrix} x_i \\ y_i \end{pmatrix} = \begin{pmatrix} u_i \\ v_i \end{pmatrix}$  (communication step).

The reflexive and transitive closure of  $\vdash$  is  $\vdash^*$ , and the language accepted by  $\mathcal{A}$  is defined by

$$L(\mathcal{A}) = \left\{ x \in V^* \mid \left( q_1, \begin{bmatrix} x \\ y \end{bmatrix}, q_2, \begin{bmatrix} x \\ y \end{bmatrix}, \dots, q_n, \begin{bmatrix} x \\ y \end{bmatrix} \right) \vdash^* \right. \\ \left. \left( p_1, \begin{bmatrix} \varepsilon \\ \varepsilon \end{bmatrix}, p_2, \begin{bmatrix} \varepsilon \\ \varepsilon \end{bmatrix}, \dots, p_n, \begin{bmatrix} \varepsilon \\ \varepsilon \end{bmatrix} \right), p_i \in F_i \text{ for all } i \in \{1, \dots, n\} \right\}.$$

The class of all languages that are accepted by any parallel communicating Watson-Crick automata system is denoted by  $\mathcal{L}(\text{PCWK})$ . Moreover, by  $\mathcal{L}(\text{PCWK}_{in})$  we denote the class of languages that are accepted by any PCWK system with an injective complementarity relation.

The next example is taken from [CC06a] and shows how a non-injective complementarity relation can be used to accept the language  $\{a^{2^n} \mid n \geq 1\}$ .

**Example 5.** The idea for the construction of a PCWK system that accepts the language  $\{a^{2^n} \mid n \geq 1\}$  uses the equation

$$2^n = 1 + 2^0 + 2^1 + 2^2 + \dots + 2^{n-1}$$

and its representation in the complement  $bcbbccccbbbbbbcc\dots$  according to the complementarity relation  $\{(a, b), (a, c)\}$ . Thus, for each word of the form  $a^{2^n}$ , there exists the complement  $bc b^2 c^4 \dots b^{n-1}$  for even  $n$  and  $bc b^2 c^4 \dots c^{n-1}$  for odd  $n$ , respectively, such that  $\begin{bmatrix} aa \dots a \\ bcb^2 \dots b^{n-1} \end{bmatrix}$  ( $\begin{bmatrix} aa \dots a \\ bcb^2 \dots c^{n-1} \end{bmatrix}$ ) is the input of the system, i.e. the input of all components. If the number of  $a$ 's is not a power of two, then the described complement does not exist. Thus, the system basically verifies whether the complement begins with  $bc$  and the blocks of  $b$ 's and  $c$ 's alternate,

such that beginning with the second block, each following block contains exactly twice as many symbols as the previous block. For doing this, two deterministic components are taken:  $A_1$  and  $A_2$ . The first one reads the according previous block and  $A_2$  reads the according following block. In each comparison step,  $A_1$  reads one symbol and  $A_2$  reads two symbols. Thus, to verify whether the following block has twice the length of the previous block, both components check if they reach the beginning of the new block at the same computation step.

Formally,  $\mathcal{A}$  is defined by

$$\mathcal{A} = (\{a, b, c\}, \rho, A_1, A_2, \{K_1\})$$

with

$$\begin{aligned} \rho &= \{(a, b), (a, c)\}, \\ A_1 &= (\{a, b, c\}, \rho, \{q_1, r_b, r_c, r_{bc}, r_{cb}\}, q_1, \{r_b, r_c, r_{bc}, r_{cb}\}, \delta_1), \\ A_2 &= (\{a, b, c\}, \rho, \{q_2, s_1, r_b, r_c, r_{bc}, r_{cb}, f_2, K_1\}, q_2, \{f_2\}, \delta_2), \end{aligned}$$

where

$$\begin{aligned} \delta_1(q_1, \binom{a}{b}) &= r_b, & \delta_1(r_b, \binom{a}{c}) &= r_{bc}, & \delta_1(r_{bc}, \binom{a}{c}) &= r_c, & \delta_1(r_{bc}, \binom{a}{b}) &= r_{cb}, \\ \delta_1(r_b, \binom{a}{b}) &= r_b, & \delta_1(r_c, \binom{a}{c}) &= r_c, & \delta_1(r_c, \binom{a}{b}) &= r_{cb}, & \delta_1(r_{cb}, \binom{a}{b}) &= r_b, \\ \delta_2(q_2, \binom{aa}{bc}) &= s_1, & \delta_2(s_1, \binom{\varepsilon}{\varepsilon}) &= K_1, & \delta_2(r_{bc}, \binom{\varepsilon}{\varepsilon}) &= f_2, & \delta_2(r_{cb}, \binom{\varepsilon}{\varepsilon}) &= f_2, \\ \delta_2(f_2, \binom{\varepsilon}{\varepsilon}) &= f_2, & \delta_2(r_{bc}, \binom{aa}{bb}) &= K_1, & \delta_2(r_c, \binom{aa}{bb}) &= K_1, & \delta_2(r_b, \binom{aa}{cc}) &= K_1, \\ \delta_2(r_{cb}, \binom{aa}{cc}) &= K_1. \end{aligned}$$

□

It is known that each PCWK system of degree  $n$  with injective complementarity relation can be simulated by a one-way  $2n$ -head finite automaton and hence by a PCFA system of degree  $2n$  [CC06c]:

$$\mathcal{L}(\text{PCWK}_{in}(n)) \subseteq \mathcal{L}(\text{1-NFA}(2n)) = \mathcal{L}(\text{PCFA}(2n)).$$

Although for single Watson-Crick automata it has no effect whether the complementarity relation is injective or not, PCWK systems with non-injective complementarity relation are strictly more powerful than PCWK systems with injective complementarity relation [CC06b]. The PCWK system in Example 5 has a non-injective complementarity relation and accepts the non-semi-linear exponential language. On the other hand it is well-known that one-way multi-head automata only accept semi-linear languages.

That PCWK systems are strictly more powerful than single Watson-Crick au-

tomata even with injective complementarity relation was proved in [CC05]. There, a system is given for the language  $\{a^n b^m c^m d^n \mid n, m \geq 1\}$  that cannot be accepted by any single Watson-Crick automaton. An upper bound for  $\mathcal{L}(\text{PCWK})$  is CSL, since each PCWK system can be simulated by a linear bounded automaton [CC05]:

$$\mathcal{L}(\text{PCWK}) \subseteq \text{CSL}.$$

If we consider only languages over a one-letter alphabet, then PCWK systems with injective complementarity relation can only accept regular languages [CC05]. This follows by the facts that each such language  $L$  can be represented as  $L = h(L')$ , where  $h$  is a morphism, and  $L'$  is a unary language that is accepted by a WK automaton, each one-letter language accepted by a WK automaton is regular [FPRS97], and the regular languages are closed under morphisms.

Furthermore, it is known that  $\mathcal{L}(\text{PCWK})$  is closed under the following operations [CC06c]. Let  $L_1, L_2 \in \mathcal{L}(\text{PCWK})$  over an input alphabet  $V$ ,  $\# \notin V$  be a new symbol, and  $(\#, \#) \in \rho$ . Then  $(L_1 \cdot \{\#\}) \cap (L_2 \cdot \{\#\})$ ,  $L_1 \cdot \{\#\} \cdot L_2 \cdot \{\#\}$ , and  $(L_1 \cdot \{\#\})^*$  are contained in  $\mathcal{L}(\text{PCWK})$ .

### 3.5 Parallel communicating grammar systems

Parallel communicating grammar systems are shortly presented here, since they are chronologically the first kind of PC systems and play an important role within this research area. In contrast to PC automata systems, PC grammar systems are language generating devices instead of language accepting ones. They were introduced in [PS89] and intensively studied in [CDKP94].

A *parallel communicating grammar system*  $\mathcal{G}$  of degree  $n$  is an  $(n + 3)$ -tuple

$$\mathcal{G} = (N, K, T, G_1, \dots, G_n),$$

where  $N$  is the finite set of nonterminal symbols,  $K$  is the set of so-called query symbols,  $T$  is the finite set of terminal symbols, and  $G_1, \dots, G_n$  are usual phrase structure grammars with

$$G_i = (N \cup K, T, P_i, S_i)$$

for all  $1 \leq i \leq n$ , also called the components of  $\mathcal{G}$ . The set of query symbols  $K$  contains elements of the form  $Q_1, Q_2, \dots, Q_n$ , one query symbol for each component. To describe derivations of  $\mathcal{G}$ , *configurations* are defined as  $n$ -tuples  $(x_1, \dots, x_n)$ , where  $x_i \in (N \cup K \cup T)^*$  is a sentential form of component  $G_i$  for all  $1 \leq i \leq n$ .

As we have already seen in the case of PC automata systems, a global clock is

used to synchronize the computation of the components. The grammars of  $\mathcal{G}$  work together in the following way. Each starts its derivation from the start axiom  $S_i$  as usual. Then, in each global step, all  $G_i$  execute one local derivation step in parallel provided that no query symbol appears in a sentential form of any component. If there is a query symbol in some sentential form, then a communication step has to take place. In a communication step of the system all query symbols within the sentential forms are replaced by the corresponding sentential forms, i.e. a query symbol  $Q_j$  within the sentential form of  $G_i$  is replaced by the whole sentential form of  $G_j$ . This replacing can be done only if the sentential form of  $G_j$  does not contain query symbols itself. Such query symbols are not replaced in the current step but possibly in one of the next communication steps. As long as there are query symbols left in any sentential form, no local derivation is allowed. If there occurs a cyclic query, then the whole system is blocked. The description above demonstrates how components of a PC grammar system communicate with each other. They exchange information by sending their generated strings on request.

Similar to PC automata systems two different modes of communication steps can be defined: returning and non-returning. In the former case the grammars that have sent their sentential forms continue the derivation from their start axioms. In the latter case they continue from their unchanged sentential forms. Formally, these two types of derivation steps of a PC grammar system are defined as follows. For two configurations  $(x_1, \dots, x_n)$  and  $(y_1, \dots, y_n)$ , a derivation step  $(x_1, \dots, x_n) \Rightarrow (y_1, \dots, y_n)$  is allowed if and only if one of the following two conditions hold:

- For all  $1 \leq i \leq n$ , it holds either

- $x_i \in T^*$  and  $y_i = x_i$  (terminal word), or
- $|x_i|_K = 0$ ,  $|x_i|_V > 0$ , and  $x_i \Rightarrow y_i$  in  $G_i$  (local derivation step).

- Communication step. For the non-returning case:

For all  $x_i$  of the form  $x_i = z_1 Q_{i_1} z_2 Q_{i_2} \dots z_t Q_{i_t} z_{t+1}$ ,  $1 \leq i \leq n$ , with  $t > 0$  and  $z_j \in (N \cup T)^*$  for all  $1 \leq j \leq t+1$ , such that  $|x_{i_j}|_K = 0$ ,  $1 \leq j \leq t$ :  $y_i = z_1 x_{i_1} z_2 x_{i_2} \dots z_t x_{i_t} z_{t+1}$ . For all non-specified  $y_s$ ,  $y_s = x_s$ .

For the returning case:

For all  $x_i$  of the form  $x_i = z_1 Q_{i_1} z_2 Q_{i_2} \dots z_t Q_{i_t} z_{t+1}$ ,  $1 \leq i \leq n$ , with  $t > 0$  and  $z_j \in (N \cup T)^*$  for all  $1 \leq j \leq t+1$ , such that  $|x_{i_j}|_K = 0$ ,  $1 \leq j \leq t$ :  $y_i = z_1 x_{i_1} z_2 x_{i_2} \dots z_t x_{i_t} z_{t+1}$  and  $y_{i_j} = S_{i_j}$ ,  $1 \leq j \leq t$ . For all non-specified  $y_s$ ,  $y_s = x_s$ .

The reflexive and transitive closure of  $\Rightarrow$  is denoted by  $\Rightarrow^*$  and describes arbitrary long derivations. With  $\Rightarrow^k$  we denote derivations of length  $k$ . Now, the



language that is generated by a PC grammar system  $\Gamma$  is defined by

$$L(\Gamma) = \{w \in T^* \mid (S_1, S_2, \dots, S_n) \Rightarrow^* (w, \alpha_2, \dots, \alpha_n), \alpha_i \in (NUTUK)^*, 2 \leq i \leq n\}.$$

**Example 6.** [CDKP94] Consider the PC grammar system

$$\Gamma = (\{S_1, S_2, S_3\}, \{Q_1, Q_2, Q_3\}, \{a, b, c, d\}, G_1, G_2, G_3)$$

with the sets of rules

$$\begin{aligned} P_1 &= \{S_1 \rightarrow aS_1, S_1 \rightarrow aQ_2, S_3 \rightarrow d\}, \\ P_2 &= \{S_2 \rightarrow bS_2, S_2 \rightarrow bQ_3\}, \\ P_3 &= \{S_3 \rightarrow cS_3\} \end{aligned}$$

for the regular components  $G_1, G_2, G_3$ . At the beginning of each derivation of the grammar system,  $G_1, G_2$ , and  $G_3$  generate exactly one  $a, b$ , and  $c$ , respectively, with their according first rule:

$$(S_1, S_2, S_3) \Rightarrow^k (a^k S_1, b^k S_2, c^k S_3).$$

At one point in time,  $G_1$  and  $G_2$  apply their second rule in order to query the sentential form of  $G_2$  and  $G_3$ , respectively. In doing so,  $G_1$  generates another  $a$ ,  $G_2$  generates another  $b$ , and  $G_3$  generates another  $c$ :

$$(a^k S_1, b^k S_2, c^k S_3) \Rightarrow (a^{k+1} Q_2, b^{k+1} Q_3, c^{k+1} S_3).$$

It is important that  $G_1$  and  $G_2$  apply their second rule at the same time, otherwise the system is blocked. Thereafter, two communication steps are executed:

$$(a^{k+1} Q_2, b^{k+1} Q_3, c^{k+1} S_3) \Rightarrow (a^{k+1} Q_2, b^{k+1} c^{k+1} S_3, S_3) \Rightarrow (a^{k+1} b^{k+1} c^{k+1} S_3, S_2, S_3).$$

Here, the system works in returning mode, thus after the communications the sentential forms of  $G_2$  and  $G_3$  are reset to  $S_2$  and  $S_3$ , respectively. Working in non-returning mode the system is blocked, since there is no rule for  $S_3$  in  $P_2$ . In the last step  $G_1$  generates the terminal word  $a^{k+1} b^{k+1} c^{k+1} d$  by using its third rule. Hence, the generated language of  $\Gamma$  is  $L(\Gamma) = \{a^n b^n c^n d \mid n \geq 1\}$ .  $\square$

A PC grammar system is called *centralized* if only the first component is allowed to introduce query symbols. All the other components are not allowed to request the sentential form of any other component. It is known that in case of returning systems with regular or linear grammars, centralized systems are weaker than non-centralized systems.

Additionally, unsynchronized grammar systems were considered, where each component is allowed to wait although it could apply a local derivation step. Each unsynchronized system can be simulated by a synchronized system by just introducing chain rules for each nonterminal symbol. Moreover, unsynchronized systems are properly weaker than synchronized systems in the case of centralized and returning grammar systems with regular or linear components. These systems are even not more powerful than the individual grammars, which means they can only generate regular or linear languages, respectively. This shows that synchronization is in fact a powerful mechanism.

Further, it is known that there exist infinite hierarchies according to the number of components for centralized and returning systems with regular or linear components.

Since this work is not dedicated to grammar systems, the reader is referred to the annotated bibliography of Erzsébet Csuhaj-Varjú and György Vaszil [CV] for more information about parallel communicating grammar system.

## 4 RESTARTING AUTOMATA

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The model of the restarting automaton was introduced by Petr Jančar, František Mráz, Martin Plátek, and Jörg Vogel in 1995 [JMPV95] as a variant of the forgetting automaton [JMP93b, JMP93a]. It is motivated by the linguistic technique of *analysis by reduction* that is used for checking the syntactical correctness of a sentence of a natural language. For that purpose a given sentence is simplified stepwise by deleting or rewriting selected parts until a simple sentence is obtained whose correctness can be checked immediately. This simplification is also called *reduction*. An essential property of this method is that a deletion or rewriting may not change the correctness of the sentence. Thus, if the sentence is correct before a reduction step, then it has to be correct afterwards, too. If the sentence is not correct, then the modified sentence must not be correct either. This property is called *correctness preserving property* and *error preserving property*, respectively. The following example from [JMPV95] demonstrates how a syntactical error can be detected using analysis by reduction:

**Example 7** (Analysis by reduction). Consider the sentence

The little boys I mentioned runs very quickly.

In the first step, the word ‘little’ can be deleted without changing the syntactical correctness of the sentence:

The boys I mentioned runs very quickly.

During the next steps the parts ‘I mentioned’<sup>1</sup>, ‘The’, ‘very’, and ‘quickly’ are erased successively:

The boys runs very quickly,  
boys runs very quickly,  
boys runs quickly,  
boys runs.

After the last reduction step we obtain a simple sentence, where the syntactical error can be immediately detected. □

Observe that for each reduction step the information about the previous steps are unimportant and thus can be forgotten. Moreover, we can interpret each

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<sup>1</sup>Here we swapped the second and the third step of the original example for a better reading.

reduction step as a ‘restart’ of the processing with a new input sentence. Now we define the restarting automaton whose behaviour represents the ‘reduction by analysis’.

A restarting automaton consists of a finite state control and a working tape with a read/write window of a fixed size (see Figure 4.1). At the beginning of each computation the tape contains an input word (that is, what we called ‘sentence’ above) enclosed by the left sentinel  $\phi$  and the right sentinel  $\$$ , and the window is positioned on the leftmost border of the tape. The sentinels can only be read but they are not allowed to be erased or rewritten within the whole computation. Furthermore, the tape is flexible, that means, if a symbol is deleted from the tape, then the corresponding cell is cut out such that no empty cells can occur. During the computation the automaton can move the window across the tape, then replace the currently read string and at last perform a restart operation, that is, the finite state control is set into the initial state and the window is placed on the leftmost border of the tape.

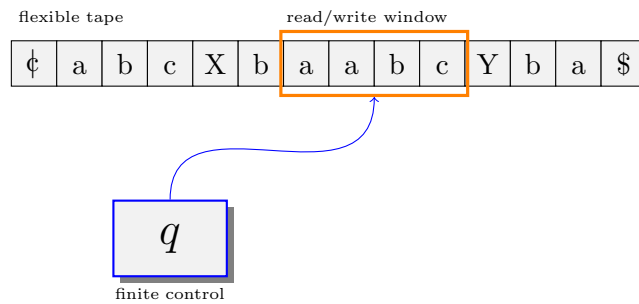


Figure 4.1: Schematic representation of a restarting automaton.

Over the years various types of restarting automata were considered and different definitions have been used. First, we define the most general case of the restarting automaton, the two-way restarting automaton (RLWW-automaton for short), and then we describe the various types with their according restrictions. Thereby we lean on the definition of [Ott06].

**Definition 1.** An RLWW-automaton  $M$  is an 8-tuple

$$M = (Q, \Sigma, \Gamma, \phi, \$, q_0, k, \delta),$$

where

- $Q$  is a non-empty finite set of states,
- $\Sigma$  is a non-empty finite input alphabet,

- $\Gamma \supseteq \Sigma$  is a finite tape alphabet (the symbols from  $\Gamma \setminus \Sigma$  are called *auxiliary symbols*),
- $\phi, \$ \notin \Gamma$  are the left and the right sentinels,
- $q_0 \in Q$  is the initial state,
- $k \geq 1$  is the size of the read/write window, and
- $\delta : Q \times \mathcal{PC}^{(k)} \rightarrow \mathcal{P}_e((Q \times (\{\text{MVR}, \text{MVL}\} \cup \mathcal{PC}^{\leq(k-1)})) \cup \{\text{Restart}, \text{Accept}\})$  is the transition relation, where the set  $\mathcal{PC}^{(k)}$  contains all possible window contents and is formally defined as

$$\mathcal{PC}^{(i)} = (\{\phi\} \cdot \Gamma^{i-1}) \cup \Gamma^i \cup (\Gamma^{\leq(i-1)} \cdot \{\$\}) \cup (\{\phi\} \cdot \Gamma^{\leq(i-2)} \cdot \{\$\}) \quad (i \geq 0),$$

$$\Gamma^{\leq n} = \bigcup_{i=0}^n \Gamma^i, \text{ and } \mathcal{PC}^{\leq(k-1)} = \bigcup_{i=0}^{k-1} \mathcal{PC}^{(i)}.$$

To avoid confusion when speaking about several automata with different tape alphabets and window sizes, we extend this notation and associate it with the name of the automaton:  $\mathcal{PC}_M$ .  $\square$

In general, a restarting automaton is nondeterministic. If  $\delta$  is a (partial) function from  $Q \times \mathcal{PC}^{(k)}$  into  $(Q \times (\{\text{MVR}, \text{MVL}\} \cup \mathcal{PC}^{\leq(k-1)})) \cup \{\text{Restart}, \text{Accept}\}$ , then the automaton is deterministic.

A *configuration*  $\kappa$  describes an instantaneous situation of an automaton and is either of the type  $\kappa = \text{Accept}$ , what we call an *accepting configuration*, or it is written as a string  $\kappa = \alpha q \beta$  with  $q \in Q$  and either  $\alpha = \varepsilon$  and  $\beta \in \{\phi\} \cdot \Gamma^* \cdot \{\$\}$  or  $\alpha \in \{\phi\} \cdot \Gamma^*$  and  $\beta \in \Gamma^* \cdot \{\$\}$ . Here  $q$  is the current state of the finite control,  $\alpha\beta$  is the current tape content, and the window is currently positioned on the first symbol of  $\beta$ . That means that the window contains the first  $k$  symbols of  $\beta$  if  $|\beta| \geq k$ , or otherwise it contains all symbols of  $\beta$  including the right end marker  $\$$ , that is, all symbols of the right end of the tape. For an input word  $w \in \Sigma^*$  and the initial state  $q_0$ , the configuration  $q_0\phi w\$$  is called an *initial configuration*. Any configuration of the form  $q_0\phi u\$$  with  $u \in \Gamma^*$  is called a *restarting configuration*.

To describe the window content of an automaton within a particular configuration, the *prefix function*  $\pi_k : \Sigma^* \rightarrow \Sigma^*$  over the alphabet  $\Sigma$  is introduced:

$$\pi_k(w_1 w_2 \dots w_l) = \begin{cases} w_1 w_2 \dots w_l, & \text{if } l < k, \\ w_1 w_2 \dots w_k, & \text{otherwise,} \end{cases}$$

with  $w_1, w_2, \dots, w_l \in \Sigma$ . Figure 4.2 shows how  $\pi_k$  is used to denote the currently read window content of a component for a given configuration. If the window size

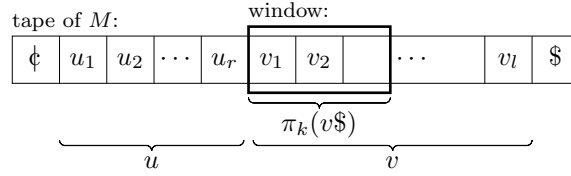


Figure 4.2: The prefix function  $\pi_k$  determines the currently read window content of the component  $M$  for the current configuration  $\phi uqv\$$  ( $q$  is the current state).

is not given explicitly, then we also use  $\pi_M$  for an automaton  $M$ .

For two configurations  $\kappa_1$  and  $\kappa_2$  of an automaton  $M$ ,  $\kappa_1 \vdash_M \kappa_2$  is a *computation step*, if one of the following five conditions holds:

1. Move-right step: the automaton moves its window exactly one position to the right and changes from state  $p$  into state  $q$ , that is,  $\kappa_1 = \alpha p a \beta$ ,  $\kappa_2 = \alpha a q \beta$ , and  $(q, \text{MVR}) \in \delta(p, \pi_k(a\beta))$  with either
  - (a)  $\alpha = \varepsilon$ ,  $a = \phi$ , and  $\beta \in \Gamma^* \cdot \{\$\}$ ; or
  - (b)  $\alpha \in \{\phi\} \cdot \Gamma^*$ ,  $a \in \Gamma$ , and  $\beta \in \Gamma^* \cdot \{\$\}$ .

Observe that the automaton is not allowed to move the window to the right if it is positioned on the rightmost border of the tape ( $a = \$$  and  $\beta = \varepsilon$ ).

2. Move-left step: the automaton moves its window exactly one position to the left and changes from state  $p$  into state  $q$ , that is,  $\kappa_1 = \alpha a p \beta$ ,  $\kappa_2 = \alpha q a \beta$ , and  $(q, \text{MVL}) \in \delta(p, \pi_k(\beta))$  with either
  - (a)  $\alpha = \varepsilon$ ,  $a = \phi$ , and  $\beta \in \Gamma^* \cdot \{\$\}$ ; or
  - (b)  $\alpha \in \{\phi\} \cdot \Gamma^*$ ,  $a \in \Gamma$ , and  $\beta \in \Gamma^* \cdot \{\$\}$ .

Particularly the automaton is not allowed to move the window over the left border of the tape ( $\alpha = a = \varepsilon$  and  $\beta \in \{\phi\} \cdot \Gamma^* \cdot \{\$\}$ ).

3. Rewrite step: the automaton replaces the currently read window content by a shorter string, changes from state  $p$  into state  $q$ , and sets the window directly to the right of the previously written substring, that is,  $\kappa_1 = \alpha p \beta_1 \beta_2$ ,  $\kappa_2 = \alpha \beta'_1 q \beta_2$ , and  $(q, \beta'_1) \in \delta(p, \beta_1)$  with  $|\beta'_1| < |\beta_1| = k$ , and either
  - a)  $\alpha = \varepsilon$ ,  $\beta_1 \in \{\phi\} \cdot \Gamma^*$ ,  $\beta_2 \in \Gamma^* \cdot \{\$\}$ , and  $\beta'_1 \in \{\phi\} \cdot \Gamma^*$ ; or
  - b)  $\alpha \in \{\phi\} \cdot \Gamma^*$ ,  $\beta_1 \in \Gamma^*$ ,  $\beta_2 \in \Gamma^* \cdot \{\$\}$ , and  $\beta'_1 \in \Gamma^*$ .

If the rewriting takes place at the right end of the tape (the  $\$$ -symbol appears in the window), then the window may not move over the  $\$$ -symbol afterwards. Thus, it is positioned directly on the  $\$$ -symbol. That is,  $\kappa_1 = \alpha p \beta \$$ ,

$\kappa_2 = \alpha\beta'q\$$ , and  $(q, \beta'\$) \in \delta(p, \beta\$)$  with  $1 \leq |\beta| \leq k - 1$ ,  $|\beta'| < |\beta|$ , and either

- c)  $\alpha = \varepsilon$  and  $\beta, \beta' \in \{\phi\} \cdot \Gamma^*$ , or
- d)  $\alpha \in \{\phi\} \cdot \Gamma^*$  and  $\beta, \beta' \in \Gamma^*$ .

It is further required that between two rewrite steps a restart operation is executed.

4. Restart step: the automaton can perform a restart operation, whereby the finite control is set into the initial state  $q_0$  and the window is placed back on the leftmost position of the tape, that is,  $\kappa_1 = \alpha p \beta$ ,  $\kappa_2 = q_0 \alpha \beta$ , and  $\text{Restart} \in \delta(p, \pi_k(\beta))$ .
5. Accept step: the automaton can accept the input word by changing into the accepting configuration, that is,  $\kappa_1 = \alpha p \beta$ ,  $\kappa_2 = \text{Accept}$ , and  $\text{Accept} \in \delta(p, \pi_k(\beta))$ .

The reflexive and transitive closure of the binary relation  $\vdash_M$  describes the set of all possible *computations* of  $M$  of an arbitrary length and is denoted by  $\vdash_M^*$ . To denote that a computation consists of exactly  $r$  computation steps we use  $\vdash_M^r$ . If it is clear, to which automaton the relation is referring, then the name of the automaton in the subscript is omitted.

If in a particular situation  $\delta$  is not defined for the current state or window content, then the automaton halts and therewith rejects the input. We call such configurations *rejecting configurations*. Thus, a computation can finish in two possible ways: accept the input word by reaching the accepting configuration or reject the input by reaching a rejecting configuration. Both kinds of configurations are also called *halting configurations*. Now we can define the language  $L(M)$  that is accepted by an automaton  $M$ . It contains all words over the input alphabet  $\Sigma$  for which there exists a computation that starts with the corresponding initial configuration and reaches the accepting configuration:

$$L(M) = \{w \in \Sigma^* \mid q_0 \phi w \$ \vdash_M^* \text{Accept}\}.$$

Observe that in the rewrite step the new string  $\beta'_1$  has to be shorter than the old string  $\beta_1$ . This is called the *length reducing property* and ensures that the tape gets shorter with each rewrite step.

In principle, the behaviour of an automaton  $M$  can be described as follows: Beginning in a restarting configuration  $q_0 \phi u \$$  for any  $u \in \Gamma^*$  (this includes particularly the initial configurations),  $M$  can move its window over the tape, then

rewrites a part of the tape, can move the window again, and at last  $M$  performs a restart operation. Afterwards  $M$  is again in a restarting configuration and can thus repeat this sequence until it reaches an accepting or a rejecting configuration. The described sequence is called a *cycle*, and as mentioned above, in each cycle exactly one rewrite step is performed. Thus, a cycle can be formally written as a computation

$$q_0\phi u\$ = \kappa_1 \vdash_M^* \kappa_2 \vdash_M \kappa_3 \vdash_M^* \kappa_4 \vdash_M \kappa_5 = q_0\phi u'\$,$$

where the first part of the computation  $\kappa_1 \vdash_M^* \kappa_2$  contains only move right steps and move left steps, then a rewrite step  $\kappa_2 \vdash_M \kappa_3$  follows, the computation  $\kappa_3 \vdash_M^* \kappa_4$  contains only move right steps and move left steps again, and the last step  $\kappa_4 \vdash_M \kappa_5$  is a restart step. We use the notation  $q_0\phi u\$ \vdash_M^c q_0\phi u'\$,$  when the restarting configuration  $q_0\phi u'\$$  is reached in one cycle from the restarting configuration  $q_0\phi u\$$ . For multiple cycles we use  $\vdash_M^{c*}$ . The *tail* of a computation is the last part of the computation that begins with a restarting configuration and reaches a halting configuration without performing a restart operation. Observe the similarity between a cycle of an automaton and a reduction step of the above explained technique ‘analysis by reduction’.

In the next example an RLWW-automaton is presented that accepts the language

$$L_{a^n b^n} = \{a^n b^n \mid n \geq 0\}.$$

**Example 8.** An automaton  $M$  that accepts the language  $L_{a^n b^n}$  can behave in the following way: It moves the window to the right over the  $\phi$ -symbol and the  $a$ 's until it has found the substring  $ab$ . Then it deletes the  $ab$  from the tape and checks whether there are only  $b$ 's to the right of the deleted substring. For that purpose it just moves the window to the right. Reaching the end of the tape (the  $\$$ -symbol appears in the window), it performs a restart operation and starts a new cycle with the shorter word. This continues until only the sentinels are left on the tape. Thus, if  $M$  reads  $\phi\$$ , then it accepts the input word. Formally,  $M$  is given by

$$M = (Q, \Sigma, \Gamma, \phi, \$, q_0, 2, \delta)$$

with  $Q = \{q_0, q_1\}$  and  $\Sigma = \{a, b\}$ . Since  $M$  does not need auxiliary symbols, we take  $\Gamma = \Sigma$ . The initial state is  $q_0$ . The automaton needs to read and replace only substrings of length two in one step, therefore the window size is set to 2. At last,



$\delta$  is given by:

$$\begin{aligned}\delta(q_0, \clubsuit a) &= (q_0, \text{MVR}), & \delta(q_1, bb) &= (q_1, \text{MVR}), \\ \delta(q_0, aa) &= (q_0, \text{MVR}), & \delta(q_1, b\$) &= (q_1, \text{MVR}), \\ \delta(q_0, ab) &= (q_1, \varepsilon), & \delta(q_1, \$) &= \text{Restart}, \\ \delta(q_0, \clubsuit \$) &= \text{Accept}.\end{aligned}$$

A computation on the input word  $aaabbb$  is formally written as follows (where the computation starts in the first column, proceeds downwards, is continued in the second column, and so forth):

$$\begin{array}{c|c|c|c} q_0\clubsuit aaabbb\$ & \vdash_M q_0\clubsuit aabb\$ & \vdash_M q_0\clubsuit ab\$ & \vdash_M q_0\clubsuit \$ \\ \vdash_M \clubsuit q_0aaabbb\$ & \vdash_M \clubsuit q_0aabb\$ & \vdash_M \clubsuit q_0ab\$ & \vdash_M \text{Accept}. \\ \vdash_M \clubsuit aq_0aabbb\$ & \vdash_M \clubsuit aq_0abb\$ & \vdash_M \clubsuit q_1\$ & \\ \vdash_M \clubsuit aaq_0abbb\$ & \vdash_M \clubsuit aaq_1b\$ & & \\ \vdash_M \clubsuit aaq_1bb\$ & \vdash_M \clubsuit abq_1\$ & & \\ \vdash_M \clubsuit aabq_1b\$ & & & \\ \vdash_M \clubsuit aabbq_1\$ & & & \end{array}$$

Each of the first three columns of the computation represents one cycle and the last column corresponds to the tail of the computation.

If the input word is not of the form  $a^n b^n$ , that is, it either is not of the form  $a^* b^*$  (the  $a$ 's and  $b$ 's are mixed) or the numbers of  $a$ 's and  $b$ 's are different, then the automaton behaves as follows. In the first case it rejects the input in the first cycle because it reads an  $a$  when expecting only  $b$ 's or vice versa. In the second case at one point of the computation only  $a$ 's or only  $b$ 's are on the tape and the automaton halts and rejects by reading  $a\$$  or  $\clubsuit b$ , respectively.  $\square$

Now, we give the different types of the restarting automaton model that result by adding several restrictions to the general model above. An **RRWW**-automaton is an **RLWW**-automaton that is only allowed to move its window to the right but not to the left. Therefore this type is also called *one-way restarting automaton*. If we claim that after a rewrite step a restart operation has to be applied immediately, then we obtain an **RWW**-automaton. In other words, this type of automaton is not allowed to move the window between a rewrite and a restart step. In some definitions for this type of automaton the rewrite and restart operations are merged to a single operation.

Furthermore, the rewrite operation can be restricted. An **RLWW**-automaton (**RRWW**-, **RWW**-automaton) is an **RLW**-automaton (**RRW**-, **RW**-automaton) if no auxiliary symbols are used, that is, if  $\Sigma = \Gamma$ . At last, an **RLW**-automaton (**RRW**-, **RW**-automaton) is an **RL**-automaton (**RR**-, **R**-automaton) if each rewrite operation

is of the form  $(q, \beta') \in \delta(p, \beta)$ , where  $|\beta'| < |\beta|$  and  $\beta'$  is a scattered subword of  $\beta$ . That means that  $\beta'$  can be obtained by deleting one or more symbols from  $\beta$ . For the simplification of further notations, we classify the types as follows:

$$\begin{aligned} \mathcal{T} &= \{RLWW, RLW, RL, RRWW, RRW, RR, RWW, RW, R\} \text{ and} \\ \mathcal{T}_R &= \{RRWW, RRW, RR, RWW, RW, R\}. \end{aligned}$$

All these types refer to nondeterministic automata. For deterministic automata we use the prefix ‘det’. Thus, a deterministic automaton of type  $X \in \mathcal{T}$  is a **det- $X$** -automaton. The automaton that is given in Example 8 is of type **det-RR**. First,  $M$  is a one-way automaton that moves the window only to the right but not to the left. Second, it moves the window between the rewrite and the restart operations, thus it is not of the type **RWW**. Third, since no auxiliary symbols are used and each rewrite step is just a deletion, it is of the type **RR**. As the transition relation is a function and therewith  $M$  can perform in each situation at most one possible operation, it is deterministic.

Historically, the development of the various types of restarting automata was the other way round in contrast to our above explanation. The model that was introduced by Jancăr et al. in 1995 was exactly the **R**-automaton [JMPV95]. Then, in 1998 the usage of auxiliary symbols (**RWW**-automaton) and the separation of the rewrite step and the restart step (**RRWW**-automaton) were introduced by the same authors [JMPV98]. The two-way restarting automaton was then given by Martin Plátek in 2001 [Plá01].

The class of languages that are accepted by any automaton of type **(det- $X$ )**,  $X \in \mathcal{T}$ , is denoted by  $\mathcal{L}(\text{det-}X)$ . Sometimes it is useful to define the language class not only depending on the particular type but also on the window size. The set of languages accepted by restarting automata of type **(det- $X$ )** with a window size of at most  $k$  is denoted by  $\mathcal{L}(\text{det-}X(k))$ .

A more compact representation of restarting automata is given in [NO01] by so-called *meta-instructions*. A meta-instruction is a triple of the form  $(E_1, u \rightarrow v, E_2)$ , where  $E_1$  and  $E_2$  are regular languages, and  $u \rightarrow v$  is a rewriting rule with  $|u| > |v|$ , so that the substring  $u$  is rewritten by the word  $v$  in one rewrite step. This representation is based on the observation that an **RRWW**-automaton first reads the left part of the tape checking a regular constraint  $E_1$ , then performs a rewrite step, in which a word  $u$  is replaced by a shorter word  $v$ , and at last it can move the window over the remaining right part of the tape checking the regular constraint  $E_2$ . So a meta-instruction describes a cycle of an automaton. An accepting tail (rejecting tails need not be described) can be described with a meta-instruction of the form  $(\phi E \$, \text{Accept})$ , where  $E$  is a regular language and we

assume w.l.o.g. that the automaton accepts only while reading the right sentinel  $\$$ . Since an RWW-automaton performs a restart immediately after the rewrite step, the second constraint  $E_2$  can be omitted for this type of automata. The automaton of Example 8 is described by the following two meta-instructions:

$$\begin{aligned} &(\clubsuit a^*, ab \rightarrow \varepsilon, b^* \$), \\ &(\clubsuit \$, \text{Accept}). \end{aligned}$$

Now, consider the following computation for an automaton  $M$ :

$$q_0 \clubsuit u_1 v_1 w_1 \$ \vdash_M^c q_0 \clubsuit u_2 v_2 w_2 \$ \vdash_M^c \dots \vdash_M^c q_0 \clubsuit u_r v_r w_r \$.$$

We assume that the substring  $v_i$  is the part of the tape that is rewritten in the current cycle. We say that the computation is *monotone* if the right distances  $D_i = |v_i w_i \$|$  never increase for  $i = 1, 2, \dots, r$ . The tail of the computation is not of importance for monotonicity. An automaton is monotone if each computation beginning from an initial configuration is monotone. By **mon-X** (**det-mon-X**) we denote the type of (deterministic) monotone automata of type  $X \in \mathcal{T}$ .

According to the computation given in Example 8, we have

$$q_0 \clubsuit aaabbb \$ \vdash_M^c q_0 \clubsuit aabb \$ \vdash_M^c q_0 \clubsuit ab \$ \vdash_M^c q_0 \clubsuit \$$$

with  $D_1 = |abbb \$| = 5$ ,  $D_2 = |aabb \$| = 4$ , and  $D_3 = |ab \$| = 3$ . Because of  $D_1 \geq D_2 \geq D_3$ , it follows that this computation is monotone. In fact, each computation of  $M$ , and thus  $M$  itself, is monotone. Hence,  $M$  is of the type **det-mon-RR**.

The property of monotonicity for restarting automata was defined in [JMPV95] and investigated in, e.g. [JMPV98, JMPV99, JMPV07, MO06, MPJV97]. Monotone automata gained an important role within the field of restarting automata, as they yield alternative characterizations of the context-free languages [JMPV99] and the deterministic context-free languages [JMPV97, JMPV99].

It turns out that some types of restarting automata characterize well-known language classes. We want to give some examples for the types presented above. Automata of type **det-RR**, **R**, **RW**, and **RWW** that have window size 1 accept exactly the set of regular languages [Mrá01, Rei07]:

$$\mathcal{L}(\text{det-RR}(1)) = \mathcal{L}(\text{R}(1)) = \mathcal{L}(\text{RW}(1)) = \mathcal{L}(\text{RWW}(1)) = \text{REG}.$$

At first sight, this seems obvious due to the similarity between finite automata and the fact that auxiliary symbols do not help in the case of window size 1. Par-

ticularly, the restart operation is of no benefit in these cases. Then, Jančar, Mráz, Plátek, and Vogel showed in [JMPV97] and [JMPV99] that all deterministic and monotone one-way restarting automata accept exactly the class of deterministic context-free languages:

$$\mathcal{L}(\text{det-mon-R}) = \mathcal{L}(\text{det-mon-RRWW}) = \text{DCFL}.$$

Omitting monotonicity and allowing the use of auxiliary symbols it turns out that

$$\mathcal{L}(\text{det-RWW}) = \mathcal{L}(\text{det-RRWW}) = \text{CRL},$$

where CRL is the set of the Church-Rosser languages [NO03]. Further, the context-free languages (CFL) are characterized by nondeterministic monotone restarting automata with auxiliary symbols [JMPV99, Plá01]:

$$\mathcal{L}(\text{mon-RWW}) = \mathcal{L}(\text{mon-RRWW}) = \mathcal{L}(\text{mon-RLWW}) = \text{CFL}.$$

Moreover, it is known that the class of the growing context-sensitive languages (GCSL) is characterized by so-called weakly monotone RWW-, RRWW-, and RLWW-automata<sup>2</sup>. An automaton is called *weakly monotone* if there exists a constant such that the right distances of all computations increase by at most this constant (see [JLNO04] for more details).

All types of restarting automata are linearly bounded. Thus, all languages accepted by any type of restarting automata have to be contained in the set of the context-sensitive languages:

$$\mathcal{L}(\text{RLWW}) \subseteq \text{CSL}.$$

It is still an open question whether this inclusion is proper or not. For further information about the relationship between the language classes accepted by the various types of restarting automata, [Ott06] gives a useful overview and references.

Another property of restarting automata that we will use here is the *nonforgetting* property. Normally, after a restart operation a restarting automaton is reset into its initial state and the window is positioned back on the left side of the tape. Thus, after a restart, the automaton has ‘forgotten’ everything about the previous cycle. In contrast, a nonforgetting automaton is allowed to switch to any (determined) state during a restart operation. For this purpose, a restart

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<sup>2</sup>For RWW- and RRWW-automata this was proved in [JLNO04]. For RLWW-automata this follows additionally from the proof of  $\mathcal{L}(\text{RRWW}) = \mathcal{L}(\text{RLWW})$  in [Plá01].

transition is extended as follows:

$$(\text{Restart}, q) \in \delta(p, \alpha),$$

which means that if the automaton is in state  $p$  and reads currently the string  $\alpha$  with its window, then it changes into state  $q$  and the window is positioned back on the left side of the tape. We will have a closer look at this kind of automata and the according language classes in the section ‘systems of nonforgetting restarting automata’.



# 5 SYSTEMS OF PARALLEL COMMUNICATING RESTARTING AUTOMATA

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## 5.1 Definitions

### 5.1.1 Systems of parallel communicating restarting automata

In this section systems of parallel communicating restarting automata and their functionality are defined and explained. Whenever it seems useful, reasons for and consequences of particular technical details are commented on.

Basically, a *parallel communicating restarting automata system* (PCRA system for short) of degree  $n$  is an  $n$ -tuple

$$\mathcal{M} = (M_1, M_2, \dots, M_n),$$

where  $M_1, M_2, \dots, M_n$  are restarting automata which are the components of the system:

$$\begin{aligned} M_1 &= (Q_1, \Sigma, \Gamma_1, \phi, \$, q_1, k_1, \delta_1), \\ M_2 &= (Q_2, \Sigma, \Gamma_2, \phi, \$, q_2, k_2, \delta_2), \\ &\quad \vdots \\ M_n &= (Q_n, \Sigma, \Gamma_n, \phi, \$, q_n, k_n, \delta_n). \end{aligned}$$

Let us explain how the components work together within a system. Following the classroom model described in Section 1, all components start the computation with the same task, i.e. the same input word on the tape. They perform local operations independently from each other just as it is usual for a single restarting automaton. At some point in time a component may need some help from another component, i.e. it requests some information, or it wants to inform another component about the results that it has achieved by its own previous local computation, i.e. it gives a response containing some information to a requesting component. This is exactly how the components work together within the system: they communicate with each other. After a communication between two components, they continue their local computations independently. In general, there is no restriction on how often and with which other components a component is allowed to communicate.

We now define the communication protocol that we use for PCRA systems and that is closely connected with the described interaction of components within

the classroom model. Basically, the communication is realized by particular types of communication states that are included in the sets of states  $Q_1, Q_2, \dots, Q_n$ : request states, response states, receive states, and acknowledge states. Formally, we denote the states as follows:

- request states ( $\text{req}_d^i$ ): By entering the request state  $\text{req}_d^i$ , a component can send a communication request to the component  $M_i$ . The subscript  $d$  is a local information that allows to have more than one request state per component.
- response states ( $\text{res}_{d,c}^i$ ): By entering the response state  $\text{res}_{d,c}^i$ , a component can answer to a request of  $M_i$ . The message can contain any information  $c$  with a constant length. The subscript  $d$  allows to enter different response states, but still sending the same answer to the same component.
- receive states ( $\text{rec}_{d,c}^i$ ): A component  $M_j$  is set into the receive state  $\text{rec}_{d,c}^i$  if it entered the request state  $\text{req}_d^i$  before and the component  $M_i$  has reached a response state  $\text{res}_{d',c}^j$ .
- acknowledge states ( $\text{ack}_{d,c}^i$ ): A component  $M_j$  is set into the acknowledge state  $\text{ack}_{d,c}^i$  if the component  $M_i$  has reached a request state  $\text{req}_{d'}^j$  and  $M_j$  entered the response state  $\text{res}_{d,c}^i$ .

A *communication step* can be executed if and only if a component  $M_i$  reaches a request state  $\text{req}_d^j$  through its local computation and the corresponding component  $M_j$  reaches a corresponding response state  $\text{res}_{d',c}^i$ . For this purpose we introduce transitions of the form

$$\text{req}_d^j \in \delta_i(q, \alpha) \quad \text{and} \quad \text{res}_{d',c}^i \in \delta_j(q, \alpha).$$

A request state and response state correspond to each other if the superscript is the index of the corresponding other component. Whenever a component enters a request or response state, it waits for an answer from the communication partner. Hereby it is not important whether the request state or the response state is reached first. If a component is in a communication state but does never get a corresponding answer, i.e. the communication partner does not reach a corresponding communication state, then that component is blocked for the rest of the computation. Also with one or more blocked components the system continues the computation with the non-blocked components.

If two components reach corresponding communication states, then the communication step can be executed. We also say: the communication is resolved.



This means that the component  $M_i$  being in state  $\text{req}_d^j$  is set into the receive state  $\text{rec}_{d,c}^j$  (because it has *received* the requested message from  $M_j$ ) and the component  $M_j$  being in state  $\text{res}_{d',c}^i$  is set into the acknowledge state  $\text{ack}_{d',c}^i$  (the receipt of the message is *acknowledged*). The  $c$  in the subscript of the receive state signals the receipt of the information  $c$  that was sent by  $M_j$  through entering the response state  $\text{res}_{d',c}^i$ . In general, the receive and acknowledge states cannot be reached through a local computation, i.e. there do not exist transitions of the form  $\text{rec}_{d,c}^j \in \delta(q, \alpha)$  or  $\text{ack}_{d',c}^i \in \delta(q, \alpha)$ , and no transitions of the form  $A \in \delta(\text{req}_d^j, \alpha)$  or  $A \in \delta(\text{res}_{d',c}^i, \alpha)$  are allowed. After  $M_i$  and  $M_j$  are set into the receive and acknowledge state, both components continue their independent local computations. The receive and acknowledge states are somehow successor states for the request and response states that are used for the formal description of a communication step.

The usage of the subscript  $d$  of the communication states has a particular reason. Consider, e.g. a communication step in a parallel communicating finite automata system (see Section 3.2 for further details), i.e. a component  $A_i$  has reached the communication state  $K_j$  and thus is set into the current state of  $A_j$ , say state  $q$ . Possibly,  $A_i$  can reach the state  $K_j$  for different prefixes of the input. Thus, after the communication,  $A_i$  is in state  $q$  and the information about the particular previously read part of the input is lost. However, according to the classroom model it seems somehow natural that a component can keep the whole information about its previous computation also in a communication step, such that it can combine the result of its own computation with the communicated information. For this, in PCRA systems the local information  $d$  is used.

Figure 5.1 demonstrates a communication between the components  $M_1$  and  $M_2$ , where the downward arrows denote timelines. There,  $M_1$  and  $M_2$  perform their local computations independently of each other, which is denoted by the continuous downward arrows. At some point in time,  $M_1$  reaches the request state  $\text{req}_d^2$  that can be interpreted as sending a request message to  $M_2$  and keeping the local information  $d$ . On the other hand,  $M_2$  reaches the response state  $\text{res}_{d',c}^1$  through its local computation. We can imagine, that with this  $M_2$  sends a response message with the content  $c$  to  $M_1$  and keeps the local information  $d'$ . Since both components reach corresponding communication states, the communication can be resolved and  $M_1$  and  $M_2$  are set into the states  $\text{rec}_{d,c}^2$  and  $\text{ack}_{d',c}^1$ , respectively. If we imagine that the operation of setting  $M_1$  into the receive state is connected with sending an acknowledge message to  $M_2$ , then we can observe a similarity to the so-called *three-way handshake* mechanism that is applied in communication networks for synchronization and secure communication, where secure means that both communication partners know whether the information was transmitted correctly

or not. However, after the successful communication,  $M_1$  and  $M_2$  continue their local computations independently.

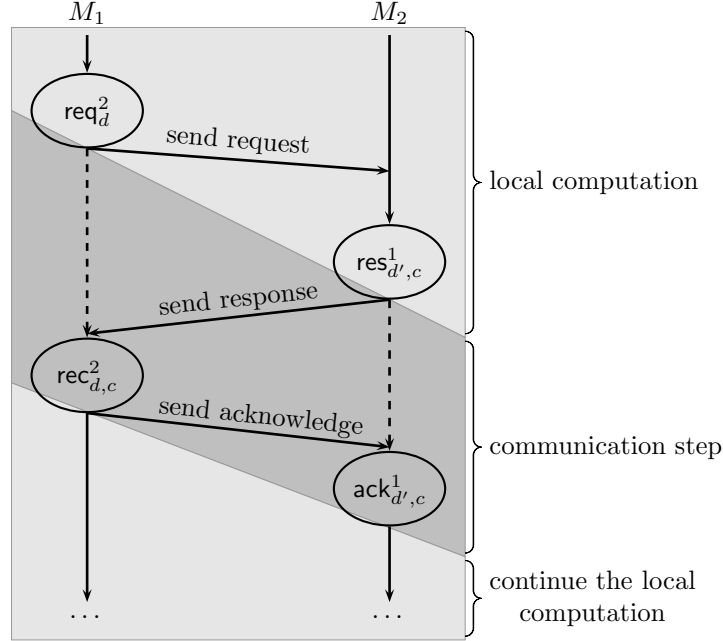


Figure 5.1: A communication between the two components  $M_1$  and  $M_2$ .

As mentioned above, receive and acknowledge states cannot be reached through local computations in general. Nevertheless in some situations of proofs and constructions it may be useful to allow the direct reachability of receive and acknowledge states in a local computation without performing a communication step. Although this contradicts the basic idea of our communication concept, it does not effect a change of the computational power. A transition  $\text{rec}_{d,c}^i \in \delta_j(p, \alpha)$  or  $\text{ack}_{d',c}^i \in \delta_j(p, \alpha)$  can simply be replaced by the transitions  $A \in \delta_j(p, \alpha)$  for all  $A \in \delta_j(\text{rec}_{d,c}^i, \alpha)$ , for all  $A \in \delta_j(\text{ack}_{d',c}^i, \alpha)$  respectively. All transitions of the form  $A \in \delta_j(\text{rec}_{d,c}^i, \alpha)$ ,  $A \in \delta_j(\text{ack}_{d',c}^i, \alpha)$  respectively, have to be kept since the receive and acknowledge state can be reached even through a communication step.

A fundamental difference between our communication protocol and those of other PC systems is that there is no *global clock* synchronizing the components of the system. A global clock is an external mechanism that forces all components to execute exactly one computation step together in each unit of time. This is applied to mostly all PC systems. Nevertheless we have decided to avoid using it since it can be seen as *implicit communication* (in contrast to the defined communication steps as *explicit communication*). Look at the following example: in [MMM02] a PCFA system with three components is given that accepts the language  $L_{a^n b^n c^n}$  without processing any explicit communication. Certainly, since this language is not even context-free, it cannot be accepted by a single finite automaton and

hence not by a system of arbitrary many components without *any* communication - including synchronization. This shows that synchronization alone can increase the computational power of such systems without any explicit communication. Here it is legitimate to ask what amount of explicit communication would really be needed to realize a global clock, and by how much does it slowdown the computation of the system? Another reason for avoiding a global clock is that it contradicts the notion of distributed computing, where no global control mechanism exists. All in all, we will not use any global clock in our definition of PCRA systems such that the components are loosely connected only by explicit communications.

For the reason of abbreviation we define the following notations for a system  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  and a component  $M_i = (Q, \Sigma, \Gamma, \clubsuit, \$, q_0, k, \delta)$ ,  $1 \leq i \leq n$ :

- $\text{REQ}(M_i) = \{\text{req}_d^j \mid \text{req}_d^j \in Q, 1 \leq j \leq n, \text{ and string } d\}$ ,
- $\text{RES}(M_i) = \{\text{res}_{d,c}^j \mid \text{res}_{d,c}^j \in Q, 1 \leq j \leq n, \text{ and strings } d, c\}$ ,
- $\text{REC}(M_i) = \{\text{rec}_{d,c}^j \mid \text{rec}_{d,c}^j \in Q, 1 \leq j \leq n, \text{ and strings } d, c\}$ ,
- $\text{ACK}(M_i) = \{\text{ack}_{d,c}^j \mid \text{ack}_{d,c}^j \in Q, 1 \leq j \leq n, \text{ and strings } d, c\}$ ,
- $\text{COM}(M_i) = \text{REQ}(M_i) \cup \text{RES}(M_i) \cup \text{REC}(M_i) \cup \text{ACK}(M_i)$ ,
- $\text{REQ} = \bigcup_{1 \leq i \leq n} \text{REQ}(M_i)$ ,
- $\text{RES} = \bigcup_{1 \leq i \leq n} \text{RES}(M_i)$ ,
- $\text{REC} = \bigcup_{1 \leq i \leq n} \text{REC}(M_i)$ ,
- $\text{ACK} = \bigcup_{1 \leq i \leq n} \text{ACK}(M_i)$ , and
- $\text{COM} = \bigcup_{1 \leq i \leq n} \text{COM}(M_i)$ .

The type of the components determines the type of the system. If the components are restarting automata of type  $X$  for  $X \in \mathcal{T}$ , then the system is of type PC- $X$  and is called a PC- $X$ -system. With PC- $X(n)$ -system we mean a system of type  $X$  and degree  $n$ . Moreover, if the maximal window size is bounded by a constant  $k$ , we write PC- $X(n, k)$ -system.

For a formalization of the behaviour of a PCRA system we need to define the concepts of configurations and computations. A *configuration*  $K$  of a PCRA system of degree  $n$  is an  $n$ -tuple, which contains a configuration of each component:

$$K = (\kappa_1, \kappa_2, \dots, \kappa_n),$$

where  $\kappa_i$  ( $1 \leq i \leq n$ ) is either **Accept** or it is of the form  $u_i q_i v_i$ , where  $q_i \in Q_i$  and either  $u_i = \phi \alpha$  and  $v_i = \beta \$$  or  $u_i = \varepsilon$  and  $v_i = \phi \beta \$$  ( $\alpha, \beta \in \Gamma_i^*$ ). For an input word  $w$  and initial states  $q_i$  ( $1 \leq i \leq n$ ), the initial configuration of a PCRA system is:

$$K_0 = (q_1 \phi w \$, q_2 \phi w \$, \dots, q_n \phi w \$).$$

A *computation step* of a system  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  can be described by the binary relation  $\vdash_{\mathcal{M}}$ . Let  $K$  and  $K'$  be two configurations with  $K = (\kappa_1, \kappa_2, \dots, \kappa_n)$  and  $K' = (\kappa'_1, \kappa'_2, \dots, \kappa'_n)$ . Then  $K \vdash_{\mathcal{M}} K'$  if and only if, for all  $i \in \{1, 2, \dots, n\}$ , one of the following conditions holds:

1.  $\kappa_i \vdash_{M_i} \kappa'_i$  (local computation step),
2.  $\exists j \in \{1, 2, \dots, n\} \setminus \{i\} : \kappa_i = u_i \text{req}_{d_i}^j v_i, \quad \kappa_j = u_j \text{res}_{d_j, c}^i v_j,$   
 $\kappa'_i = u_i \text{rec}_{d_i, c}^j v_i, \quad \kappa'_j = u_j \text{ack}_{d_j, c}^i v_j$  (communication),
3.  $\exists j \in \{1, 2, \dots, n\} \setminus \{i\} : \kappa_i = u_i \text{res}_{d_i, c}^j v_i, \quad \kappa_j = u_j \text{req}_{d_j}^i v_j,$   
 $\kappa'_i = u_i \text{ack}_{d_i, c}^j v_i, \quad \kappa'_j = u_j \text{rec}_{d_j, c}^i v_j$  (communication),
4.  $\kappa_i = \kappa'_i, \kappa_i \neq \text{Accept}$ , and no local operation (MVR, MVL, rewrite, restart) or communication of  $M_i$  is possible.

Whenever a local computation step of a component is possible, then it is executed immediately and independently of the other components (1). If two components have reached corresponding communication states, then this communication is resolved immediately (2 and 3). If no local transition is possible anymore, then the component is blocked and remains in its current configuration (4). If a component is in a communication state but the communication partner is (still) not in the corresponding communication state, then it remains in its current configuration and waits for the communication answer (4).

At first sight the definition of a computation step may indicate the usage of a global clock that actually should be avoided. In fact, this definition just supports a formal and unique representation of a system's computation<sup>1</sup> but does not influence the synchronization of the components (that is realized only by explicit communication). Particularly the fourth item of the definition contradicts the mechanism of a global clock, since a component can wait arbitrarily long for the execution of a communication. Due to this fact, it is really unimportant for the cooperation of the components within the system how long the local computations between two communication steps are and whether each step of each component is executed in parallel in the same unit of time. Moreover, in contrast to PC systems

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<sup>1</sup>At this point it is important to distinguish between the real behaviour of the system and the representation of the behaviour.

with a global clock, it is not guaranteed that two components execute the same number of computation steps between two communications.

The reflexive and transitive closure of the relation  $\vdash_{\mathcal{M}}$  is expressed by  $\vdash_{\mathcal{M}}^*$  and describes a *computation* of  $\mathcal{M}$ . Then, the *accepted language* of a PCRA system  $\mathcal{M}$  over an input alphabet  $\Sigma$  is

$$L(\mathcal{M}) = \{w \in \Sigma^* \mid (q_1 \clubsuit w \$, q_2 \clubsuit w \$, \dots, q_n \clubsuit w \$) \vdash_{\mathcal{M}}^* (\kappa_1, \kappa_2, \dots, \kappa_n), \\ \{\kappa_1, \kappa_2, \dots, \kappa_n\} \cap \{\text{Accept}\} \neq \emptyset\},$$

where  $q_1, q_2, \dots, q_n$  are the initial states of the components. Moreover, any configuration that includes **Accept** is called an *accepting configuration*.

A PCRA system is called *nondeterministic* if there is at least one component that is nondeterministic. If all components are deterministic, the system is called *locally deterministic* and gets the prefix **det-local**. In general, there is no restriction according to the accepting component. That allows the system to accept the input with whatever component reaches the accepting configuration. A more strict definition of determinism in PCRA systems is that the system accepts if and only if the first component accepts. A locally deterministic system that accepts if and only if the first component accepts is called *globally deterministic* and gets the prefix **det-global**.

In addition, if a system consists only of monotone restarting automata of type  $X \in \mathcal{T}$ , then we denote the type of that system by **mon-PC-X** in the nondeterministic case. For deterministic and monotone components we obtain systems of type **det-global-mon-PC-X** and **det-local-mon-PC-X**, respectively.

The class of languages that are accepted by systems of automata of type  $X \in \mathcal{T}$  is denoted by  $\mathcal{L}(\text{PC-X})$  in the nondeterministic case. In the local-deterministic and global-deterministic cases it is denoted by  $\mathcal{L}(\text{det-local-PC-X})$  and  $\mathcal{L}(\text{det-global-PC-X})$ , respectively. Further, the classes of languages that are accepted by systems of monotone restarting automata are denoted by  $\mathcal{L}(\text{mon-PC-X})$ ,  $\mathcal{L}(\text{det-local-mon-PC-X})$ , and  $\mathcal{L}(\text{det-global-mon-PC-X})$ .<sup>2</sup>

Let us consider a first example. Here we use the well-known copy language with a middle marker:

$$L_{w\#w} = \{w\#w \mid w \in \{a, b\}^*\}.$$

**Example 9.** A PCRA system  $\mathcal{M}_{w\#w}$  that accepts the language  $L_{w\#w}$  consists of two components  $M_1$  and  $M_2$ :  $\mathcal{M}_{w\#w} = (M_1, M_2)$ . At the beginning of a

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<sup>2</sup>Remark: Concerning the notation of the different types and language classes, we differ from those of other PC systems. Instead, we follow the notation that is usually used for CD systems of restarting automata and for individual restarting automata.

computation the input word is placed on the tapes of both components enclosed by the left and right sentinels  $\clubsuit$  and  $\$$ . The basic idea is that  $M_1$  moves its window over the first syllable and reads the first symbol of the second syllable, while  $M_2$  reads the first symbol of the first syllable. Then, they compare the symbols using a communication. If both symbols are equal, then they are deleted and a restart operation is applied immediately to both  $M_1$  and  $M_2$ . If the symbols are different, then  $M_1$  gets stuck directly after the communication because of a missing applicable transition and the system does not accept the input. If all symbols are processed and both syllables are of the same length, then  $M_1$  reads the  $\$$ -symbol and  $M_2$  reads the  $\#$ -symbol. In this situation  $M_1$  accepts after a communication, and thus the system  $\mathcal{M}_{w\#w}$  accepts. Formally,  $M_1$  and  $M_2$  are defined as follows:

$$\begin{aligned} M_1 &= (\{q_0, \text{req}^2, \text{rec}_a^2, \text{rec}_b^2, \text{rec}_\#^2, q_r\}, \{a, b, \#\}, \{a, b, \#\}, \clubsuit, \$, q_0, 2, \delta_1), \\ M_2 &= (\{q_0, \text{res}_a^1, \text{res}_b^1, \text{res}_\#^1, \text{ack}_a^1, \text{ack}_b^1, \text{ack}_\#^1, q_r\}, \{a, b, \#\}, \{a, b, \#\}, \clubsuit, \$, q_0, 2, \delta_2), \end{aligned}$$

where, for all  $\alpha \in \{\clubsuit, a, b\} \cdot \{a, b, \#\}$ ,  $\beta \in \{a, b, \$\}$ , and  $\gamma \in \mathcal{PC}^{(2)}$ ,

$$\begin{aligned} \delta_1(q_0, \alpha) &= (q_0, \text{MVR}), & \delta_2(q_0, \clubsuit a) &= \text{res}_a^1, \\ \delta_1(q_0, \#\beta) &= \text{req}^2, & \delta_2(q_0, \clubsuit b) &= \text{res}_b^1, \\ \delta_1(\text{rec}_a^2, \#a) &= (q_r, \#), & \delta_2(q_0, \clubsuit \#) &= \text{res}_\#^1, \\ \delta_1(\text{rec}_b^2, \#b) &= (q_r, \#), & \delta_2(\text{ack}_a^1, \clubsuit a) &= (q_r, \clubsuit), \\ \delta_1(\text{rec}_\#^2, \#\$) &= \text{Accept}, & \delta_2(\text{ack}_b^1, \clubsuit b) &= (q_r, \clubsuit), \\ \delta_1(q_r, \gamma) &= \text{Restart}, & \delta_2(q_r, \gamma) &= \text{Restart}. \end{aligned}$$

The superscripts of the communication states of  $M_1$  are always 2 because  $M_2$  is the only component  $M_1$  can communicate with. Particularly it makes no sense for a component to communicate with itself. Accordingly, the superscripts of the communication states of  $M_2$  are always 1. Whenever the communication partner is unique as in this case, then we will omit the superscript of the communication states in further considerations. Moreover, the response, receive, and acknowledge states in our example have only one entry within the subscripts, and the request state of  $M_1$  has not any subscript. The reason for this is that no local information about the previous computation steps of the current cycle needs to be stored. In addition, if also the message is unimportant, that is, if only the fact is interesting whether a communication takes place or not, then we even omit the message in the subscript. In the extreme we could have communication states like  $\text{req}$ ,  $\text{res}$ ,  $\text{rec}$ , and  $\text{ack}$  without any annotation.

Let us now consider how the system behaves for a particular input. A computation for  $\mathcal{M}_{w\#w}$  and the input word  $ab\#ab$  is written in the following way, where the

computation begins in the first column, proceeds downwards, and is continued in the second column:

$$\begin{array}{ll}
(q_0\#ab\#ab\$, & q_0\#ab\#ab\$) & \vdash_{\mathcal{M}_{w\#w}} (\#abrec^2\#b\$, & res_b^1\#b\#ab\$) \\
\vdash_{\mathcal{M}_{w\#w}} (\#q_0ab\#ab\$, & res_a^1\#b\#ab\$) & \vdash_{\mathcal{M}_{w\#w}} (\#abrec_b^2\#b\$, & ack_b^1\#b\#ab\$) \\
\vdash_{\mathcal{M}_{w\#w}} (\#aq_0b\#ab\$, & res_a^1\#b\#ab\$) & \vdash_{\mathcal{M}_{w\#w}} (\#ab\#q_r\$, & \#q_r\#ab\$) \\
\vdash_{\mathcal{M}_{w\#w}} (\#abq_0\#ab\$, & res_a^1\#b\#ab\$) & \vdash_{\mathcal{M}_{w\#w}} (q_0\#ab\#\$, & q_0\#\#ab\$) \\
\vdash_{\mathcal{M}_{w\#w}} (\#abrec^2\#ab\$, & res_a^1\#b\#ab\$) & \vdash_{\mathcal{M}_{w\#w}} (\#q_0ab\#\$, & res_{\#}^1\#\#ab\$) \\
\vdash_{\mathcal{M}_{w\#w}} (\#abrec_a^2\#ab\$, & ack_a^1\#b\#ab\$) & \vdash_{\mathcal{M}_{w\#w}} (\#aq_0b\#\$, & res_{\#}^1\#\#ab\$) \\
\vdash_{\mathcal{M}_{w\#w}} (\#ab\#q_rb\$, & \#q_rb\#ab\$) & \vdash_{\mathcal{M}_{w\#w}} (\#abq_0\#\$, & res_{\#}^1\#\#ab\$) \\
\vdash_{\mathcal{M}_{w\#w}} (q_0\#ab\#b\$, & q_0\#b\#ab\$) & \vdash_{\mathcal{M}_{w\#w}} (\#abrec^2\#\$, & res_{\#}^1\#\#ab\$) \\
\vdash_{\mathcal{M}_{w\#w}} (\#q_0ab\#b\$, & res_b^1\#b\#ab\$) & \vdash_{\mathcal{M}_{w\#w}} (\#abrec_{\#}^2\#\$, & ack_{\#}^1\#\#ab\$) \\
\vdash_{\mathcal{M}_{w\#w}} (\#aq_0b\#b\$, & res_b^1\#b\#ab\$) & \vdash_{\mathcal{M}_{w\#w}} (\text{Accept}, & ack_{\#}^1\#\#ab\$) \\
\vdash_{\mathcal{M}_{w\#w}} (\#abq_0\#b\$, & res_b^1\#b\#ab\$) & & 
\end{array}$$

The components of  $\mathcal{M}$  are deterministic monotone R-automata and, moreover, only  $M_1$  is able to accept the input. Thus, the system  $\mathcal{M}$  is of type **det-global-mon-PC-R(2)**.  $\square$

The copy language in Example 9 is not even growing context sensitive and thus cannot be accepted by any single deterministic R-automaton, so this example gives a first impression of how communication increases the computational power of restarting automata. Moreover, we will see later in this thesis that even the copy language without a middle marker can be accepted by a globally deterministic system of three components with a window size of one.

In some situations it will be useful to describe the progress of the configurations of a particular component  $M$  in a system  $\mathcal{M}$ . Therefore, the binary relation  $\vdash_{M,\mathcal{M}}$  is introduced. It is somehow an extension of  $\vdash_M$  that includes the communication within the system. If  $\mathcal{M}$  is of degree  $n$ ,  $\kappa$  and  $\kappa'$  are two configurations, and  $M$  is the  $i$ -th component of  $\mathcal{M}$ , then  $\kappa \vdash_{M,\mathcal{M}} \kappa'$  holds if and only if there exist configurations  $\kappa_j, \kappa'_j$  for all  $j \in \{1, \dots, n\} \setminus \{i\}$  with

$$(\kappa_1, \dots, \kappa_{i-1}, \kappa, \kappa_{i+1}, \dots, \kappa_n) \vdash_{\mathcal{M}} (\kappa'_1, \dots, \kappa'_{i-1}, \kappa', \kappa'_{i+1}, \dots, \kappa'_n).$$

Moreover, with  $\kappa \vdash_{M,\mathcal{M}}^* \kappa'$  we denote a computation of  $M$  within  $\mathcal{M}$ , i.e.  $\kappa \vdash_{M,\mathcal{M}}^* \kappa'$  if and only if there exist configurations  $\kappa_j, \kappa'_j$  for all  $j \in \{1, \dots, n\} \setminus \{i\}$  such that

$$(\kappa_1, \dots, \kappa_{i-1}, \kappa, \kappa_{i+1}, \dots, \kappa_n) \vdash_{\mathcal{M}}^* (\kappa'_1, \dots, \kappa'_{i-1}, \kappa', \kappa'_{i+1}, \dots, \kappa'_n).$$

Observe that in contrast to the relation  $\vdash_M$ , the relation  $\vdash_{M,\mathcal{M}}^*$  is not the reflexive and transitive closure of  $\vdash_{M,\mathcal{M}}$ .

In the remaining subsections some more technical machinery is developed and first results are derived.

### 5.1.2 Communicational equivalence between components of a system

We start this topic with the following observation: Let  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  be a PCRA system. Replacing a component  $M_i$  ( $1 \leq i \leq n$ ) by an equivalent automaton  $M'_i$  leads to the system  $\mathcal{M}' = (M_1, \dots, M_{i-1}, M'_i, M_{i+1}, \dots, M_n)$ . Usually  $\mathcal{M}$  and  $\mathcal{M}'$  are not equivalent anymore as can be easily seen from the next example:

**Example 10.**  $\mathcal{M} = (M_1, M_2)$  with  $M_1 = (\{q_1, \text{res}^2, \text{ack}^2\}, \{a\}, \{a\}, \phi, \$, q_1, 1, \delta_1)$  and  $M_2 = (\{q_2, \text{req}^1, \text{rec}^1\}, \{a\}, \{a\}, \phi, \$, q_2, 1, \delta_2)$ , where

$$\begin{aligned}\delta_1(q_1, \phi) &= \{\text{res}^2\}, \\ \delta_2(q_2, \phi) &= \{\text{req}^1\}, \\ \delta_2(\text{rec}^1, \phi) &= \{\text{Accept}\}.\end{aligned}$$

Then  $L(M_1) = L(M_2) = \emptyset$  and  $L(\mathcal{M}) = \{a\}^*$ . Let  $M'_1 = (\{q'_1\}, \{a\}, \{a\}, \phi, \$, q'_1, 1, \delta'_1)$  with  $\delta'_1(q'_1, \phi) = \emptyset$ . Then it follows that  $L(M'_1) = \emptyset = L(M_1)$ . But for  $\mathcal{M}' = (M'_1, M_2)$  it holds that  $L(\mathcal{M}') = \emptyset \neq L(\mathcal{M})$ .  $\square$

Though the component  $M_1$  in the system  $\mathcal{M}$  was replaced by an equivalent automaton  $M'_1$ , the resulting system  $\mathcal{M}'$  behaves quite differently from the original system. The reason for this is the fact that not only the behaviour of the local computations of the various components, but also the behaviour with respect to communication influences the work of the whole system. The next definition deals with this situation and gives a stronger interpretation of equivalence.

**Definition 2** (Communicational equivalence). Let  $M_1 = (Q_1, \Sigma, \Gamma_1, \phi, \$, q_0^{(1)}, k, \delta_1)$  and  $M_2 = (Q_2, \Sigma, \Gamma_2, \phi, \$, q_0^{(2)}, k, \delta_2)$  be two components such that  $\text{COM}(M_1) = \text{COM}(M_2)$ . Further, let

$$\begin{aligned}C_1 &= \text{REQ}(M_1) \cup \text{RES}(M_1) = \text{REQ}(M_2) \cup \text{RES}(M_2) \text{ and} \\ C_2 &= \text{REC}(M_1) \cup \text{ACK}(M_1) = \text{REC}(M_2) \cup \text{ACK}(M_2).\end{aligned}$$

Then  $M_1$  and  $M_2$  are called *communicational equivalent* (denoted by  $M_1 \equiv_c M_2$ ) if they are equivalent ( $L(M_1) = L(M_2)$ ) and the following three conditions hold:

1. for all  $w \in \Sigma^*$  and  $p \in C_1$ ,

$$q_0^{(1)} \phi w \$ \vdash_{M_1}^* upv \Leftrightarrow q_0^{(2)} \phi w \$ \vdash_{M_2}^* upv,$$



2. for all  $p \in C_2$  and  $q \in C_1$ ,

$$upv \vdash_{M_1}^* u'qv' \Leftrightarrow upv \vdash_{M_2}^* u'qv',$$

3. for all  $p \in C_2$ ,

$$upv \vdash_{M_1}^* \text{Accept} \Leftrightarrow upv \vdash_{M_2}^* \text{Accept}. \quad \square$$

Observe that the assumption  $\text{COM}(M_1) = \text{COM}(M_2)$  implies that  $\text{REQ}(M_1) = \text{REQ}(M_2)$ ,  $\text{RES}(M_1) = \text{RES}(M_2)$ ,  $\text{REC}(M_1) = \text{REC}(M_2)$ , and  $\text{ACK}(M_1) = \text{ACK}(M_2)$ . Moreover, this is no real restriction, because whenever a communication state  $q \in \text{COM}(M_1) \setminus \text{COM}(M_2)$  or  $q \in \text{COM}(M_2) \setminus \text{COM}(M_1)$  is reached by a component, then one of the three conditions does not hold anyway. The communicational equivalence ensures that two automata have the same ‘global behaviour’ within a system. It means that both components react on communications in the same manner. Now we prove that two systems with communicational equivalent components are indeed equivalent.

**Lemma 1.** *Let  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  be a PCRA system,  $M_j = (Q_j, \Sigma, \Gamma_j, \wp, \$, q_0^{(j)}, k, \delta_j)$  for all  $j \in \{1, \dots, n\}$ ,  $M'_i = (Q'_i, \Sigma, \Gamma'_i, \wp, \$, q_0^{(i)}, k, \delta'_i)$  an automaton with  $M'_i \equiv_c M_i$  for an arbitrary  $i \in \{1, \dots, n\}$ , and  $\mathcal{M}' = (M_1, \dots, M_{i-1}, M'_i, M_{i+1}, \dots, M_n)$ . Then for all  $w \in \Sigma^*$ ,  $uv \in \{\wp\} \cdot (\Gamma_i \cup \Gamma'_i)^* \cdot \{\$\}$ , and  $q \in \text{REQ}(M_i) \cup \text{RES}(M_i)$ ,*

$$q_0^{(i)} \wp w \$ \vdash_{M_i, \mathcal{M}}^* uqv \Leftrightarrow q_0^{(i)} \wp w \$ \vdash_{M'_i, \mathcal{M}'}^* uqv.$$

*Proof.* Let  $\gamma_1$  be a computation of  $M_i$  in  $\mathcal{M}$  such that  $\gamma_1 = q_0^{(i)} \wp w \$ \vdash_{M_i, \mathcal{M}}^* uqv$  for an arbitrary input  $w \in \Sigma^*$ ,  $uv \in \{\wp\} \cdot (\Gamma_i \cup \Gamma'_i)^* \cdot \{\$\}$ , and  $q \in \text{REQ}(M_i) \cup \text{RES}(M_i)$ . Further, let  $S(\gamma_1) = (p_1, p_2, \dots, p_t, q)$  be the sequence of request and response states that are reached by  $M_i$  in the computation  $\gamma_1$  in chronological order.

By induction on the length of  $S(\gamma_1)$ , i.e. the number of reached communication states, we show that there exists a computation  $\gamma_2$  of  $M'_i$  in  $\mathcal{M}'$  such that  $\gamma_2 = q_0^{(i)} \wp w \$ \vdash_{M'_i, \mathcal{M}'}^* uqv$  with  $S(\gamma_2) = S(\gamma_1)$ . In particular, all communications of  $M'_i$  in  $\gamma_2$  can be resolved, since the same communication states are reached as in the computation  $\gamma_1$  of  $M_i$  and all the other components behave in  $\mathcal{M}'$  in the same way as in  $\mathcal{M}$ .

Basis: For  $S(\gamma_1) = (q)$  the assumption follows directly from the first item of the Definition 2.

Inductive step: For  $S(\gamma_1) = (p_1, \dots, p_t, q)$  with  $t > 0$  it holds that

$$q_0^{(i)} \Downarrow w \$ \vdash_{M_i, \mathcal{M}}^* u' p_t v' (= \gamma_3) \quad (1)$$

$$u' p_t v' \vdash_{M_i, \mathcal{M}} u' p'_t v' \quad (2)$$

$$u' p'_t v' \vdash_{M_i, \mathcal{M}}^* u q v (= \gamma_4) \quad (3)$$

where  $p_t = \text{req}_d^j$  and  $p'_t = \text{rec}_{d,c}^j$  or  $p_t = \text{res}_{d,c}^j$  and  $p'_t = \text{ack}_{d,c}^j$ ,  $S(\gamma_3) = (p_1, \dots, p_t)$ , and  $S(\gamma_4) = (q)$ . From line (1) and the induction hypothesis it follows that

$$q_0^{(i)} \Downarrow w \$ \vdash_{M'_i, \mathcal{M}'}^* u' p_t v' (= \gamma_5)$$

with  $S(\gamma_5) = S(\gamma_3) = (p_1, \dots, p_t)$ . The communication step in line (2) can be executed in  $\mathcal{M}'$  as well, since all previous communications are resolved (induction hypothesis) and the other components behave in the same way as in  $\mathcal{M}$ . From line (3) and the second item of Definition 2 it follows that

$$u' p'_t v' \vdash_{M'_i, \mathcal{M}'}^* u q v (= \gamma_6)$$

with  $S(\gamma_6) = S(\gamma_4) = (q)$ , i.e.  $\gamma_6$  is a local computation of  $M'_i$ . Altogether we have

$$q_0^{(i)} \Downarrow w \$ \vdash_{M'_i, \mathcal{M}'}^* u q v (= \gamma_2)$$

with  $S(\gamma_2) = S(\gamma_1) = (p_1, \dots, p_t, q)$ . The opposite direction can be shown in the same way because of the equivalences of Definition 2.  $\square$

**Corollary 1.** *Let  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  and  $\mathcal{M}' = (M'_1, M'_2, \dots, M'_n)$  be two PCRA systems with  $M_i \equiv_c M'_i$  for all  $i \in \{1, \dots, n\}$ . Then  $L(\mathcal{M}) = L(\mathcal{M}')$  holds.*

*Proof.* This results immediately from Lemma 1 and the third item of Definition 2.  $\square$

The next corollary is sometimes useful for technical reasons.

**Corollary 2.** *For every restarting automaton with a communication state as the initial state, there exists a communicational equivalent restarting automaton with an initial state that is not a communication state.*

*Proof.* Let  $M = (Q, \Sigma, \Gamma, \Downarrow, \$, q, k, \delta)$  be a restarting automaton with  $q \in \text{REQ}(M) \cup \text{RES}(M)$ . Construct a restarting automaton  $M' = (Q \cup \{p\}, \Sigma, \Gamma, \Downarrow, \$, p, k, \delta')$ , where  $p \notin Q$  and  $\delta' = \delta \cup \{(p, \alpha, q) \mid \alpha \in \mathcal{PC}^{(k)}\}$ . Thus, every computation of  $M'$  starts with  $p \Downarrow w \$ \vdash_{M'} q \Downarrow w \$$  for any input word  $w \in \Sigma^*$ . Hence,  $M \equiv_c M'$ .  $\square$

### 5.1.3 Restarting automata with state-change-only transitions

Usually in a restarting automaton a change into a particular state is connected with a move of the window on the tape (MVL, MVR) or a replace operation. In some proofs it is useful to define restarting automata in such a way that the state can be changed without performing any operation on the tape. Here we call transitions of the form  $q \in \delta(p, u)$  *state-change-only transitions* (SCO transitions for short), where  $p$  and  $q$  are states and  $u$  is a part of the tape content that could possibly be read by the window. This is quite similar to spontaneous transitions for nondeterministic finite automata or pushdown automata.

**Definition 3** (SCO transition). Let  $M = (Q, \Sigma, \Gamma, \phi, \$, q_0, k, \delta)$  be an arbitrary restarting automaton of any type. Then an SCO transition is of the form  $q \in \delta(p, u)$ , where  $p, q \in Q$ , and  $u \in \mathcal{PC}^{(k)}$ . This means that  $M$ , being in state  $p$  and reading the word  $u$  on the tape, may change into the state  $q$ , without changing the tape content, keeping the window at the current position.  $\square$

If  $M$  is a component within a PC system of restarting automata, transitions of the form  $q \in \delta(p, u)$  are not called SCO transitions if  $q$  is a communication state. When  $M$  changes into a communication state, this is not just a change of the current state, but it is also connected with a communication operation. Now we show that restarting automata with SCO transitions are equivalent to restarting automata without SCO transitions with respect to their computational power. Since it is clear that restarting automata without SCO transitions cannot be more powerful than those with SCO transitions, it remains to show the opposite direction. The first proof deals only with restarting automata not contained within a PC system. Thereafter, it is shown that SCO transitions do not result in an increase of the computational power even in PC systems.

**Theorem 1.** *Let  $M$  be an arbitrary restarting automaton of any type with SCO transitions. Then there exists an automaton  $M'$  of the same type without SCO transitions such that  $L(M) = L(M')$ .*

*Proof.* Let  $M = (Q, \Sigma, \Gamma, \phi, \$, q_0, k, \delta)$  be a restarting automaton of any type with SCO transitions. The following algorithm constructs an automaton  $M' = (Q, \Sigma, \Gamma, \phi, \$, q_0, k, \delta')$  of the same type without using SCO transitions such that  $L(M) = L(M')$ .

- (1) Let  $P_1$  be the set of all SCO transitions of  $M$ :

$$P_1 := \{(p, \alpha, q) \mid q \in \delta(p, \alpha)\}.$$

(2) Remove every  $(p, \alpha, q)$  from  $P_1$  for which  $\delta(q, \alpha) = \emptyset$  holds:

$$P_2 := P_1 \setminus \{(p, \alpha, q) \mid \delta(q, \alpha) = \emptyset\}.$$

(3) Determine the transitive closure of  $P_2$  which is the set of computation paths only containing **SCO** transitions:

$$P_3 := \{(p, \alpha, q) \mid (p, \alpha, q) \in P_2 \text{ or } \exists n \geq 0 : \exists q_1, q_2, \dots, q_n \in Q : \\ (p, \alpha, q_1), (q_1, \alpha, q_2), \dots, (q_n, \alpha, q) \in P_2\}.$$

(4) Remove every  $(p, \alpha, p)$  from  $P_3$ :

$$P_4 := P_3 \setminus \{(p, \alpha, p) \mid (p, \alpha, p) \in P_3\}.$$

(5) For each  $A \in \delta(p, \alpha)$  with  $A \neq q$  for any  $q \in Q$ , take  $A \in \delta'(p, \alpha)$ .

(6) While  $P_4 \neq \emptyset$  do:

(6.1) Choose a  $(p, \alpha, q) \in P_4$ .

(6.2) For each  $A \in \delta(q, \alpha)$ , define  $A \in \delta'(p, \alpha)$ .

(6.3) Remove  $(p, \alpha, q)$  from  $P_4$ .

The basic idea of the algorithm is to shorten the computations of  $M$  by eliminating the **SCO** steps successively. Now, it remains to show that for any input word  $w \in \Sigma^*$  holds:  $q_0 \downarrow w \$ \vdash_M^* \text{Accept}$  if and only if  $q_0 \downarrow w \$ \vdash_{M'}^* \text{Accept}$ .

„ $\Rightarrow$ “: An **SCO** sequence of length  $r$  is a computation consisting of  $r$  **SCO** transitions. Formally this can be written as  $uq_1v \vdash uq_2v \vdash \dots \vdash uq_rv \vdash uq_{r+1}v$ . By induction on the number of **SCO** sequences it can be shown that  $\kappa \vdash_M^* \text{Accept} \Rightarrow \kappa \vdash_{M'}^* \text{Accept}$  holds for any configuration  $\kappa$  that is not reached directly from an **SCO** transition. If the computation  $\kappa \vdash_M^* \text{Accept}$  does not contain an **SCO** sequence, then  $\kappa \vdash_{M'}^* \text{Accept}$  follows directly from line (5) of the algorithm. Let  $\kappa \vdash_M^* \text{Accept}$  contain  $s + 1$  **SCO** sequences ( $s \geq 0$ ). Then there exist states  $q_1, q_2, \dots, q_r, q$  and tape contents  $u, v, u', v'$  with

$$\kappa \vdash_M^* uq_1v \vdash_M uq_2v \vdash_M \dots \vdash_M uq_rv \vdash_M u'qv' \vdash_M^* \text{Accept}.$$

W.l.o.g.  $\kappa \vdash_M^* uq_1v$  contains no **SCO** sequence and  $uq_1v \vdash_M uq_2v \vdash_M \dots \vdash_M uq_rv$  is the first **SCO** sequence (of length  $r - 1$ ) within the computation  $\kappa \vdash_M^* \text{Accept}$ . Moreover,  $uq_rv \vdash_M u'qv'$  is not an **SCO** transition (otherwise  $u'qv'$  would belong to the **SCO** sequence), and  $q_i \neq q_{i+1}$  for all  $1 \leq i < r$ . The last part of the computation

$(u'qv' \vdash_M^* \text{Accept})$  contains the remaining  $s$  SCO sequences. Now it follows that  $q_{i+1} \in \delta(q_i, \pi_k(v))$  for all  $1 \leq i < r$  and  $(q, \text{MVR}) \in \delta(q_r, \pi_k(v))$  (if  $uq_rv \vdash_M^{MVR} u'qv'$ ; MVL, rewrite, restart, and **Accept** similar). Hence  $(q_i, \pi_k(v), q_{i+1}) \in P_1$  and  $(q_i, \pi_k(v), q_{i+1}) \in P_2$  for all  $1 \leq i < r$  because of line (1) and (2) of the algorithm. Due to line (3)  $(q_1, \pi_k(v), q_r) \in P_3$ . If  $q_1 \neq q_r$ , then  $(q_1, \pi_k(v), q_r) \in P_4$ . (Otherwise there exists a computation  $\kappa \vdash_M^* uq_1v \vdash_M u'qv' \vdash_M^* \text{Accept}$  with  $s$  SCO sequences and the induction hypothesis can be applied.). Furthermore,  $(q, \text{MVR}) \in \delta'(q_1, \pi_k(v))$  because of line (6.2). Subsequently it follows that

$$\kappa \vdash_{M'}^* uq_1v \vdash_{M'} u'qv' \vdash_{M'}^* \text{Accept},$$

where  $\kappa \vdash_{M'}^* uq_1v$  follows from line (5) of the algorithm, and  $u'qv' \vdash_{M'}^* \text{Accept}$  results from the induction hypothesis. In particular, if  $\kappa = q_0\phi w\$$  for some input word  $w \in \Sigma^*$ , then  $q_0\phi w\$ \vdash_M^* \text{Accept}$  implies  $q_0\phi w\$ \vdash_{M'}^* \text{Accept}$ .

„ $\Leftarrow$ “: By induction on the length of the computations of  $M'$  it can be shown that  $\kappa \vdash_{M'}^* \text{Accept} \Rightarrow \kappa \vdash_M^* \text{Accept}$  holds for any configuration  $\kappa$ . For zero computation steps  $\kappa = \text{Accept}$  and the assumption holds. Let  $\kappa \vdash_{M'}^{n+1} \text{Accept}$  for a configuration  $\kappa = uqv = uqv_1 \dots v_t$ . Then there exists a state  $q'$  such that

$$uqv_1 \dots v_t \vdash_{M'}^{MVR} uv_1q'v_2 \dots v_t \vdash_{M'}^n \text{Accept}$$

(MVL, rewrite, and restart similar), and it follows that

$$uqv_1 \dots v_t \vdash_M^* uv_1q'v_2 \dots v_t \quad (1)$$

$$\vdash_M^* \text{Accept} \quad (2)$$

Line (1) holds because:

$$\begin{aligned}
& uqv_1 \dots v_t \vdash_{M'}^{MVR} uv_1q'v_2 \dots v_t \\
\Rightarrow & (q', \text{MVR}) \in \delta'(q, \pi_k(v)) \\
\Rightarrow & (q', \text{MVR}) \in \delta(q, \pi_k(v)) \text{ or } \exists q'' \in Q : \\
& \quad (q, \pi_k(v), q'') \in P_4 \text{ and } (q', \text{MVR}) \in \delta(q'', \pi_k(v)) \\
\Rightarrow & (q', \text{MVR}) \in \delta(q, \pi_k(v)) \text{ or } \exists q'' \in Q : \\
& \quad (q, \pi_k(v), q'') \in P_3 \text{ and } (q', \text{MVR}) \in \delta(q'', \pi_k(v)) \text{ and } q'' \neq q \\
\Rightarrow & (q', \text{MVR}) \in \delta(q, \pi_k(v)) \text{ or } \exists q'' \in Q : \exists r \geq 1 : \exists q_1, q_2, \dots, q_r \in Q : \\
& \quad (q, \pi_k(v), q_1) \in P_2, (q_i, \pi_k(v), q_{i+1}) \in P_2 \text{ for all } 0 < i < r, q_r = q'', \\
& \quad \text{and } (q', \text{MVR}) \in \delta(q'', \pi_k(v)) \\
\Rightarrow & (q', \text{MVR}) \in \delta(q, \pi_k(v)) \text{ or } \exists q'' \in Q : \exists r \geq 1 : \exists q_1, q_2, \dots, q_r \in Q : \\
& \quad (q, \pi_k(v), q_1) \in P_1, (q_i, \pi_k(v), q_{i+1}) \in P_1 \text{ for all } 0 < i < r, q_r = q'', \\
& \quad \text{and } (q', \text{MVR}) \in \delta(q'', \pi_k(v)) \\
\Rightarrow & (q', \text{MVR}) \in \delta(q, \pi_k(v)) \text{ or } \exists q'' \in Q : \exists r \geq 1 : \exists q_1, q_2, \dots, q_r \in Q : \\
& \quad q_1 \in \delta(q, \pi_k(v)), q_{i+1} \in \delta(q_i, \pi_k(v)) \text{ for all } 0 < i < r, q_r = q'', \\
& \quad \text{and } (q', \text{MVR}) \in \delta(q'', \pi_k(v)) \\
\Rightarrow & uqv_1 \dots v_t \vdash_M uv_1q'v_2 \dots v_t \text{ or } \exists q'' \in Q : \exists r \geq 1 : \exists q_1, q_2, \dots, q_r \in Q : \\
& \quad uqv_1 \dots v_t \vdash_M uq_1v_1 \dots v_t \vdash_M \dots \vdash_M uq_rv_1 \dots v_t = uq''v_1 \dots v_t \\
& \quad \vdash_M uv_1q'v_2 \dots v_t
\end{aligned}$$

Line (2) holds because of the induction hypothesis. In particular, if  $q_0 \downarrow w \$ \vdash_{M'}^* \text{Accept}$ , then  $q_0 \downarrow w \$ \vdash_M^* \text{Accept}$ . Hence, it follows that  $L(M) = L(M')$ .  $\square$

The algorithm above terminates for every input, and it needs time  $\mathcal{O}(n^4)$  and space  $\mathcal{O}(n^2)$ , where  $n$  is the number of transitions of  $M$ . Lines (1), (2), and (5) need linear time and space. Lines (3) and (4) need time  $\mathcal{O}(n^3)$  and space  $\mathcal{O}(n^2)$ . For this, we can use the Floyd-Warshall algorithm [Flo62, War62]. Finally, line (6) needs time  $\mathcal{O}(n^4)$  and space  $\mathcal{O}(n^2)$ .

The next theorem indicates that the use of **SCO** transitions has no effect on the computational power even in PC systems of restarting automata. To show this the concept of communicational equivalence is used.

**Theorem 2.** *Let  $M$  be a component within a PC system of restarting automata of an arbitrary type that includes SCO transitions. Then there exists a communicational equivalent component  $M'$  of the same type without SCO transitions ( $M \equiv_c M'$ ).*

*Proof.* The proof is nearly the same as the one of Theorem 1. By replacing  $\kappa$  with the configurations  $q_0 \downarrow w \$$  or  $xqy$  for an input word  $w$  and a communication state  $q \in \text{REC}(M) \cup \text{ACK}(M)$  and replacing **Accept** with  $xpy$  for a communication

state  $p \in \text{REQ}(M) \cup \text{RES}(M)$ , it can be seen that the three conditions (beside the condition  $L(M) = L(M')$ ) of Definition 2 hold.  $\square$

One problem dealing with SCO steps are loops in the computation that cannot occur in computations of restarting automata that use only MVR steps. Such loops can result in infinitely long computations for a given input word. But this is no real disadvantage, since the algorithm above can be used to decide whether an automaton can reach a loop (the automaton can reach an SCO loop if and only if  $P_3$  contains at least one triple of the type  $(p, \alpha, p)$ ) and moreover (due to Theorems 1 and 2) a (communicational) equivalent automaton without SCO transitions can be constructed effectively.

Furthermore, the construction within the proof of Theorem 2 has only an effect on the currently considered component, but not on the other components of the system. Thus, by replacing the components with SCO transitions by components without SCO transitions successively, we obtain the following corollary.

**Corollary 3.** *Every system that includes components with SCO transitions can be effectively transformed into an equivalent system without SCO transitions.*

#### 5.1.4 Multicast and broadcast

Consider the language

$$L_{c\text{-copy}} = \{w(\#w)^c \mid w \in \{a, b\}^+\},$$

where  $c$  is a constant positive integer. An intuitive way to construct a system for this language would be to have  $c + 1$  components each working on one of the  $c + 1$  syllables quite similar to the copy language of Example 9 (although  $L_{c\text{-copy}}$  can be accepted by a system with only two components as we will see within the section „Further examples“). Comparing the first symbols of all syllables by one-to-one communications can be very expensive. Rather a one-to-many communication would be useful, so that one particular component sends its first symbol to all other components that now can compare the information received with their own currently read symbol.

Now we introduce an operation that allows a component to send a message not only to one but to many components within the system. Therefore, the present communication concept is extended by multicast and broadcast communication operations. While in a broadcast a certain information is sent to all other components, in the multicast operation the message is sent to a determined subset of all components (except the sending component itself). In this sense the broadcast is a special case of the multicast. Usually, if a member of a (communication)

network sends a broadcast message, it does not necessarily require an acknowledgement from the addressees. Here we limit ourself to broadcasts and multicasts with ‘echo’, where each addressee sends an acknowledgement to the sender. Thus, the sender knows which members have received the original message and can send the message again if there are some members that did not obtain the message. In the context of the PCRA systems this means that the component sending the multicast waits until every addressee reaches a corresponding communication state. The next definitions give a formal description of broad- and multicasts within PCRA systems.

**Definition 4** (Multicast communication step). Let  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  be a PCRA system of an arbitrary type. Then, a multicast operation is a transition of the form

$$\text{res}_{d,c}^{\{i_1, i_2, \dots, i_r\}} \in \delta(q, \alpha),$$

where  $d$  is a local information,  $c$  is a message, and  $\{i_1, i_2, \dots, i_r\} \subset \{1, 2, \dots, n\}$  are the indices of the addressees not containing the index of the sender.  $\square$

Using broadcast communication we notate this also by

$$\text{res}_{d,c}^* \in \delta(q, \alpha),$$

since the message is sent to *all* other components. Now, we extend the definition of a computation step of a system.

**Definition 5.** Let  $K$  and  $K'$  be two configurations with  $K = (\kappa_1, \kappa_2, \dots, \kappa_n)$  and  $K' = (\kappa'_1, \kappa'_2, \dots, \kappa'_n)$ . Then  $K \vdash_{\mathcal{M}} K'$  iff for all  $i \in \{1, 2, \dots, n\}$  one of the following conditions holds:

1.  $\kappa_i \vdash_{M_i} \kappa'_i$  (local computation step),
2.  $\exists j \in \{1, 2, \dots, n\} \setminus \{i\} : \kappa_i = u_i \text{req}_{d_i}^j v_i, \quad \kappa_j = u_j \text{res}_{d_j, c}^i v_j,$   
 $\kappa'_i = u_i \text{rec}_{d_i, c}^j v_i, \quad \kappa'_j = u_j \text{ack}_{d_j, c}^i v_j$  (communication),
3.  $\exists j \in \{1, 2, \dots, n\} \setminus \{i\} : \kappa_i = u_i \text{res}_{d_i, c}^j v_i, \quad \kappa_j = u_j \text{req}_{d_j}^i v_j,$   
 $\kappa'_i = u_i \text{ack}_{d_i, c}^j v_i, \quad \kappa'_j = u_j \text{rec}_{d_j, c}^i v_j$  (communication),
4.  $\exists j_1, \dots, j_r \in \{1, 2, \dots, n\} \setminus \{i\} :$   
 $\kappa_i = u_i \text{res}_{d_i, c}^{\{j_1, \dots, j_r\}} v_i, \quad \forall j \in \{j_1, \dots, j_r\} : \kappa_j = u_j \text{req}_{d_j}^i v_j,$   
 $\kappa'_i = u_i \text{ack}_{d_i, c}^{\{j_1, \dots, j_r\}} v_i, \quad \forall j \in \{j_1, \dots, j_r\} : \kappa'_j = u_j \text{rec}_{d_j, c}^i v_j$  (multicast),
5.  $\kappa_i = \kappa'_i, \kappa_i \neq \text{Accept}$ , and no local operation (MVR, MVL, Restart, replacement) or communication (including multicasts) of  $M_i$  is possible.  $\square$



Although the defined multicast operation can be a useful simplification for the construction of a system, it does not increase the computational power, as the next theorem shows.

**Theorem 3.** *For every PCRA  $\mathcal{M}$  of an arbitrary type that is allowed to use multicast operations, there exists a PCRA system  $\mathcal{M}'$  of the same type that does not use any multicast operations and accepts exactly the same language as  $\mathcal{M}$ .*

*Proof.* Let  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  be a PCRA system of an arbitrary type that is allowed to use multicast operations. We construct a system  $\mathcal{M}' = (M'_1, M'_2, \dots, M'_n)$  of the same type with  $L(\mathcal{M}) = L(\mathcal{M}')$ . Therefore every multicast operation that appears in any component of  $\mathcal{M}$  is eliminated. Let

$$\text{res}_{d,c}^{\{j_1, \dots, j_r\}} \in \delta_i(q, \alpha) \text{ and } A \in \delta(\text{ack}_{d,c}^{\{j_1, \dots, j_r\}}, \alpha)$$

be an arbitrary transition of a multicast operation from the component  $M_i$ . These are replaced with the following communication transitions in  $M'_i$ :

$$\begin{aligned} \text{res}_{d,c}^{j_1} &\in \delta'_i(q, \alpha), \\ \text{res}_{d,c}^{j_2} &\in \delta'_i(\text{ack}_{d,c}^{j_1}, \alpha), \\ \text{res}_{d,c}^{j_3} &\in \delta'_i(\text{ack}_{d,c}^{j_2}, \alpha), \\ &\vdots \\ \text{res}_{d,c}^{j_r} &\in \delta'_i(\text{ack}_{d,c}^{j_{r-1}}, \alpha), \\ A &\in \delta'_i(\text{ack}_{d,c}^{j_r}, \alpha). \end{aligned}$$

If any of the states  $\text{res}_{d,c}^{j_1}, \text{ack}_{d,c}^{j_1}, \text{res}_{d,c}^{j_2}, \text{ack}_{d,c}^{j_2}, \dots, \text{res}_{d,c}^{j_r}, \text{ack}_{d,c}^{j_r}$  already appears in the set of states of  $M_i$ , then the local information  $d$  has to be chosen in a way that makes the states unique. If there are several multicast operations defined for the same state  $q$  and tape content  $\alpha$ , then the new transitions are defined for each multicast operation. If the initial state of a component  $M_i$  is of the form  $\text{res}_{d,c}^{\{j_1, \dots, j_r\}}$ , then the initial state of  $M'_i$  is  $\text{res}_{d,c}^{j_1}$  and the new transitions above are included. If the initial state of  $M_i$  is not a multicast communication state, then the initial state in  $M'_i$  is the same as in  $M_i$ .

To show that  $\mathcal{M}$  and  $\mathcal{M}'$  accept the same language, we consider the following multicast step within a computation of  $\mathcal{M}$ , where the order of the components  $M_i, M_{j_1}, M_{j_2}, \dots, M_{j_r}$  is irrelevant:

$$\begin{aligned}
& (\dots, \quad \kappa_i, \quad \kappa_{j_1}, \quad \dots, \quad \kappa_{j_r}, \quad \dots) \\
= & (\dots, \quad u_i q_i v_i, \quad u_{j_1} q_{j_1} v_{j_1}, \quad \dots, \quad u_{j_r} q_{j_r} v_{j_r}, \quad \dots) \\
\vdash_{\mathcal{M}} & (\dots, u_i \text{res}_{d,c}^{\{j_1, \dots, j_r\}} v_i, u_{j_1} \text{req}_{d_1}^i v_{j_1}, \dots, u_{j_r} \text{req}_{d_r}^i v_{j_r}, \dots) \\
\vdash_{\mathcal{M}} & (\dots, u_i \text{ack}_{d,c}^{\{j_1, \dots, j_r\}} v_i, u_{j_1} \text{rec}_{d_1,c}^i v_{j_1}, \dots, u_{j_r} \text{rec}_{d_r,c}^i v_{j_r}, \dots) \\
\vdash_{\mathcal{M}} & (\dots, \quad \kappa'_i, \quad \kappa'_{j_1}, \quad \dots, \quad \kappa'_{j_r}, \quad \dots).
\end{aligned}$$

With the above described modifications the system  $\mathcal{M}'$  performs the following computation. The symbol  $*$  denotes an arbitrary successor configuration of the according component.

$$\begin{aligned}
& (\dots, \quad \kappa_i, \quad \kappa_{j_1}, \quad \kappa_{j_2}, \quad \dots, \quad \kappa_{j_{r-1}}, \quad \kappa_{j_r}, \quad \dots) \\
= & (\dots, \quad u_i q_i v_i, \quad u_{j_1} q_{j_1} v_{j_1}, \quad u_{j_2} q_{j_2} v_{j_2}, \quad \dots, \quad u_{j_{r-1}} q_{j_{r-1}} v_{j_{r-1}}, \quad u_{j_r} q_{j_r} v_{j_r}, \quad \dots) \\
\vdash_{\mathcal{M}'} & (\dots, u_i \text{res}_{d,c}^{j_1} v_i, u_{j_1} \text{req}_{d_1}^i v_{j_1}, u_{j_2} \text{req}_{d_2}^i v_{j_2}, \dots, u_{j_{r-1}} \text{req}_{d_{r-1}}^i v_{j_{r-1}}, u_{j_r} \text{req}_{d_r}^i v_{j_r}, \dots) \\
\vdash_{\mathcal{M}'} & (\dots, u_i \text{ack}_{d,c}^{j_1} v_i, u_{j_1} \text{rec}_{d_1,c}^i v_{j_1}, u_{j_2} \text{req}_{d_2}^i v_{j_2}, \dots, u_{j_{r-1}} \text{req}_{d_{r-1}}^i v_{j_{r-1}}, u_{j_r} \text{req}_{d_r}^i v_{j_r}, \dots) \\
\vdash_{\mathcal{M}'} & (\dots, u_i \text{res}_{d,c}^{j_2} v_i, \quad \kappa'_{j_1}, \quad u_{j_2} \text{req}_{d_2}^i v_{j_2}, \dots, u_{j_{r-1}} \text{req}_{d_{r-1}}^i v_{j_{r-1}}, u_{j_r} \text{req}_{d_r}^i v_{j_r}, \dots) \\
\vdash_{\mathcal{M}'} & (\dots, u_i \text{ack}_{d,c}^{j_2} v_i, \quad *, \quad u_{j_2} \text{rec}_{d_2,c}^i v_{j_2}, \dots, u_{j_{r-1}} \text{req}_{d_{r-1}}^i v_{j_{r-1}}, u_{j_r} \text{req}_{d_r}^i v_{j_r}, \dots) \\
\vdash_{\mathcal{M}'} & (\dots, u_i \text{res}_{d,c}^{j_3} v_i, \quad *, \quad \kappa'_{j_2}, \quad \dots, u_{j_{r-1}} \text{req}_{d_{r-1}}^i v_{j_{r-1}}, u_{j_r} \text{req}_{d_r}^i v_{j_r}, \dots) \\
& \quad \quad \quad \vdots \\
\vdash_{\mathcal{M}'} & (\dots, u_i \text{res}_{d,c}^{j_r} v_i, \quad *, \quad *, \quad \dots, \quad \kappa'_{j_{r-1}}, \quad u_{j_r} \text{req}_{d_r}^i v_{j_r}, \dots) \\
\vdash_{\mathcal{M}'} & (\dots, u_i \text{ack}_{d,c}^{j_r} v_i, \quad *, \quad *, \quad \dots, \quad *, \quad u_{j_r} \text{rec}_{d_r,c}^i v_{j_r}, \dots) \\
\vdash_{\mathcal{M}'} & (\dots, \quad \kappa'_i, \quad *, \quad *, \quad \dots, \quad *, \quad \kappa'_{j_r}, \quad \dots).
\end{aligned}$$

Hence, each component of  $\mathcal{M}'$  performs the same computation as the original component with respect to some additional communication steps. Particularly, for each component  $M_i$ ,  $1 \leq i \leq n$ ,

$$q_0^{(i)} \downarrow w \$ \vdash_{M_i, \mathcal{M}}^* \kappa \quad \text{if and only if} \quad q_0^{(i)} \downarrow w \$ \vdash_{M'_i, \mathcal{M}'}^* \kappa$$

for each configuration  $\kappa$  that does not contain a communication state. Since this holds for  $\kappa = \text{Accept}$  in particular,  $L(\mathcal{M}) = L(\mathcal{M}')$  follows.  $\square$

The following example demonstrates how multicasts can be applied usefully. For this purpose we use our language  $L_{c\text{-copy}}$  defined above.

**Example 11.** We construct a system  $\mathcal{M}_{c\text{-copy}}$  that accepts the language  $L_{c\text{-copy}}$  with  $c+1$  components  $M_1, M_2, \dots, M_{c+1}$ . Basically, each component  $M_i$ ,  $1 \leq i \leq$

$c + 1$ , works on the  $i$ -th syllable of the input word. The first component  $M_1$  moves over the  $\clubsuit$ -symbol and then communicates each symbol via multicast to the other components  $M_2, \dots, M_{c+1}$  until it reads the  $\#$ -symbol. Reading the first  $\#$ -symbol signals the end of the first syllable. Each other component  $M_i$ ,  $2 \leq i \leq c + 1$ , moves its window to the right until it passes the  $(i - 1)$ -th  $\#$ -symbol, positions the window on the first symbol of the  $i$ -th syllable, and requests the symbol that is currently read by the first component. When receiving the corresponding symbol from  $M_1$ ,  $M_i$  compares it with the own currently read symbol. If both symbols match, then  $M_i$  moves the window one step to the right and requests the next symbol from  $M_1$ . At the end of a computation, that is, when each of the first  $c$  components has reached the  $\#$ -symbol, and  $M_{c+1}$  has reached the  $\$$ -symbol, a last multicast communication step takes place to verify that indeed all the components have read their whole syllable. Only if this communication can be resolved, then all syllables of the input word are identical and  $M_1$  accepts.

We define the components of  $\mathcal{M}_{c\text{-copy}}$  by

$$M_i = (Q_i, \{a, b, \#\}, \{a, b, \#\}, \clubsuit, \$, q_0, 1, \delta_i), 1 \leq i \leq c + 1,$$

with

- $Q_1 = \{q_0, \text{res}_a^*, \text{ack}_a^*, \text{res}_b^*, \text{ack}_b^*, \text{res}_\#^*, \text{ack}_\#^*, \text{res}_{\text{acc}}^*, \text{ack}_{\text{acc}}^*\}$ ,
- $Q_i = \{q_0, \text{req}_a^1, \text{rec}_a^1, \text{rec}_b^1, \text{rec}_\#^1\} \cup \{q_j \mid 1 \leq j \leq i - 1\}$  for all  $2 \leq i \leq c + 1$ ,

and

$$\begin{array}{llll} \delta_1(q_0, \clubsuit) & = (q_0, \text{MVR}) & \delta_i(q_0, \clubsuit) & = (q_0, \text{MVR}), \\ \delta_1(q_0, a) & = \text{res}_a^*, & \delta_i(q_j, a) & = (q_j, \text{MVR}) \quad \text{for all } 0 \leq j \leq i - 2, \\ \delta_1(q_0, b) & = \text{res}_b^*, & \delta_i(q_j, b) & = (q_j, \text{MVR}) \quad \text{for all } 0 \leq j \leq i - 2, \\ \delta_1(q_0, \#) & = \text{res}_\#^*, & \delta_i(q_j, \#) & = (q_{j+1}, \text{MVR}) \quad \text{for all } 0 \leq j \leq i - 2, \\ \delta_1(\text{ack}_a^*, a) & = (q_0, \text{MVR}), & \delta_i(q_{i-1}, a) & = \text{req}_a^1, \\ \delta_1(\text{ack}_b^*, b) & = (q_0, \text{MVR}), & \delta_i(q_{i-1}, b) & = \text{req}_b^1, \\ \delta_1(\text{ack}_\#^*, \#) & = \text{res}_{\text{acc}}^*, & \delta_i(q_{i-1}, \#) & = \text{req}_\#^1 \quad \text{if } i < c + 1, \\ \delta_1(\text{ack}_{\text{acc}}^*, \#) & = \text{Accept}, & \delta_i(q_{i-1}, \$) & = \text{req}_\#^1 \quad \text{if } i = c + 1, \\ & & \delta_i(\text{rec}_a^1, a) & = (q_{i-1}, \text{MVR}), \\ & & \delta_i(\text{rec}_b^1, b) & = (q_{i-1}, \text{MVR}), \\ & & \delta_i(\text{rec}_\#^1, \#) & = \text{req}_\#^1 \quad \text{if } i < c + 1, \\ & & \delta_i(\text{rec}_\#^1, \$) & = \text{req}_\#^1 \quad \text{if } i = c + 1. \end{array}$$

Observe that no rewrite operations and no restart operations are used within the definition of the components. An example computation of  $\mathcal{M}_{c\text{-copy}}$  for  $c = 2$  and the input word  $ab\#ab\#ab$  is:

$$\begin{aligned}
& ( q_0\#ab\#ab\#ab\$ \quad , \quad q_0\#ab\#ab\#ab\$ \quad , \quad q_0\#ab\#ab\#ab\$ \quad ) \\
\vdash & ( \#q_0\#ab\#ab\#ab\$ \quad , \quad \#q_0\#ab\#ab\#ab\$ \quad , \quad \#q_0\#ab\#ab\#ab\$ \quad ) \\
\vdash & ( \#res_a^*\#ab\#ab\#ab\$ \quad , \quad \#aq_0b\#ab\#ab\$ \quad , \quad \#aq_0b\#ab\#ab\$ \quad ) \\
\vdash & ( \#res_a^*\#ab\#ab\#ab\$ \quad , \quad \#abq_0\#ab\#ab\$ \quad , \quad \#abq_0\#ab\#ab\$ \quad ) \\
\vdash & ( \#res_a^*\#ab\#ab\#ab\$ \quad , \quad \#ab\#q_1\#ab\#ab\$ \quad , \quad \#ab\#q_1\#ab\#ab\$ \quad ) \\
\vdash & ( \#res_a^*\#ab\#ab\#ab\$ \quad , \quad \#ab\#req^1\#ab\#ab\$ \quad , \quad \#ab\#aq_1b\#ab\$ \quad ) \\
\vdash & ( \#res_a^*\#ab\#ab\#ab\$ \quad , \quad \#ab\#req^1\#ab\#ab\$ \quad , \quad \#ab\#abq_1\#ab\$ \quad ) \\
\vdash & ( \#res_a^*\#ab\#ab\#ab\$ \quad , \quad \#ab\#req^1\#ab\#ab\$ \quad , \quad \#ab\#ab\#q_2ab\$ \quad ) \\
\vdash & ( \#res_a^*\#ab\#ab\#ab\$ \quad , \quad \#ab\#req^1\#ab\#ab\$ \quad , \quad \#ab\#ab\#req^1ab\$ \quad ) \\
\vdash & ( \#ack_a^*\#ab\#ab\#ab\$ \quad , \quad \#ab\#rec_a^1\#ab\#ab\$ \quad , \quad \#ab\#ab\#rec_a^1ab\$ \quad ) \\
\vdash & ( \#aq_0b\#ab\#ab\$ \quad , \quad \#ab\#aq_1b\#ab\$ \quad , \quad \#ab\#ab\#aq_2b\$ \quad ) \\
\vdash & ( \#ares_b^*\#ab\#ab\$ \quad , \quad \#ab\#areq^1b\#ab\$ \quad , \quad \#ab\#ab\#areq^1b\$ \quad ) \\
\vdash & ( \#aack_b^*\#ab\#ab\$ \quad , \quad \#ab\#arec_b^1b\#ab\$ \quad , \quad \#ab\#ab\#arec_b^1b\$ \quad ) \\
\vdash & ( \#abq_0\#ab\#ab\$ \quad , \quad \#ab\#abq_1\#ab\$ \quad , \quad \#ab\#ab\#abq_2\$ \quad ) \\
\vdash & ( \#abres_\#^*\#ab\#ab\$ \quad , \quad \#ab\#abreq^1\#ab\$ \quad , \quad \#ab\#ab\#abreq^1\$ \quad ) \\
\vdash & ( \#aback_\#^*\#ab\#ab\$ \quad , \quad \#ab\#abrec_\#^1\#ab\$ \quad , \quad \#ab\#ab\#abrec_\#^1\$ \quad ) \\
\vdash & ( \#abres_{acc}^*\#ab\#ab\$ \quad , \quad \#ab\#abreq^1\#ab\$ \quad , \quad \#ab\#ab\#abreq^1\$ \quad ) \\
\vdash & ( \#aback_{acc}^*\#ab\#ab\$ \quad , \quad \#ab\#abrec_{acc}^1\#ab\$ \quad , \quad \#ab\#ab\#abrec_{acc}^1\$ \quad ) \\
\vdash & ( \text{Accept} \quad , \quad \#ab\#abrec_{acc}^1\#ab\$ \quad , \quad \#ab\#ab\#abrec_{acc}^1\$ \quad )
\end{aligned}$$

If the input word is not of the correct form, that is, either the number of  $\#$ -symbols is not equal to  $c$  or some syllables differ from each other in at least one symbol, then the following happens. In the first case, at least  $M_{c+1}$  gets stuck being in a state  $q_j$  with  $0 \leq j \leq (i - 2)$  and reading the  $\$$ -symbol or being in state  $q_c$  reading the  $\#$ -symbol. Subsequently, at least one component cannot reach a communication state and no communication of  $M_1$  is resolved. Whenever one syllable differs in at least one symbol from the first syllable, the corresponding component will get stuck, because  $\delta_i(\text{rec}_x^1, y)$  is not defined for two different tape symbols  $x$  and  $y$ . Thus, in either case  $M_1$  cannot reach the accepting configuration, and the input word is rejected.  $\square$

## 5.2 Further examples

In Section 5.1.1 a first example for the PCRA systems was given that accepts the copy language with a middle marker. Now, some more examples are presented for typical languages appearing in formal language contexts and computational linguistic:

- Gladkij language:

$$L_{Gladkij} = \{w\#w^R\#w \mid w \in \{a, b\}^*\},$$

- language of multiple agreements:

$$L_{a^n b^n c^n d^n} = \{a^n b^n c^n d^n \mid n \geq 1\},$$

- copy language for constant many copies ( $c$  is a constant integer with  $c \geq 1$ ):

$$L_{c\text{-copy}} = \{w(\#w)^c \mid w \in \{a, b\}^+\},$$

- copy language without middle marker (also called duplication language):

$$L_{ww} := \{ww \mid w \in \{a, b\}^*\},$$

- exponential language:

$$L_{expo} = \{a^{2^n} \mid n \geq 0\}.$$

The systems for these example languages will be explained rather detailed in order to illustrate how restarting automata work together within a PCRA system solving various tasks.

**Example 12** (Gladkij language). A PCRA system that accepts the Gladkij language  $L_{Gladkij}$  consists of two **det-mon-R**-automata with window size three:  $\mathcal{M}_{Gladkij} = (M_1, M_2)$ . In each cycle component  $M_1$  moves its window to the right until the first  $\#$ -symbol occurs in the middle of the window. If it reads  $a\#a$  or  $b\#b$ , this string is replaced by  $\#$  followed by an immediate restart. Thus,  $M_1$  checks whether the second syllable is the reversal of the first syllable. The second component  $M_2$  behaves similarly, checking whether the third syllable is the reversal of the second one. When both automata are successful, i.e.  $M_1$  reads  $\clubsuit\#\#\clubsuit$  and  $M_2$  reads  $\#\#\$, then the one and only communication step takes place, whereafter  $M_1$  and therefore the system  $\mathcal{M}_{Gladkij}$  accepts the input word. If the input is not$

of the correct form, then  $M_1$  or  $M_2$  (or both) do not reach the communication state, the communication does not happen, and the system does not accept.

Formally  $M_1$  and  $M_2$  are given by:

$$M_1 = (\{q_0, q_r, \text{req}, \text{rec}\}, \{a, b, \#\}, \{a, b, \#\}, \clubsuit, \$, q_0, 3, \delta_1)$$

with

$$\begin{aligned} \delta_1(q_0, \alpha) &= (q_0, \text{MVR}) && \text{for all } \alpha \in \{\clubsuit, a, b\} \cdot \{a, b\} \cdot \{a, b, \#\}, \\ \delta_1(q_0, \alpha\#\alpha) &= (q_r, \#) && \text{for all } \alpha \in \{a, b\}, \\ \delta_1(q_r, \alpha) &= \text{Restart} && \text{for all } \alpha \in \mathcal{PC}^{(3)}, \\ \delta_1(q_0, \clubsuit\#\#\alpha) &= \text{req}, \\ \delta_1(\text{rec}, \clubsuit\#\#\alpha) &= \text{Accept}, \end{aligned}$$

and

$$M_2 = (\{q_0, q_1, q_r, \text{res}, \text{ack}\}, \{a, b, \#\}, \{a, b, \#\}, \clubsuit, \$, q_0, 3, \delta_2)$$

with

$$\begin{aligned} \delta_2(q_0, \alpha) &= (q_0, \text{MVR}) && \text{for all } \alpha \in \{\clubsuit, a, b\} \cdot \{a, b\} \cdot \{a, b, \#\}, \\ \delta_2(q_0, \alpha\#\#\alpha) &= (q_1, \text{MVR}) && \text{for all } \alpha \in \{\clubsuit, a, b\}, \\ \delta_2(q_0, \alpha\#\alpha) &= (q_1, \text{MVR}) && \text{for all } \alpha \in \{a, b\}, \\ \delta_2(q_1, \alpha) &= (q_1, \text{MVR}) && \text{for all } \alpha \in \{a, b, \#\} \cdot \{a, b\} \cdot \{a, b, \#\}, \\ \delta_2(q_1, \alpha\#\alpha) &= (q_r, \#) && \text{for all } \alpha \in \{a, b\}, \\ \delta_2(q_r, \alpha) &= \text{Restart} && \text{for all } \alpha \in \mathcal{PC}^{(3)}, \\ \delta_2(q_1, \#\#\alpha) &= \text{res}. \end{aligned}$$

Observe that each computation of  $\mathcal{M}_{Gladkij}$  contains at most one communication step, and this communication can only be executed in one particular situation (when  $M_1$  reads  $\clubsuit\#\#\alpha$  and  $M_2$  reads  $\#\#\alpha$ ). Thus, no local information has to be kept during the communication and no particular message has to be sent. Only the fact is important that some communication takes place. Therefore, the subscripts of the communication states can be omitted. Moreover, since for systems of two components the communication partner is always unique, the superscripts of the communication states can also be left out.

An example computation of  $\mathcal{M}_{Gladkij}$  for the input word  $ab\#ba\#ab$  is given as

follows:

$(q_0\clubsuit ab\#ba\#ab\$, q_0\clubsuit ab\#ba\#ab\$)$	$\vdash (\text{req}\clubsuit\#\#ab\$, \clubsuit abq_1\#b\#b\$)$
$\vdash (\clubsuit q_0ab\#ba\#ab\$, \clubsuit q_0ab\#ba\#ab\$)$	$\vdash (\text{req}\clubsuit\#\#ab\$, \clubsuit ab\#q_1b\#b\$)$
$\vdash (\clubsuit aq_0b\#ba\#ab\$, \clubsuit aq_0b\#ba\#ab\$)$	$\vdash (\text{req}\clubsuit\#\#ab\$, \clubsuit ab\#\#q_r\$)$
$\vdash (\clubsuit a\#q_r a\#ab\$, \clubsuit abq_1\#ba\#ab\$)$	$\vdash (\text{req}\clubsuit\#\#ab\$, q_0\clubsuit ab\#\#\$)$
$\vdash (q_0\clubsuit a\#a\#ab\$, \clubsuit ab\#q_1ba\#ab\$)$	$\vdash (\text{req}\clubsuit\#\#ab\$, \clubsuit q_0ab\#\#\$)$
$\vdash (\clubsuit q_0a\#a\#ab\$, \clubsuit ab\#bq_1a\#ab\$)$	$\vdash (\text{req}\clubsuit\#\#ab\$, \clubsuit aq_0b\#\#\$)$
$\vdash (\clubsuit \#q_r\#\#ab\$, \clubsuit ab\#b\#q_r b\$)$	$\vdash (\text{req}\clubsuit\#\#ab\$, \clubsuit abq_1\#\#\$)$
$\vdash (q_0\clubsuit \#\#ab\$, q_0\clubsuit ab\#b\#b\$)$	$\vdash (\text{req}\clubsuit\#\#ab\$, \clubsuit abres\#\#\$)$
$\vdash (\text{req}\clubsuit\#\#ab\$, \clubsuit q_0ab\#b\#b\$)$	$\vdash (\text{rec}\clubsuit\#\#ab\$, \clubsuit aback\#\#\$)$
$\vdash (\text{req}\clubsuit\#\#ab\$, \clubsuit aq_0b\#b\#b\$)$	$\vdash (\text{Accept}, \clubsuit aback\#\#\$)$

□

The following example shows how a system of three simple automata accepts the language of multiple agreements. Similarly to the previous example the components work mainly independently of each other and only at the end of a computation two communications are needed.

**Example 13** ( $L_{a^n b^n c^n d^n}$ ). Let  $\mathcal{M}_{a^n b^n c^n d^n} = (M_1, M_2, M_3)$  be a PCRA system that accepts the language of multiple agreements

$$L_{a^n b^n c^n d^n} = \{a^n b^n c^n d^n \mid n \geq 1\}.$$

All components work independently of each other in the following way:  $M_1$  checks whether the input is of the form  $a^n b^n c^+ d^+$ ,  $M_2$  verifies that the input is of the form  $a^+ b^n c^n d^+$ , and  $M_3$  checks whether the input is of the form  $a^+ b^+ c^n d^n$ . If the input satisfies all three conditions, then two communications between  $M_1$  and  $M_2$  and between  $M_1$  and  $M_3$  take place, whereafter  $M_1$  accepts. If the input word is not of the correct form, then at least one of the components halts without reaching the necessary communication state, the corresponding communication does not take place, and finally  $M_1$  and thus the system  $\mathcal{M}_{a^n b^n c^n d^n}$  do not accept. The components are now given by the following three det-R-automata with window size four:

$$M_1 = (\{q_0, q_1, q_r, \text{req}^2, \text{rec}^2, \text{req}^3, \text{rec}^3\}, \{a, b, c, d\}, \{a, b, c, d\}, \clubsuit, \$, q_0, 4, \delta_1) \text{ with:}$$

$$\begin{aligned}
\delta_1(q_0, \alpha) &= (q_0, \text{MVR}) \quad \text{f.a. } \alpha \in \{\phi a^3, \phi a^2b, a^4, a^3b\}, \\
\delta_1(q_0, aabb) &= (q_r, ab), \\
\delta_1(q_0, \phi abc) &= (q_1, \text{MVR}), \\
\delta_1(q_1, \alpha) &= (q_1, \text{MVR}) \quad \text{f.a. } \alpha \in \{abc^2, abcd, bc^3, bc^2d, bcd^2, c^4, c^3d, c^2d^2, cd^3, d^4\}, \\
\delta_1(q_1, \alpha) &= \text{req}^2 \quad \text{f.a. } \alpha \in \{bcd\$, cd^2\$, d^3\$\}, \\
\delta_1(q_r, \alpha) &= \text{Restart} \quad \text{f.a. } \alpha \in \mathcal{PC}^{(4)}, \\
\delta_1(\text{rec}^2, \alpha) &= \text{req}^3 \quad \text{f.a. } \alpha \in \{bcd\$, cd^2\$, d^3\$\}, \\
\delta_1(\text{rec}^3, \alpha) &= \text{Accept} \quad \text{f.a. } \alpha \in \{bcd\$, cd^2\$, d^3\$\},
\end{aligned}$$

$M_2 = (\{q_0, q_1, q_r, \text{res}^1, \text{ack}^1\}, \{a, b, c, d\}, \{a, b, c, d\}, \phi, \$, q_0, 4, \delta_2)$  with:

$$\begin{aligned}
\delta_2(q_0, \alpha) &= (q_0, \text{MVR}) \quad \text{f.a. } \alpha \in \{\phi a^3, \phi a^2b, \phi ab^2, \phi abc, a^4, a^3b, a^2b^2, a^2bc, \\
&\quad ab^3, ab^2c, b^4, b^3c\}, \\
\delta_2(q_0, bbcc) &= (q_r, bc), \\
\delta_2(q_0, abcd) &= (q_1, \text{MVR}), \\
\delta_2(q_1, \alpha) &= (q_1, \text{MVR}) \quad \text{f.a. } \alpha \in \{bcd^2, cd^3, d^4\}, \\
\delta_2(q_1, \alpha) &= \text{res}^1 \quad \text{f.a. } \alpha \in \{bcd\$, cd^2\$, d^3\$\}, \\
\delta_2(q_r, \alpha) &= \text{Restart} \quad \text{f.a. } \alpha \in \mathcal{PC}^{(4)},
\end{aligned}$$

$M_3 = (\{q_0, q_r, \text{res}^1, \text{ack}^1\}, \{a, b, c, d\}, \{a, b, c, d\}, \phi, \$, q_0, 4, \delta_3)$  with:

$$\begin{aligned}
\delta_3(q_0, \alpha) &= (q_0, \text{MVR}) \quad \text{f.a. } \alpha \in \{\phi a^3, \phi a^2b, \phi abc, a^4, a^3b, a^2b^2, ab^3, a^2bc, b^4, \\
&\quad ab^2c, b^3c, b^2c^2, b^2cd, bc^3, c^4, bc^2d, abcd, c^3d\}, \\
\delta_3(q_0, ccdd) &= (q_r, cd), \\
\delta_3(q_0, bcd\$) &= \text{res}^1, \\
\delta_3(q_r, \alpha) &= \text{Restart} \quad \text{f.a. } \alpha \in \mathcal{PC}^{(4)}.
\end{aligned}$$



For the input  $a^2b^2c^2d^2$  the system  $\mathcal{M}_{a^n b^n c^n d^n}$  performs the following computation:

$$\begin{aligned}
& (q_0 \uparrow a^2 b^2 c^2 d^2 \$, q_0 \uparrow a^2 b^2 c^2 d^2 \$, q_0 \uparrow a^2 b^2 c^2 d^2 \$) \\
\vdash & (\uparrow q_0 a^2 b^2 c^2 d^2 \$, \uparrow q_0 a^2 b^2 c^2 d^2 \$, \uparrow q_0 a^2 b^2 c^2 d^2 \$) \\
\vdash & (\uparrow a b q_r c^2 d^2 \$, \uparrow a q_0 a b^2 c^2 d^2 \$, \uparrow a q_0 a b^2 c^2 d^2 \$) \\
\vdash & (q_0 \uparrow a b c^2 d^2 \$, \uparrow a^2 q_0 b^2 c^2 d^2 \$, \uparrow a^2 q_0 b^2 c^2 d^2 \$) \\
\vdash & (\uparrow q_1 a b c^2 d^2 \$, \uparrow a^2 b c q_r d^2 \$, \uparrow a^2 b q_0 b c^2 d^2 \$) \\
\vdash & (\uparrow a q_1 b c^2 d^2 \$, q_0 \uparrow a^2 b c d^2 \$, \uparrow a^2 b^2 q_0 c^2 d^2 \$) \\
\vdash & (\uparrow a b q_1 c^2 d^2 \$, \uparrow q_0 a^2 b c d^2 \$, \uparrow a^2 b^2 c d q_r \$) \\
\vdash & (\uparrow a b c q_1 c d^2 \$, \uparrow a q_0 a b c d^2 \$, q_0 \uparrow a^2 b^2 c d \$) \\
\vdash & (\uparrow a b c r e q^2 c d^2 \$, \uparrow a^2 q_1 b c d^2 \$, \uparrow q_0 a^2 b^2 c d \$) \\
\vdash & (\uparrow a b c r e q^2 c d^2 \$, \uparrow a^2 b q_1 c d^2 \$, \uparrow a q_0 a b^2 c d \$) \\
\vdash & (\uparrow a b c r e q^2 c d^2 \$, \uparrow a^2 b r e s^1 c d^2 \$, \uparrow a^2 q_0 b^2 c d \$) \\
\vdash & (\uparrow a b c r e c^2 c d^2 \$, \uparrow a^2 b a c k^1 c d^2 \$, \uparrow a^2 b q_0 b c d \$) \\
\vdash & (\uparrow a b c r e q^3 c d^2 \$, \uparrow a^2 b a c k^1 c d^2 \$, \uparrow a^2 b r e s^1 b c d \$) \\
\vdash & (\uparrow a b c r e c^3 c d^2 \$, \uparrow a^2 b a c k^1 c d^2 \$, \uparrow a^2 b a c k^1 b c d \$) \\
\vdash & (\text{Accept}, \uparrow a^2 b a c k^1 c d^2 \$, \uparrow a^2 b a c k^1 b c d \$)
\end{aligned}$$

□

In Section 5.1.4 the language  $L_{c\text{-copy}}$  was used as an appropriate example for broadcast communication, where each of  $c + 1$  components work on its own part of the input and all syllables are compared with each other concurrently. Now we give a system with only two components with window size one. In contrast to the previous two examples, the components of the next system work closely together and use a high degree of communication.

**Example 14** (det-global-PC-R(2,1)-system for  $L_{c\text{-copy}}$ ). The language

$$L_{c\text{-copy}} = \{w(\#w)^c \mid w \in \{a, b\}^+\}$$

with constant  $c \geq 1$  contains words that start with a non-empty string  $w$  over  $\{a, b\}$  followed by  $c$  identical copies of  $w$ . Each copy is separated by the marker  $\#$ . A system can be defined consisting of two components with window size one:

$$\mathcal{M}_{c\text{-copy}} = (M_1, M_2)$$

with

$$\begin{aligned}
M_1 &= (Q_1, \{a, b, \#\}, \{a, b, \#\}, \uparrow, \$, q_0, 1, \delta_1), \\
M_2 &= (Q_2, \{a, b, \#\}, \{a, b, \#\}, \uparrow, \$, q_0, 1, \delta_2),
\end{aligned}$$

where

$$\begin{aligned} Q_1 &= \{q_j, \text{req}_j, \text{rec}_{j,a}, \text{rec}_{j,b} \mid 0 \leq j < c\} \cup \{\text{rec}_{j,\#} \mid 0 \leq j < c-1\} \cup \{\text{rec}_{c-1,\$}\}, \\ Q_2 &= \{q_0, q_1\} \cup \{\text{res}_x, \text{ack}_x \mid x \in \{a, b, \#, \$\}\}, \end{aligned}$$

$$\begin{aligned} \delta_1(q_0, \clubsuit) &= (q_0, \text{MVR}), \\ \delta_1(q_j, a) &= \delta_1(q_j, b) = \delta_1(q_j, \#) = \text{req}_j \quad \text{for all } 0 \leq j \leq c-1, \\ \delta_1(\text{rec}_{j,a}, a) &= \delta_1(\text{rec}_{j,b}, b) = (q_j, \text{MVR}) \quad \text{for all } 0 \leq j \leq c-1, \\ \delta_1(\text{rec}_{j,\#}, \#) &= (q_{j+1}, \text{MVR}) \quad \text{for all } 0 \leq j \leq c-2, \\ \delta_1(\text{rec}_{c-1,\$}, \#) &= \text{Accept}, \end{aligned}$$

and

$$\begin{aligned} \delta_2(q_0, \clubsuit) &= \delta_2(q_0, a) = \delta_2(q_0, b) = (q_0, \text{MVR}), \\ \delta_2(q_0, \#) &= (q_1, \text{MVR}), \\ \delta_2(q_1, a) &= \text{res}_a, \\ \delta_2(q_1, b) &= \text{res}_b, \\ \delta_2(q_1, \#) &= \text{res}_\#, \\ \delta_2(\text{ack}_a, a) &= \delta_2(\text{ack}_b, b) = \delta_2(\text{ack}_\#, \#) = (q_1, \text{MVR}), \\ \delta_2(q_1, \$) &= \text{res}_\$. \end{aligned}$$

First,  $M_2$  moves its window to the right over the first syllable until it reads the first  $\#$ -symbol. Then, both components move their windows synchronously to the right while comparing the currently read symbols through a communication in each step. Meanwhile,  $M_1$  counts the number of  $\#$ -symbols and stores it in the index of its state. If, at the end, each syllable is equal to its neighbouring syllable and, moreover, the number of counted  $\#$ -symbols is equal to  $c$ , then  $M_1$  accepts. Otherwise, if there occurs a mismatch of two currently read symbols, then the corresponding communication cannot be resolved, and thus,  $M_1$  cannot reach the accepting configuration. Additionally, if the number of syllables is less than  $c$ , then  $M_1$  rejects the input in a state  $\text{rec}_{j,\$}$  reading  $\#$  for some  $j < c-1$ . If there are more than  $c$  syllables, then  $M_1$  reaches the situation  $(\text{rec}_{c-1,\#}, \#)$  such that no transition is applicable. Thus, in this situation  $M_1$  rejects, too. For an input  $w$  of the correct form, i.e.  $w = u(\#u)^c = u_1u_2 \dots u_l(\#u_1u_2 \dots u_l)^c$  the system executes

the following computation:

	$(q_0\#u_1 \dots u_l \# \dots \#u\$$	$, q_0\#u_1 \dots u_l \# \dots \#u\$$	)
⊢	$(\#q_0u_1 \dots u_l \# \dots \#u\$$	$, \#q_0u_1 \dots u_l \# \dots \#u\$$	)
⊢	$(\#req_0u_1 \dots u_l \# \dots \#u\$$	$, \#u_1q_0u_2 \dots u_l \# \dots \#u\$$	)
$\vdash^{l-1}$	$(\#req_0u_1 \dots u_l \# \dots \#u\$$	$, \#u_1 \dots u_lq_0 \# \dots \#u\$$	)
⊢	$(\#req_0u_1 \dots u_l \# \dots \#u\$$	$, \#u\#q_1u_1 \dots u_l \# \dots \#u\$$	)
⊢	$(\#req_0u_1 \dots u_l \# \dots \#u\$$	$, \#u\#res_{u_1}u_1 \dots u_l \# \dots \#u\$$	)
⊢	$(\#rec_{0,u_1}u_1 \dots u_l \# \dots \#u\$$	$, \#u\#ack_{u_1}u_1 \dots u_l \# \dots \#u\$$	)
⊢	$(\#u_1q_0u_2 \dots u_l \# \dots \#u\$$	$, \#u\#u_1q_1u_2 \dots u_l \# \dots \#u\$$	)
⊢	$(\#u_1req_0u_2 \dots u_l \# \dots \#u\$$	$, \#u\#u_1res_{u_2}u_2 \dots u_l \# \dots \#u\$$	)
$\vdash^{3(l-1)}$	$(\#u_1 \dots u_lreq_0 \# \dots \#u\$$	$, \#u\#u_1 \dots u_lres_{\#} \# \dots \#u\$$	)
⊢	$(\#u_1 \dots u_lrec_{0,\#} \# \dots \#u\$$	$, \#u\#u_1 \dots u_lack_{\#} \# \dots \#u\$$	)
⊢	$(\#u_1 \dots u_l\#q_1u_1 \dots u_l \# \dots \#u\$$	$, \#u\#u_1 \dots u_l\#q_1u_1 \dots u_l \# \dots \#u\$$	)
$\vdash^{(c-1)(3l+3)-3}$	$(\# \dots \#u_1 \dots u_lreq_{c-1} \# \dots \#u\$$	$, \#u\# \dots \#u_1 \dots u_lres_{\$} \$$	)
⊢	$(\# \dots \#u_1 \dots u_lrec_{c-1,\$} \# \dots \#u\$$	$, \#u\# \dots \#u_1 \dots u_lack_{\$} \$$	)
⊢	(Accept	$, \#u\# \dots \#u_1 \dots u_lack_{\$} \$$	)

Hence  $L(\mathcal{M}_{c-copy}) = L_{c-copy}$ . □

The systems that are used to accept the languages  $L_{w\#w}$  and  $L_{c-copy}$  are globally deterministic, since each component is deterministic and the system accepts if and only if the first component accepts. At first sight it seems that the marker  $\#$  is responsible for the fact that determinism suffices here. But the next example shows that this marker is not necessary.

**Example 15** (Copy language without middle marker  $L_{ww}$ ). The copy language without a middle marker

$$L_{ww} = \{ww \mid w \in \{a, b\}^*\}$$

is accepted by a det-global-PC-R-system  $\mathcal{M}_{ww}$  consisting of three components  $M_1$ ,  $M_2$ , and  $M_3$  with window size one:

$$\begin{array}{ll}
\delta_1(q_0, \phi) &= (q_0, \text{MVR}), & \delta_2(q_0, \phi) &= (q_0, \text{MVR}), \\
\delta_1(q_0, a) &= \text{req}^2, & \delta_2(q_0, a) &= (q_1, \text{MVR}), \\
\delta_1(q_0, b) &= \text{req}^2, & \delta_2(q_0, b) &= (q_1, \text{MVR}), \\
\delta_1(q_0, \$) &= \text{Accept}, & \delta_2(q_1, a) &= \text{res}_{\text{mvr}}^1, \\
\delta_1(\text{rec}_{\text{mvr}}^2, a) &= (q_0, \text{MVR}), & \delta_2(q_1, b) &= \text{res}_{\text{mvr}}^1, \\
\delta_1(\text{rec}_{\text{mvr}}^2, b) &= (q_0, \text{MVR}), & \delta_2(\text{ack}_{\text{mvr}}^1, a) &= (q_0, \text{MVR}), \\
\delta_1(\text{rec}_{\text{end}}^2, a) &= \text{req}^3, & \delta_2(\text{ack}_{\text{mvr}}^1, b) &= (q_0, \text{MVR}), \\
\delta_1(\text{rec}_{\text{end}}^2, b) &= \text{req}^3, & \delta_2(q_0, \$) &= \text{res}_{\text{end}}^1, \\
\delta_1(\text{rec}_a^3, a) &= (q_1, \text{MVR}), & & \\
\delta_1(\text{rec}_b^3, b) &= (q_1, \text{MVR}), & \delta_3(q_0, \phi) &= (q_0, \text{MVR}), \\
\delta_1(q_1, a) &= \text{req}^3, & \delta_3(q_0, a) &= \text{res}_a^1, \\
\delta_1(q_1, b) &= \text{req}^3, & \delta_3(q_0, b) &= \text{res}_b^1, \\
\delta_1(q_1, \$) &= \text{Accept}, & \delta_3(\text{ack}_a^1, a) &= (q_0, \text{MVR}), \\
& & \delta_3(\text{ack}_b^1, b) &= (q_0, \text{MVR}).
\end{array}$$

First,  $M_2$  helps  $M_1$  to find the middle of the input word. For doing so,  $M_2$  moves to the right, and after every second move-right step, it sends a message to  $M_1$ . On receiving this message  $M_1$  moves its window one step to the right. When  $M_2$  reaches the right delimiter  $\$,$  it sends a message to  $M_1$  telling it that it has reached the middle of the word. Then the window of  $M_1$  is positioned on the first letter of the second half of the input, and the window of  $M_3$  is positioned on the first symbol of the input. Now  $M_1$  and  $M_3$  read their current symbols and compare them through a communication. If these symbols coincide, then  $M_1$  and  $M_3$  both move their windows one position to the right. This continues until either a mismatch is found, in which case the corresponding communication cannot be resolved and the system halts without accepting, or until  $M_1$  reaches the right delimiter  $\$,$  which means that the input was of the form  $ww,$  and then  $M_1$  (and therewith the system) accepts. If the input word has odd length, then  $M_2$  will detect this when encountering the  $\$$ -symbol being in the state  $q_1$  instead of  $q_0,$  and the system gets stuck as well, since there is no transition defined for this situation.

For an input word  $w = w_1 \dots w_{2l} = uu$ , where  $u \in \{a, b\}^*$ , the system  $\mathcal{M}_{ww}$  executes the following computation:

$$\begin{array}{l}
(q_0 \wp w_1 \dots w_{2l} \$ \quad , \quad q_0 \wp w_1 \dots w_{2l} \$ \quad , \quad q_0 \wp w_1 \dots w_{2l} \$ \quad ) \\
\vdash (\wp q_0 w_1 \dots w_{2l} \$ \quad , \quad \wp q_0 w_1 \dots w_{2l} \$ \quad , \quad \wp q_0 w_1 \dots w_{2l} \$ \quad ) \\
\vdash (\wp \text{req}^2 w_1 \dots w_{2l} \$ \quad , \quad \wp w_1 q_1 w_2 \dots w_{2l} \$ \quad , \quad \wp \text{res}_{w_1}^1 w_1 \dots w_{2l} \$ \quad ) \\
\vdash (\wp \text{req}^2 w_1 \dots w_{2l} \$ \quad , \quad \wp w_1 \text{res}_{\text{mvr}}^1 w_2 \dots w_{2l} \$ \quad , \quad \wp \text{res}_{w_1}^1 w_1 \dots w_{2l} \$ \quad ) \\
\vdash (\wp \text{rec}_{\text{mvr}}^2 w_1 \dots w_{2l} \$ \quad , \quad \wp w_1 \text{ack}_{\text{mvr}}^1 w_2 \dots w_{2l} \$ \quad , \quad \wp \text{res}_{w_1}^1 w_1 \dots w_{2l} \$ \quad ) \\
\vdash (\wp w_1 q_0 w_2 \dots w_{2l} \$ \quad , \quad \wp w_1 w_2 q_0 w_3 \dots w_{2l} \$ \quad , \quad \wp \text{res}_{w_1}^1 w_1 \dots w_{2l} \$ \quad ) \\
|^{4l-4} (\wp w_1 \dots w_l q_0 w_{l+1} \dots w_{2l} \$ \quad , \quad \wp w_1 \dots w_{2l} q_0 \$ \quad , \quad \wp \text{res}_{w_1}^1 w_1 \dots w_{2l} \$ \quad ) \\
\vdash (\wp w_1 \dots w_l \text{req}^2 w_{l+1} \dots w_{2l} \$ \quad , \quad \wp w_1 \dots w_{2l} \text{res}_{\text{end}}^1 \$ \quad , \quad \wp \text{res}_{w_1}^1 w_1 \dots w_{2l} \$ \quad ) \\
\vdash (\wp w_1 \dots w_l \text{rec}_{\text{end}}^2 w_{l+1} \dots w_{2l} \$ \quad , \quad \wp w_1 \dots w_{2l} \text{ack}_{\text{end}}^1 \$ \quad , \quad \wp \text{res}_{w_1}^1 w_1 \dots w_{2l} \$ \quad ) \\
\vdash (\wp w_1 \dots w_l \text{req}^3 w_{l+1} \dots w_{2l} \$ \quad , \quad \wp w_1 \dots w_{2l} \text{ack}_{\text{end}}^1 \$ \quad , \quad \wp \text{res}_{w_1}^1 w_1 \dots w_{2l} \$ \quad ) \\
\vdash (\wp w_1 \dots w_l \text{rec}_{w_1}^3 w_{l+1} \dots w_{2l} \$ \quad , \quad \wp w_1 \dots w_{2l} \text{ack}_{\text{end}}^1 \$ \quad , \quad \wp \text{ack}_{w_1}^1 w_1 \dots w_{2l} \$ \quad ) \\
\vdash (\wp w_1 \dots w_{l+1} q_1 w_{l+2} \dots w_{2l} \$ \quad , \quad \wp w_1 \dots w_{2l} \text{ack}_{\text{end}}^1 \$ \quad , \quad \wp w_1 q_0 w_2 \dots w_{2l} \$ \quad ) \\
\vdash (\wp w_1 \dots w_{l+1} \text{req}^3 w_{l+2} \dots w_{2l} \$ \quad , \quad \wp w_1 \dots w_{2l} \text{ack}_{\text{end}}^1 \$ \quad , \quad \wp w_1 \text{res}_{w_2}^1 w_2 \dots w_{2l} \$ \quad ) \\
\vdash (\wp w_1 \dots w_{l+1} \text{rec}_{w_2}^3 w_{l+2} \dots w_{2l} \$ \quad , \quad \wp w_1 \dots w_{2l} \text{ack}_{\text{end}}^1 \$ \quad , \quad \wp w_1 \text{ack}_{w_2}^1 w_2 \dots w_{2l} \$ \quad ) \\
\vdash (\wp w_1 \dots w_{l+2} q_1 w_{l+3} \dots w_{2l} \$ \quad , \quad \wp w_1 \dots w_{2l} \text{ack}_{\text{end}}^1 \$ \quad , \quad \wp w_1 w_2 q_0 w_3 \dots w_{2l} \$ \quad ) \\
|^{3l-6} (\wp w_1 \dots w_{2l} q_1 \$ \quad , \quad \wp w_1 \dots w_{2l} \text{ack}_{\text{end}}^1 \$ \quad , \quad \wp w_1 \dots w_l q_0 w_{l+1} \dots w_{2l} \$ \quad ) \\
\vdash (\text{Accept} \quad , \quad \wp w_1 \dots w_{2l} \text{ack}_{\text{end}}^1 \$ \quad , \quad \wp w_1 \dots w_l \text{res}_{w_{l+1}}^1 w_{l+1} \dots w_{2l} \$ \quad )
\end{array}$$

□

All the example languages that we considered above are semi-linear. Now we define a PCRA system for the exponential language as a representative for non semi-linear languages.

**Example 16** (Exponential language  $L_{\text{expo}}$ ). Consider the language

$$L_{\text{expo}} = \{a^{2^n} \mid n \geq 0\}$$

that consists of all words with an exponential number of  $a$ 's. This language can be accepted by a single det-RRWW-automaton given by the following meta-instructions:

$$\begin{array}{l}
(\wp X^*, aa \rightarrow X, a^* \$), \\
(\wp a^*, XX \rightarrow a, X^* \$), \\
(\wp X \$, \text{Accept}), \\
(\wp a \$, \text{Accept}).
\end{array}$$

However, the language  $L_{\text{expo}}$  cannot be accepted by any restarting automaton without auxiliary symbols<sup>3</sup>. This can easily be achieved using the correctness pre-

<sup>3</sup>Even for nonforgetting R- and RW-automata this can be found in [MO06].

erving property for a contradiction. Assume there would exist an RLW-automaton  $M$  accepting exactly the language  $L_{expo}$ . Then  $M$  must perform at least one rewrite step for a sufficiently large input word. Moreover, in each rewrite step  $M$  can just delete at most constantly many  $a$ 's depending on the size of the window (no auxiliary symbols are allowed). But for each constant window size  $k$ , there exists an input word  $w \in L_{expo}$  that is larger than  $2k$  and thus cannot be reduced to one half in one rewrite step. Now, independently of how many symbols are deleted in one rewrite step, the resulting tape content  $w'$  is not contained in  $L_{expo}$ . Since  $M$  accepts  $L_{expo}$  and  $w \in L_{expo}$ , there must be an accepting computation for  $w$ . But then  $w'$  is also accepted by  $M$ , although  $w' \notin L_{expo}$ . This contradicts the fact that  $M$  accepts exactly  $L_{expo}$ . Hence, there cannot exist an RLW-automaton accepting  $L_{expo}$ .

Now we construct a PCRA system  $\mathcal{M}_{expo} = (M_1, M_2)$  of the type **det-global-PCR** with two components and window size two accepting  $L_{expo}$ . Basically, both components work in two alternating phases: 1) read the input and 2) halve the input. The first component starts with reading the input from left to right and for every second input symbol it informs the other component to delete one symbol. Thus, when  $M_1$  has read its whole input word, then  $M_2$  has halved the word on its working tape. Then both components swap their role and work in the according other phase. Now,  $M_2$  reads the input from left to right and for each read symbol,  $M_1$  deletes one  $a$ . After  $M_1$  has worked exactly one time in the reading phase and in the halving phase and  $M_2$  has in parallel worked in the halving phase and in the reading phase, both components have reduced the words on their working tapes exactly by one half. Now the same computation is done again with the remaining part of the input. At the end,  $M_1$  accepts if and only if exactly one  $a$  remains on the tape.

Technically, at the end of each reading phase one symbol is deleted to perform a restart operation. This must be taken into account in the subsequent halving phase. For an input word  $a^{16}$  the sequence of the phases can be given as follows:

$$\begin{aligned} M_1 : & a^{16} \xrightarrow{\text{count}} a^{15} \xrightarrow{\text{delete}} a^8 \xrightarrow{\text{count}} a^7 \xrightarrow{\text{delete}} a^4 \xrightarrow{\text{count}} a^3 \xrightarrow{\text{delete}} a^2 \xrightarrow{\text{count}} a^1 \xrightarrow{\text{delete}} a^1 \\ M_2 : & a^{16} \xrightarrow{\text{delete}} a^9 \xrightarrow{\text{count}} a^8 \xrightarrow{\text{delete}} a^5 \xrightarrow{\text{count}} a^4 \xrightarrow{\text{delete}} a^3 \xrightarrow{\text{count}} a^2 \xrightarrow{\text{delete}} a^2 \xrightarrow{\text{count}} a^1. \end{aligned}$$

Moreover, some communication overhead is necessary for agreeing to the role of each component. Particularly, after each performed restart operation a component has to obtain the information about its current role from the other component. Now we give the formal definition of  $\mathcal{M}_{expo}$ , and afterwards some example computations are given for a better understanding of the system. The symbols used within the subscripts have the following meaning:  $W$  stands for the question

‘What to do?’. It signals that the roles of the components have to be agreed on. The symbol  $R$  is used as a local information or is sent as a message. In the former case it means that the first component is still in the reading (counting) phase and in the latter case  $M_2$  informs  $M_1$  about its current role. The  $D$  as a local information means that  $M_1$  is currently in the deleting phase. As a message it is a call for deleting a symbol  $a$ . The message  $Ch$  is used to signalize the change of the phases, i.e.  $M_1$  changes from the reading phase into the deleting phase.

$$\begin{aligned} M_1 &= (Q_1, \{a\}, \{a\}, \clubsuit, \$, \text{res}_W, 2, \delta_1), \\ M_2 &= (Q_2, \{a\}, \{a\}, \clubsuit, \$, \text{req}_R, 2, \delta_2), \end{aligned}$$

where

$$\begin{aligned} Q_1 &= \{\text{res}_W, \text{ack}_W, \text{req}, \text{rec}_R, \text{rec}_D, p_0, p_1, p_2, p_r, \text{res}_D, \text{ack}_D, \text{res}_{Ch}, \text{ack}_{Ch}\}, \\ Q_2 &= \{\text{req}_R, \text{rec}_{R,W}, \text{rec}_{R,D}, \text{rec}_{R,Ch}, \text{res}_R, \text{ack}_R, \text{res}_D, \text{ack}_D, \text{req}_D, \text{rec}_{D,W}, p_1, p_2, p_r\}, \end{aligned}$$

and

$$\begin{aligned} \delta_1(\text{ack}_W, \clubsuit a) &= \text{req}, & \delta_2(\text{rec}_{R,W}, \clubsuit a) &= \text{res}_R, \\ \delta_1(\text{rec}_R, \clubsuit a) &= (p_0, \text{MVR}), & \delta_2(\text{ack}_R, \clubsuit a) &= \text{req}_R, \\ \delta_1(p_0, aa) &= (p_2, \text{MVR}), & \delta_2(\text{rec}_{R,Ch}, \clubsuit a) &= (p_1, \text{MVR}), \\ \delta_1(p_0, a\$) &= \text{Accept}, & \delta_2(p_1, aa) &= (p_2, \text{MVR}), \\ \delta_1(p_1, aa) &= (p_2, \text{MVR}), & \delta_2(p_2, aa) &= \text{req}_D, \\ \delta_1(p_2, aa) &= \text{res}_D, & \delta_2(\text{rec}_{D,W}, aa) &= \text{res}_D, \\ \delta_1(\text{ack}_D, aa) &= (p_1, \text{MVR}), & \delta_2(\text{ack}_D, aa) &= (p_2, \text{MVR}), \\ \delta_1(p_2, a\$) &= \text{res}_{Ch}, & \delta_2(p_2, a\$) &= (p_r, \$), \\ \delta_1(\text{ack}_{Ch}, a\$) &= (p_r, \$), & \delta_2(\text{rec}_{R,D}, \clubsuit a) &= (p_r, \clubsuit), \\ \delta_1(\text{rec}_D, \clubsuit a) &= (p_r, \clubsuit), & \delta_2(p_r, \alpha) &= \text{Restart}, \\ \delta_1(p_r, \alpha) &= \text{Restart}, \end{aligned}$$

for all  $\alpha \in \{\clubsuit \$, \clubsuit a, aa, a \$, \$\}$ . For small inputs  $\mathcal{M}_{expo}$  behaves as follows. If the input is  $\varepsilon$ , then both components get stuck immediately, since no transition can be applied while reading  $\clubsuit \$$ . If the input is  $a = a^{2^0}$  or  $aa = a^{2^1}$ , then the following

computations are executed:

$$\begin{array}{l|l}
( \text{res}_W \downarrow a \$ , \text{req}_R \downarrow a \$ ) & ( \text{res}_W \downarrow aa \$ , \text{req}_R \downarrow aa \$ ) \\
\vdash ( \text{ack}_W \downarrow a \$ , \text{rec}_{R,W} \downarrow a \$ ) & \vdash ( \text{ack}_W \downarrow aa \$ , \text{rec}_{R,W} \downarrow aa \$ ) \\
\vdash ( \text{req} \downarrow a \$ , \text{res}_R \downarrow a \$ ) & \vdash ( \text{req} \downarrow aa \$ , \text{res}_R \downarrow aa \$ ) \\
\vdash ( \text{rec}_R \downarrow a \$ , \text{ack}_R \downarrow a \$ ) & \vdash ( \text{rec}_R \downarrow aa \$ , \text{ack}_R \downarrow aa \$ ) \\
\vdash ( \downarrow p_0 a \$ , \text{req}_R \downarrow a \$ ) & \vdash ( \downarrow p_0 aa \$ , \text{req}_R \downarrow aa \$ ) \\
\vdash ( \text{Accept} , \text{req}_R \downarrow a \$ ) & \vdash ( \downarrow ap_2 a \$ , \text{req}_R \downarrow aa \$ ) \\
& \vdash ( \downarrow a \text{res}_{Ch} a \$ , \text{req}_R \downarrow aa \$ ) \\
& \vdash ( \downarrow a \text{ack}_{Ch} a \$ , \text{rec}_{R,Ch} \downarrow aa \$ ) \\
& \vdash ( \downarrow ap_r \$ , \downarrow p_1 aa \$ ) \\
& \vdash ( \text{res}_W \downarrow a \$ , \downarrow ap_2 a \$ ) \\
& \vdash ( \text{res}_W \downarrow a \$ , \downarrow ap_r \$ ) \\
& \vdash ( \text{res}_W \downarrow a \$ , \text{req}_R \downarrow a \$ ) \\
& \vdash^* ( \text{Accept} , \text{req}_R \downarrow a \$ ) \quad (*),
\end{array}$$

where the part of the computation marked with (\*) is the same as that for the input  $a$  given at the left-hand side. For input words of the form  $a^{2^r}$  with  $r \geq 2$ , the system performs the following computation, where the vertical dots signalize that the parts above marked with (\*) are executed all in all  $2^{r-1} - 2$  times. The left-hand part of the computation describes the reading phase of component  $M_1$  (where the component  $M_2$  is in the deleting phase), and the right-hand part of the computation describes  $M_1$ 's deleting phase (where  $M_2$  is in the reading/counting



phase):

$$\begin{array}{l}
(\text{res}_W \downarrow a^{2^r} \$ , \text{req}_R \downarrow a^{2^r} \$ ) \\
\vdash (\text{ack}_W \downarrow a^{2^r} \$ , \text{rec}_{R,W} \downarrow a^{2^r} \$ ) \\
\vdash (\text{req} \downarrow a^{2^r} \$ , \text{res}_R \downarrow a^{2^r} \$ ) \\
\vdash (\text{rec}_R \downarrow a^{2^r} \$ , \text{ack}_R \downarrow a^{2^r} \$ ) \\
\vdash (\downarrow p_0 a^{2^r} \$ , \text{req}_R \downarrow a^{2^r} \$ ) \\
\vdash (\downarrow a p_2 a^{2^r-1} \$ , \text{req}_R \downarrow a^{2^r} \$ ) \\
\vdash (\downarrow a \text{res}_D a^{2^r-1} \$ , \text{req}_R \downarrow a^{2^r} \$ ) \\
\vdash (\downarrow a \text{ack}_D a^{2^r-1} \$ , \text{rec}_{R,D} \downarrow a^{2^r} \$ ) \\
\vdash (\downarrow a^2 p_1 a^{2^r-2} \$ , \downarrow p_r a^{2^r-1} \$ ) (*) \\
\vdash (\downarrow a^3 p_2 a^{2^r-3} \$ , \text{req}_R \downarrow a^{2^r-1} \$ ) (*) \\
\vdash (\downarrow a^3 \text{res}_D a^{2^r-3} \$ , \text{req}_R \downarrow a^{2^r-1} \$ ) (*) \\
\vdash (\downarrow a^3 \text{ack}_D a^{2^r-3} \$ , \text{rec}_{R,D} \downarrow a^{2^r-1} \$ ) (*) \\
\vdots \\
\vdash (\downarrow a^{2^r-3} \text{ack}_D a^3 \$ , \text{rec}_{R,D} \downarrow a^{2^r-1+2} \$ ) \\
\vdash (\downarrow a^{2^r-2} p_1 a^2 \$ , \downarrow p_r a^{2^r-1+1} \$ ) \\
\vdash (\downarrow a^{2^r-1} p_2 a \$ , \text{req}_R \downarrow a^{2^r-1+1} \$ ) \\
\vdash (\downarrow a^{2^r-1} \text{res}_{Ch} a \$ , \text{req}_R \downarrow a^{2^r-1+1} \$ ) \\
\vdash (\downarrow a^{2^r-1} \text{ack}_{Ch} a \$ , \text{rec}_{R,Ch} \downarrow a^{2^r-1+1} \$ ) \\
\vdash (\downarrow a^{2^r-1} p_r \$ , \downarrow p_1 a^{2^r-1+1} \$ ) \\
\vdash (\text{res}_W \downarrow a^{2^r-1} \$ , \downarrow a p_2 a^{2^r-1} \$ )
\end{array}
\quad \Bigg| \quad
\begin{array}{l}
\vdash (\text{res}_W \downarrow a^{2^r-1} \$ , \downarrow a \text{req}_D a^{2^r-1} \$ ) \\
\vdash (\text{ack}_W \downarrow a^{2^r-1} \$ , \downarrow a \text{rec}_{D,W} a^{2^r-1} \$ ) \\
\vdash (\text{req} \downarrow a^{2^r-1} \$ , \downarrow a \text{res}_D a^{2^r-1} \$ ) (*) \\
\vdash (\text{rec}_D \downarrow a^{2^r-1} \$ , \downarrow a \text{ack}_D a^{2^r-1} \$ ) (*) \\
\vdash (\downarrow p_r a^{2^r-2} \$ , \downarrow a^2 p_2 a^{2^r-1-1} \$ ) (*) \\
\vdash (\text{res}_W \downarrow a^{2^r-2} \$ , \downarrow a^2 \text{req}_D a^{2^r-1-1} \$ ) (*) \\
\vdash (\text{ack}_W \downarrow a^{2^r-2} \$ , \downarrow a^2 \text{rec}_{D,W} a^{2^r-1-1} \$ ) (*) \\
\vdots \\
\vdash (\text{ack}_W \downarrow a^{2^r-1+1} \$ , \downarrow a^{2^r-1-1} \text{rec}_{D,W} a^2 \$ ) \\
\vdash (\text{req} \downarrow a^{2^r-1+1} \$ , \downarrow a^{2^r-1-1} \text{res}_D a^2 \$ ) \\
\vdash (\text{rec}_D \downarrow a^{2^r-1+1} \$ , \downarrow a^{2^r-1-1} \text{ack}_D a^2 \$ ) \\
\vdash (\downarrow p_r a^{2^r-1} \$ , \downarrow a^{2^r-1} p_2 a \$ ) \\
\vdash (\text{res}_W \downarrow a^{2^r-1} \$ , \downarrow a^{2^r-1} p_r \$ ) \\
\vdash (\text{res}_W \downarrow a^{2^r-1} \$ , \text{req}_R \downarrow a^{2^r-1} \$ ) .
\end{array}$$

If the input is not of the form  $a^{2^r}$ , then the configuration  $(\text{res}_W \downarrow a^t \$ , \text{req}_R \downarrow a^t \$)$  appears at a point during the computation with an odd number  $t$  of  $a$ 's on the working tape. But then  $M_1$  will get stuck while reading  $a$  in state  $p_1$  at some time. For that case  $M_1$  cannot accept the input, and the whole system does not accept, since  $M_2$  cannot reach the accepting configuration for itself. Thus, it follows that  $L(\mathcal{M}_{expo}) = L_{expo}$ .  $\square$

### 5.3 Centralized versus non-centralized PCRA systems

Regarding distributed computing the question of an appropriate communication structure is important. One crucial aspect is the issue of whether the communication is centralized or non-centralized. All components of a centralized system are only allowed to communicate with a distinguished master component, whereas in a non-centralized communication structure each component can communicate with all other components.

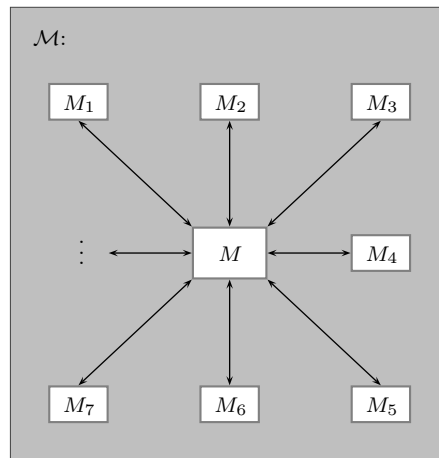


Figure 5.2: A centralized system  $\mathcal{M}$  with the master component  $M$  and client components  $M_1, M_2$ , etc. The arrows show the allowed connections of communication.

That non-centralized systems are at least as powerful as centralized systems results from the fact that a centralized system can be seen as a special case of a non-centralized system (for systems of finite and pushdown automata see e.g. [CMMV00, MMM02]). But does the centralization as a restriction of the communication structure yield a proper decrease in computational power? For example, this happens for deterministic parallel communicating finite automata [BKM08] and PC grammar systems with regular or linear components [CDKP94]. Within this section it will be shown that in the case of PCRA systems, centralized and non-centralized systems have the same computational power independent of the type of the components.

A *centralized* PC- $\mathcal{X}$ -system ( $\mathcal{X} \in \mathcal{T}$ ), cPC- $\mathcal{X}$ -system for short, is a PC- $\mathcal{X}$ -system in which every component is only allowed to communicate with the first component (the master component). Thereby it is not important whether the communication is initiated by the master or a client, that is, whether the master or a client sends a request. In centralized systems the superscript of the communication states (that denotes the receiver) of the components can be omitted (except for the master).

The set of languages accepted by cPC-X-systems is denoted by  $\mathcal{L}(\text{cPC-X})$ .

**Theorem 4.**  $\mathcal{L}(\text{cPC-X}) = \mathcal{L}(\text{PC-X})$  for all  $X \in \mathcal{T}_R$ .

*Proof.* Let  $X \in \mathcal{T}_R$  be a type of restarting automaton that does not allow to use MVL operations.  $\mathcal{L}(\text{cPC-X}) \subseteq \mathcal{L}(\text{PC-X})$  obviously holds. It remains to show that  $\mathcal{L}(\text{PC-X}) \subseteq \mathcal{L}(\text{cPC-X})$ . We prove that for every PCRA system there exists an equivalent centralized system of the same type. Let  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  be an arbitrary PC-X-system of degree  $n$ , and let  $M_i = (Q_i, \Sigma, \Gamma_i, \phi, \$, q_0^{(i)}, k, \delta_i)$  ( $1 \leq i \leq n$ ). We construct a centralized PC-X-system  $\mathcal{M}' = (M, M'_1, M'_2, \dots, M'_n)$  with  $\mathcal{L}(\mathcal{M}) = \mathcal{L}(\mathcal{M}')$ , where  $M$  is a new master component that solely controls all communications. While  $M_1, \dots, M_n$  can communicate with each other,  $M'_1, \dots, M'_n$  are not allowed to do so. Now, we first define the clients formally and then give a description of their behaviour referring to the particular aspects of the construction. Afterwards we do the same with the new master component. A new component  $M'_i$  ( $1 \leq i \leq n$ ) is obtained by modifying the transition relation of  $M_i$  as follows ( $q, q' \in Q_i$ ;  $\alpha \in \mathcal{PC}^{(k)}$ ;  $j \in \{1, 2, \dots, n\}$ ;  $c, d$  are strings of constant length):

A1. for all  $(q', \text{MVR}) \in \delta_i(q, \alpha)$ ,  $(q', \text{MVR}) \in \delta'_i(q, \alpha)$  (similar for Restart and rewrite operations),

A2. for all  $\delta_i(q, \alpha) = \emptyset$ ,  $\delta'_i(q, \alpha) = \{\text{res}_{[\perp]}\}$ ,

A3. for all  $\text{Accept} \in \delta_i(q, \alpha)$ ,

(a)  $\text{res}_{[\text{Accept}]} \in \delta'_i(q, \alpha)$ , if  $\mathcal{M}$  is not globally deterministic or if  $i = 1$ ,

(b)  $\text{res}_{[\perp]} \in \delta'_i(q, \alpha)$ , if  $\mathcal{M}$  is globally deterministic and  $i > 1$ ,

A4. for  $\text{req}_d^j \in \delta_i(q, \alpha)$ ,

•  $\text{res}_{[\text{req}(j,d)], [\text{req}(j)]} \in \delta'_i(q, \alpha)$ , and

•  $\text{req}_{[\text{req}(j,d)]} \in \delta'_i(\text{ack}_{[\text{req}(j,d)], [\text{req}(j)]}, \alpha)$

(The local information  $d$  of the original communication state is stored within the local information of the new communication state, but is not sent to the master. After telling the master the current communication state,  $M'_i$  requests the information from the master whether the communication partner has sent a corresponding communication state. If the communication partner does not or will not,  $M'_i$  is stuck like  $M_i$  in this situation.),

A5. for  $A \in \delta_i(\text{rec}_{d,c}^j, \alpha)$ ,  $A \in \delta'_i(\text{rec}_{[\text{req}(j,d)], [\text{res}(j,c)]}, \alpha)$

(The action  $A$  can be performed by  $M'_i$  when the master tells  $M'_i$  that

the communication partner  $M'_j$  has sent the corresponding communication state.),

A6. for  $\text{res}_{d,c}^j \in \delta_i(q, \alpha)$ ,

- $\text{res}_{[\text{res}(j,d,c)],[\text{res}(j,c)]} \in \delta'_i(q, \alpha)$ , and
- $\text{req}_{[\text{res}(j,d,c)]} \in \delta'_i(\text{ack}_{[\text{res}(j,d,c)],[\text{res}(j,c)]}, \alpha)$

(Similar to A4.),

A7. for  $A \in \delta_i(\text{ack}_{d,c}^j, \alpha)$ ,  $A \in \delta'_i(\text{rec}_{[\text{res}(j,d,c)],[\text{req}(j)]}, \alpha)$

(Similar to A5.).

The operations **MVR**, **Restart**, and **rewrite** are retained unchanged (A1). Furthermore, observe that there are exactly three ways in which a local computation of a component can finish: accept (A3), being stuck (A2), or reaching a communication state (A4, A6). For these situations the component sends a corresponding information to the master by entering a response state:  $[Accept]$ ,  $[\perp]$ ,  $[\text{req}(j)]$  or  $[\text{res}(j, c)]$ . With respect to acceptance, two cases have to be distinguished: If the accepting component is not the first one within a globally deterministic system, this is similar to the case of being stuck, and therefore the information  $[\perp]$  is sent. Otherwise the system should accept, hence the information  $[Accept]$  is posted. After sending the response, the component either is stuck (if it has sent  $[Accept]$  or  $[\perp]$ ) or enters a request state to await information from the master about the currently simulated communication step, in particular if the communication partner reaches the corresponding communication state. Moreover, the original communication states are included in the subscript (the local information) of the new communication states in order to simulate the communication step in a unique manner.

The sets of states of the modified components are given indirectly through the definition of the transition function. The initial state of  $M'_i$  is the same as that of  $M_i$  except if it is a communication state. If the initial state of  $M_i$  is  $\text{req}_d^j$  ( $\text{res}_{d,c}^j$ ), then the initial state of  $M'_i$  is  $\text{res}_{[\text{req}(j,d)],[\text{req}(j)]}$  ( $\text{res}_{[\text{res}(j,d,c)],[\text{res}(j,c)]}$ ).

The master component  $M$  has only communication states. In their indices (as local information) these states contain a tuple of situations, one for each component. E.g. the tuple  $\langle *, \perp, \text{res}(1, c) \rangle$  describes the fact that the master does not (yet) know the current situation of  $M'_1$  (the master still has to ask for it),  $M'_2$  is stuck, and  $M'_3$  wants to response to  $M'_1$  with the information  $c$ .

The initial state of the master component is  $\text{req}_{\langle *, \dots, * \rangle}^1$ . Thus, the master does not have any information about the current situations of the other components, and

first  $M'_1$  is asked for the result of its local computation. Observe that the index of the communication partner in the superscript of the communication states indeed refers to the index of the corresponding component and not to its position within the tuple of the system. In the following computation the master cyclically asks all components that did not send the information  $[\perp]$  before (see B2) or wait in a communication situation. This means that only components are asked for which  $*$  is listed in the situation tuple. In a situation  $\langle t_1, t_2, \dots, t_n \rangle$  the successor of a component  $M'_m$  is denoted with  $M'_{m'}$ , and is obtained as follows: Let  $N_1 = \{i \mid m < i \leq n \wedge t_i = *\}$  and  $N_2 = \{i \mid 1 \leq i < m \wedge t_i = *\}$ . Then

$$m' = \begin{cases} \min(N_1), & \text{if } N_1 \neq \emptyset, \\ \min(N_2), & \text{if } N_1 = \emptyset \wedge N_2 \neq \emptyset. \end{cases}$$

If there does not exist a successor (there is no  $*$  left in the situation tuple, hence  $N_1 = N_2 = \emptyset$ ), the system is stuck and rejects the input. In addition, observe that if the successor  $m'$  exists, it is obtained deterministically.

Since the communication in a centralized system is only allowed with the master component, the original communication steps between two arbitrary components have to be simulated. Now, the master controls and forwards all communication demands. The information about the former communication demand (a component sends a response with an information  $[req(j)]$  or  $[res(j, c)]$ ) is at first stored within the situation tuple of the master (see B3 'else', B6 'else'). When the master receives a corresponding message from the communication partner, it immediately informs both communication partners about the respective other message (see B3 'if'-B5, B6 'if'-B8). After performing the communication step,  $*$  is stored in the situation tuple for both communication partners, and the computation goes on with asking the next component.

If the master receives the information  $[Accept]$  from any component, it accepts the input itself, and therefore the whole system accepts (see B1). Now the formal definition of the transition function of the master component is given (the transitions are defined for all  $1 \leq m \leq n$ ):

$$\text{B1. } \delta(\text{rec}_{\langle t_1, \dots, t_n \rangle, [Accept]}^m, \alpha) = \text{Accept},$$

$$\text{B2. } \delta(\text{rec}_{\langle t_1, \dots, t_n \rangle, [\perp]}^m, \alpha) = \text{req}_{\langle t_1, \dots, t_{m-1}, \perp, t_{m+1}, \dots, t_n \rangle}^{m'},$$

$$\text{B3. } \delta(\text{rec}_{\langle t_1, \dots, t_n \rangle, [req(j)]}^m, \alpha) = \begin{cases} \text{res}_{\langle t_1, \dots, t_{m-1}, req(j), t_{m+1}, \dots, t_{j-1}, res(m, c), t_{j+1}, \dots, t_n \rangle, [res(j, c)]}^m, & \text{if } t_j = res(m, c), \\ \text{req}_{\langle t_1, \dots, t_{m-1}, req(j), t_{m+1}, \dots, t_n \rangle}^{m'}, & \text{otherwise,} \end{cases}$$

$$\text{B4. } \delta(\text{ack}_{\langle t_1, \dots, t_{m-1}, req(j), t_{m+1}, \dots, t_{j-1}, res(m, c), t_{j+1}, \dots, t_n \rangle, [res(j, c)]}^m, \alpha) = \text{res}_{\langle t_1, \dots, t_{m-1}, *, t_{m+1}, \dots, t_{j-1}, *, t_{j+1}, \dots, t_n \rangle, [req(m)]}^j$$

- B5.  $\delta(\text{ack}_{\langle t_1, \dots, t_{m-1}, *, t_{m+1}, \dots, t_{j-1}, *, t_{j+1}, \dots, t_n \rangle, [\text{req}(m)]}^j, \alpha) = \text{req}_{\langle t_1, \dots, t_{m-1}, *, t_{m+1}, \dots, t_{j-1}, *, t_{j+1}, \dots, t_n \rangle}^{m'}$
- B6.  $\delta(\text{rec}_{\langle t_1, \dots, t_n \rangle, [\text{res}(j,c)]}^m, \alpha) = \begin{cases} \text{res}_{\langle t_1, \dots, t_{m-1}, \text{res}(j,c), t_{m+1}, \dots, t_{j-1}, \text{req}(m), t_{j+1}, \dots, t_n \rangle, [\text{req}(j)]}^m & \text{if } t_j = \text{req}(m), \\ \text{req}_{\langle t_1, \dots, t_{m-1}, \text{res}(j,c), t_{m+1}, \dots, t_n \rangle}^{m'} & \text{otherwise,} \end{cases}$
- B7.  $\delta(\text{ack}_{\langle t_1, \dots, t_{m-1}, \text{res}(j,c), t_{m+1}, \dots, t_{j-1}, \text{req}(m), t_{j+1}, \dots, t_n \rangle, [\text{req}(j)]}^m, \alpha) = \text{res}_{\langle t_1, \dots, t_{m-1}, *, t_{m+1}, \dots, t_{j-1}, *, t_{j+1}, \dots, t_n \rangle, [\text{res}(m,c)]}^j$
- B8.  $\delta(\text{ack}_{\langle t_1, \dots, t_{m-1}, *, t_{m+1}, \dots, t_{j-1}, *, t_{j+1}, \dots, t_n \rangle, [\text{res}(m,c)]}^j, \alpha) = \text{req}_{\langle t_1, \dots, t_{m-1}, *, t_{m+1}, \dots, t_{j-1}, *, t_{j+1}, \dots, t_n \rangle}^{m'}$

It remains to show that  $\mathcal{L}(\mathcal{M}) = \mathcal{L}(\mathcal{M}')$  holds. First, the behaviour of the modified components ( $M'_i, i \in \{1, 2, \dots, n\}$ ) according to the local computations is exactly like that of the original components (because of step A1 within the construction). This means that for all  $i \in \{1, 2, \dots, n\}$  and all configurations  $\kappa, \kappa'$ ,

$$\kappa \vdash_{M_i} \kappa' \Leftrightarrow \kappa \vdash_{M'_i} \kappa',$$

and therefore

$$\kappa \vdash_{M_i}^* \kappa' \Leftrightarrow \kappa \vdash_{M'_i}^* \kappa',$$

if  $\kappa'$  is achieved from  $\kappa$  through MVR, Restart, and rewrite operations. The communication between two components achieves the same result in  $\mathcal{M}'$  as in  $\mathcal{M}$ . Let  $M_i$  and  $M_j$  be two components of  $\mathcal{M}$  that perform a communication. A computation looks as follows, where  $\kappa_{r,s}$  denotes a configuration ( $r$  and  $s$  stand for the computation step and the number of the component, respectively):

$$\begin{aligned} & (\kappa_{1,1}, \kappa_{1,2}, \dots, \kappa_{1,n}) \\ \vdash_{\mathcal{M}} & (\kappa_{2,1}, \kappa_{2,2}, \dots, \kappa_{2,i-1}, u\text{req}_{d'}^j v, \kappa_{2,i+1}, \dots, \kappa_{2,j-1}, u'\text{res}_{d',c}^i v', \kappa_{2,j+1}, \dots, \kappa_{2,n}) \\ \vdash_{\mathcal{M}} & (\kappa_{3,1}, \kappa_{3,2}, \dots, \kappa_{3,i-1}, u\text{rec}_{d,c}^j v, \kappa_{3,i+1}, \dots, \kappa_{3,j-1}, u'\text{ack}_{d',c}^i v', \kappa_{3,j+1}, \dots, \kappa_{3,n}) \\ \vdash_{\mathcal{M}} & (\kappa_{4,1}, \kappa_{4,2}, \dots, \kappa_{4,i-1}, K_i, \kappa_{4,i+1}, \dots, \kappa_{4,j-1}, K_j, \kappa_{4,j+1}, \dots, \kappa_{4,n}). \end{aligned}$$

The system  $\mathcal{M}'$  simulates this communication in the following way (The annotations A1, A2, ..., B1, ... refer to the construction of the modified components and the new master component above. The contents of the variables  $t_1, \dots, t_n$  used in the situation tuples can differ from computation step to computation step. Due to clarity it was avoided to write  $t_{1,1}, \dots, t_{1,n}, t_{2,1}, \dots, t_{2,n}, \dots$  for the different computation steps. Moreover,  $\kappa'$  is used instead of  $\kappa$  for separation from the communication step of the original system  $\mathcal{M}$ .):

$$\begin{aligned}
& (\kappa'_{1,0}, \kappa'_{1,1}, \kappa'_{1,2}, \dots, \kappa'_{1,n}) \\
\vdash_{\mathcal{M}'} & (\kappa'_{2,0}, \kappa'_{2,1}, \dots, \kappa'_{2,i-1}, \mathit{ures}_{[req(j,d)], [req(j)]} v, \kappa'_{2,i+1}, \dots, \kappa'_{2,n}) \\
& \text{(because of A4)} \\
\vdash_{\mathcal{M}'}^* & (\mathit{req}_{\langle t_1, \dots, t_{i-1}, *, t_{i+1}, \dots, t_n \rangle}^i \wp w \$, \kappa'_{3,1}, \dots, \kappa'_{3,i-1}, \mathit{ures}_{[req(j,d)], [req(j)]} v, \kappa'_{3,i+1}, \dots, \kappa'_{3,n}) \\
\vdash_{\mathcal{M}'} & (\mathit{rec}_{\langle t_1, \dots, t_{i-1}, *, t_{i+1}, \dots, t_n \rangle, [req(j)]}^i \wp w \$, \kappa'_{4,1}, \\
& \dots, \kappa'_{4,i-1}, \mathit{uack}_{[req(j,d)], [req(j)]} v, \kappa'_{4,i+1}, \dots, \kappa'_{4,n}) \\
\vdash_{\mathcal{M}'} & (\mathit{req}_{\langle t_1, \dots, t_{i-1}, req(j), t_{i+1}, \dots, t_n \rangle}^m \wp w \$, \kappa'_{5,1}, \dots, \kappa'_{5,i-1}, \mathit{ureq}_{[req(j,d)]} v, \kappa'_{5,i+1}, \dots, \kappa'_{5,n}) \\
& \text{(because of B3 and A4; } m \in \{1, 2, \dots, n\} \text{ is used here as the index of the} \\
& \text{successor of } M_i \text{)} \\
\vdash_{\mathcal{M}'}^* & (p \wp w \$, \kappa'_{6,1}, \dots, \kappa'_{6,i-1}, \mathit{ureq}_{[req(j,d)]} v, \kappa'_{6,i+1}, \\
& \dots, \kappa'_{6,j-1}, \mathit{u'res}_{[res(i,d',c)], [res(i,c)]} v', \kappa'_{6,j+1}, \dots, \kappa'_{6,n}) \\
& \text{(because of A6; } p \text{ is a state of } M \text{ with index } \langle t_1, \dots, t_{i-1}, req(j), t_{i+1}, \dots, t_n \rangle \text{)} \\
\vdash_{\mathcal{M}'}^* & (\mathit{req}_{\langle t_1, \dots, t_{i-1}, req(j), t_{i+1}, \dots, t_{j-1}, *, t_{j+1}, \dots, t_n \rangle}^j \wp w \$, \kappa'_{7,1}, \dots, \kappa'_{7,i-1}, \mathit{ureq}_{[req(j,d)]} v, \kappa'_{7,i+1}, \\
& \dots, \kappa'_{7,j-1}, \mathit{u'res}_{[res(i,d',c)], [res(i,c)]} v', \kappa'_{7,j+1}, \dots, \kappa'_{7,n}) \\
\vdash_{\mathcal{M}'} & (\mathit{rec}_{\langle t_1, \dots, t_{i-1}, req(j), t_{i+1}, \dots, t_{j-1}, *, t_{j+1}, \dots, t_n \rangle, [res(i,c)]}^j \wp w \$, \kappa'_{8,1}, \\
& \dots, \kappa'_{8,i-1}, \mathit{ureq}_{[req(j,d)]} v, \kappa'_{8,i+1}, \dots, \kappa'_{8,j-1}, \mathit{u'ack}_{[res(i,d',c)], [res(i,c)]} v', \kappa'_{8,j+1}, \dots, \kappa'_{8,n}) \\
\vdash_{\mathcal{M}'} & (\mathit{res}_{\langle t_1, \dots, t_{i-1}, req(j), t_{i+1}, \dots, t_{j-1}, res(i,c), t_{j+1}, \dots, t_n \rangle, [req(i)]}^j \wp w \$, \kappa'_{9,1}, \\
& \dots, \kappa'_{9,i-1}, \mathit{ureq}_{[req(j,d)]} v, \kappa'_{9,i+1}, \dots, \kappa'_{9,j-1}, \mathit{u'req}_{[res(i,d',c)]} v', \kappa'_{9,j+1}, \dots, \kappa'_{9,n}) \\
& \text{(because of B6 and A6)} \\
\vdash_{\mathcal{M}'} & (\mathit{ack}_{\langle t_1, \dots, t_{i-1}, req(j), t_{i+1}, \dots, t_{j-1}, res(i,c), t_{j+1}, \dots, t_n \rangle, [req(i)]}^j \wp w \$, \kappa'_{10,1}, \\
& \dots, \kappa'_{10,i-1}, \mathit{ureq}_{[req(j,d)]} v, \kappa'_{10,i+1}, \dots, \kappa'_{10,j-1}, \mathit{u'rec}_{[res(i,d',c)], [req(i)]} v', \kappa'_{10,j+1}, \dots, \kappa'_{10,n}) \\
\vdash_{\mathcal{M}'} & (\mathit{res}_{\langle t_1, \dots, t_{i-1}, *, t_{i+1}, \dots, t_{j-1}, *, t_{j+1}, \dots, t_n \rangle, [res(j,c)]}^i \wp w \$, \kappa'_{11,1}, \\
& \dots, \kappa'_{11,i-1}, \mathit{ureq}_{[req(j,d)]} v, \kappa'_{11,i+1}, \dots, \kappa'_{11,j-1}, K'_j, \kappa'_{11,j+1}, \dots, \kappa'_{11,n}) \\
& \text{(because of B7 and A7)} \\
\vdash_{\mathcal{M}'} & (\mathit{ack}_{\langle t_1, \dots, t_{i-1}, *, t_{i+1}, \dots, t_{j-1}, *, t_{j+1}, \dots, t_n \rangle, [res(j,c)]}^i \wp w \$, \kappa'_{12,1}, \\
& \dots, \kappa'_{12,i-1}, \mathit{urec}_{[req(j,d)], [res(j,c)]} v, \kappa'_{12,i+1}, \dots, \kappa'_{12,n}) \\
\vdash_{\mathcal{M}'} & (\mathit{req}_{\langle t_1, \dots, t_{i-1}, *, t_{i+1}, \dots, t_{j-1}, *, t_{j+1}, \dots, t_n \rangle}^{m'} \wp w \$, \kappa'_{13,1}, \dots, \kappa'_{13,i-1}, K'_i, \kappa'_{13,i+1}, \dots, \kappa'_{13,n}) \\
& \text{(because of B8 and A5; } m' \in \{1, 2, \dots, n\} \text{ is the index of the successor of } M_i \text{)},
\end{aligned}$$

where for  $K'_i$  one of the following conditions hold (similarly for  $K'_j$ ):

- $K_i = K'_i$  if  $K_i$  is a configuration  $uqv$  and  $q$  is not a communication state,
- $K_i = \mathit{Accept}$ , and  $K'_i$  contains the state  $\mathit{res}_{[Accept]}$ ,
- $K_i$  contains a request state  $\mathit{req}_d^j$ , and  $K'_i$  contains the state  $\mathit{res}_{[req(j,d)], [req(j)]}$ ,  
or
- $K_i$  contains a response state  $\mathit{res}_{d,c}^j$ , and  $K'_i$  contains the state  $\mathit{res}_{[res(j,d,c)], [res(j,c)]}$ .

In this simulation it was assumed that the request is sent before the corresponding response and that the master communicates with the requesting component

first. Of course, the other way around can occur. Then A6 would be interchanged with A4, B6 with B3, B7 with B4, A7 with A5, and B8 with B5. Moreover, the order of reaching the request state from the master or the communication state from the component can be changed without having an effect on the result of the communication. Furthermore, if a component is in a communication state but gets never an answer from the communication partner or no corresponding answer, its index does not appear within the set of successors anymore and it is stuck like in the original system.

An important fact is that there exists exactly one situation where the master component and therefore the whole system gets stuck. This happens if (and only if) all components are stuck because either the transition is not defined in the original component or a communication cannot be completed. Due to the definition of the successor component, the master asks cyclically every component for its current situation until it sends a stuck or communication message. Both are stored within the situation tuple of the master. Because of A2, a component cannot get stuck without sending a corresponding message. In particular, the master does not get stuck because some components are in a communication deadlock (no corresponding messages are sent).

Now it can be concluded that for all  $i \in \{1, 2, \dots, n\}$ , all input words  $w$ , initial states  $q_0^{(j)}$  of  $M_j$  ( $j \in \{1, 2, \dots, n\}$ ), initial states  $p_0^{(j)}$  of  $M'_j$ , configurations  $\kappa_j, \kappa'_j$  of  $M_j, M'_j$  ( $j \in \{1, 2, \dots, n\} \setminus \{i\}$ ), and keeping the same conditions for  $K_i$  and  $K'_i$  mentioned above,

$$(q_0^{(1)} \downarrow w \$, q_0^{(2)} \downarrow w \$, \dots, q_0^{(n)} \downarrow w \$) \vdash_{\mathcal{M}}^* (\kappa_1, \kappa_2, \dots, \kappa_{i-1}, K_i, \kappa_{i+1}, \dots, \kappa_n)$$

if and only if

$$(\text{req}_{\langle *, \dots, * \rangle}^1 \downarrow w \$, p_0^{(1)} \downarrow w \$, p_0^{(2)} \downarrow w \$, \dots, p_0^{(n)} \downarrow w \$) \vdash_{\mathcal{M}'}^* (\kappa, \kappa'_1, \kappa'_2, \dots, \kappa'_{i-1}, K'_i, \kappa'_{i+1}, \dots, \kappa'_n).$$

Finally, this particularly applies to  $K_i = \text{Accept}$  and  $K'_i = \text{ures}_{[\text{Accept}]} v$ , and thus,

$$(q_0^{(1)} \downarrow w \$, q_0^{(2)} \downarrow w \$, \dots, q_0^{(n)} \downarrow w \$) \vdash_{\mathcal{M}}^* (\kappa_1, \kappa_2, \dots, \kappa_{i-1}, \text{Accept}, \kappa_{i+1}, \dots, \kappa_n)$$

if and only if

$$\begin{aligned} & (\text{req}_{\langle *, \dots, * \rangle}^1 \downarrow w \$, p_0^{(1)} \downarrow w \$, p_0^{(2)} \downarrow w \$, \dots, p_0^{(n)} \downarrow w \$) \\ \vdash_{\mathcal{M}'}^* & (\kappa, \kappa'_{1,1}, \kappa'_{1,2}, \dots, \kappa'_{1,i-1}, \text{ures}_{[\text{Accept}]} v, \kappa'_{1,i+1}, \dots, \kappa'_{1,n}) \\ \vdash_{\mathcal{M}'}^* & (\text{req}_{\langle t_1, \dots, t_{i-1}, *, t_{i+1}, \dots, t_n \rangle}^i \downarrow w \$, \kappa'_{2,1}, \dots, \kappa'_{2,i-1}, \text{ures}_{[\text{Accept}]} v, \kappa'_{2,i+1}, \dots, \kappa'_{2,n}) \\ \vdash_{\mathcal{M}'}^* & (\text{rec}_{\langle t_1, \dots, t_{i-1}, *, t_{i+1}, \dots, t_n \rangle, [\text{Accept}]}^i \downarrow w \$, \kappa'_{3,1}, \dots, \kappa'_{3,i-1}, \text{uack}_{[\text{Accept}]} v, \kappa'_{3,i+1}, \dots, \kappa'_{3,n}) \\ \vdash_{\mathcal{M}'}^* & (\text{Accept}, \kappa'_{4,1}, \dots, \kappa'_{4,i-1}, \text{uack}_{[\text{Accept}]} v, \kappa'_{4,i+1}, \dots, \kappa'_{4,n}). \end{aligned}$$



This means that  $\mathcal{M}$  accepts an input word if and only if  $\mathcal{M}'$  accepts it, hence  $\mathcal{L}(\mathcal{M}) = \mathcal{L}(\mathcal{M}')$ .  $\square$

Theorem 4 is restricted to restarting automata without MVL operations. Now systems are considered in which MVL operations are allowed. The use of MVL operations together with that of MVR operations may cause infinite loops within the computation of a component. This happens when the read/write window is moved over the tape without changing the content of the tape, and after a finite number of moves, the same local configuration is reached again. Such a situation can be responsible for a deadlock of the constructed system considered in the proof of Theorem 4. If a component is in an infinite loop, and the master sends a request to that component (because the master wants to know the result of its local computation), the whole system gets stuck since the request will never be answered. Subsequently even if some other component sends the accepting message, the master is not able to receive this and hence does not accept. Here the input is not accepted by the centralized system, although it is accepted by the original system. Observe that we constructed the centralized system in such a way that only the master is allowed to accept. This is not really necessary here but quite helpful for our further work. But even if we allowed each component to accept the input, the described deadlock could lead to a situation where no more communication steps can be applied because of the master's inability to act. The next theorem gives a solution that avoids the described deadlock situation.

**Theorem 5.**  $\mathcal{L}(cPC-X) = \mathcal{L}(PC-X)$  holds true for all  $X \in \mathcal{T}$ .

*Proof.* Take the construction of the proof of Theorem 4. A simple modification is necessary to avoid a deadlock of the whole system caused by an infinite loop of a local computation. Every loop consists of at least one MVR and one MVL step. Whenever a component wants to perform a MVL operation, it sends the message  $[*]$  to the master and stores the successor state within the index of the communication state:

- A8. for all  $(q', \text{MVL}) \in \delta_i(q, \alpha)$ ,
- $\text{res}_{[q'], [*]} \in \delta'_i(q, \alpha)$  and
  - $\delta'_i(\text{ack}_{[q'], [*]}, \alpha) = \{(q', \text{MVL})\}$ .

When the master receives the information  $[*]$ , it just goes on by asking the successor component:

- B9.  $\delta(\text{rec}_{\langle t_1, \dots, t_{m-1}, *, t_{m+1}, \dots, t_n \rangle, [*]}^m, \alpha) = \{\text{req}_{\langle t_1, \dots, t_{m-1}, *, t_{m+1}, \dots, t_n \rangle}^{m'}\}$ .

Consider that  $t_m = *$  is not changed. Therefore,  $M'_m$  is asked again after the master has communicated with the other components. In between  $M'_m$  continues performing local computation steps. With this modification the master has still the possibility to communicate with all components (that have not already sent  $[\perp]$  or wait in a communication situation), although one of them (or more) is in an infinite loop of computation. Therefore,  $\mathcal{M}'$  accepts an input iff  $\mathcal{M}$  accepts it. Similar to a single automaton with MVL operations, also a PCRA system with MVL operations does not have to halt for all inputs. This happens if at least one component is in an infinite loop and none of the (other) components reaches the accepting configuration. Such an input is not a member of the language accepted by the system. According to the construction above, this means that if a component  $M$  in  $\mathcal{M}$  can reach an infinite loop, then the component  $M'$  in  $\mathcal{M}'$  does so as well, and moreover,  $M'$  communicates with the master component every time it applies a MVL step.  $\square$

**Remark.** *In Theorem 5 it would not be enough to replace the RLWW-components by equivalent RRWW-components (which exist because of  $\mathcal{L}(RRWW) = \mathcal{L}(RLWW)$ , see [Plá01]), because it is not clear whether this equivalence carries over to PCRA systems, e.g. whether, for every RLWW-component, there exists a communicational equivalent RRWW-component. Moreover, for deterministic components it can be assumed that equivalent components without loops can be constructed [Sip78, GMP07].*

**Remark.** *Theorems 4 and 5 also hold for systems with other types of restarting automata ( $R$ ,  $RR$ ,  $RW$ ,  $RRW$ ,  $RWW$ ;  $RL$ ,  $RLW$  respectively) as well as for systems with deterministic or monotone components, because the new component  $M$  is still a deterministic monotone  $R$ -automaton, and all modifications of the components have only an effect on the communication. Therefore, the construction preserves the type of the components and their properties of being deterministic and/or monotone.*

The centralized system considered in Theorem 4 and extended in Theorem 5 contains one additional component (the master component) in comparison to the non-centralized system. But it can be observed that the master component applies only communications but no local operations (no MVL, MVR, rewrite, Restart). In other words, the computation of the master component never depends on the content of the tape. Thus, the idea seems obvious to merge the master component with some other component and to build the product automaton in a broader sense. But then, the current state of the master gets lost with every restart of the other component. To avoid this, the new resulting component has the

nonforgetting property<sup>4</sup>. The next lemma describes the merging process in detail. Thereafter, Lemma 3 shows, how the nonforgetting property of the first component can be eliminated.

**Lemma 2.** *Let  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  be a centralized PCRA system of degree  $n > 2$  that accepts if and only if the first component accepts, and the first component only performs communication steps and SCO transitions. Moreover, the transitions of  $M_1$  do not depend on the tape content, that is,*

$$A \in \delta_1(p, \alpha) \Rightarrow (A \in Q_1 \cup \{\text{Accept}\} \wedge \forall \beta \in \mathcal{PC}_{M_1} : A \in \delta_1(p, \beta)).$$

*In other words, no MVR, MVL, Restart, or rewrite operation is allowed for  $M_1$ . Then there exists a centralized system  $\mathcal{M}'$  of degree  $(n - 1)$  with  $L(\mathcal{M}) = L(\mathcal{M}')$ , and the first component of  $\mathcal{M}'$  is allowed to use the nonforgetting property<sup>5</sup>.*

*Proof.* Let  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  be a centralized system of degree  $n$  with  $n > 2$  that accepts if and only if  $M_1$  accept, and  $M_1$  only performs communication steps and SCO transitions. Moreover, the transitions of  $M_1$  do not depend on the tape content. Since  $M_1$  works independently from its tape,  $\Gamma_1 = \Gamma_2$  can be assumed. Now, we merge  $M_1 = (Q_1, \Sigma, \Gamma_1, \phi, \$, q_0^{(1)}, k, \delta_1)$  and  $M_2 = (Q_2, \Sigma, \Gamma_2, \phi, \$, q_0^{(2)}, k, \delta_2)$  into a new component  $M = (Q, \Sigma, \Gamma, \phi, \$, q_0, k, \delta)$ , which simulates  $M_1$  and  $M_2$  almost simultaneously:

- $Q = (Q_1 \times Q_2) \cup \text{COM}(M_1) \cup \{\text{req}_{[d,q]}^i \mid \text{req}_d^i \in Q_1 \wedge q \in Q_2\} \cup \{\text{res}_{[d,q],c}^i \mid \text{res}_{d,c}^i \in Q_1 \wedge q \in Q_2\} \cup \{\text{rec}_{[d,q],c}^i \mid \text{rec}_{d,c}^i \in Q_1 \wedge q \in Q_2\} \cup \{\text{ack}_{[d,q],c}^i \mid \text{ack}_{d,c}^i \in Q_1 \wedge q \in Q_2\},$
- $\Gamma = \Gamma_2,$
- $q_0 = (q_0^{(1)}, q_0^{(2)}).$

Due to Corollary 2 it can be assumed that  $q_0^{(1)}$  and  $q_0^{(2)}$  are not communication states. The construction of the transition relation  $\delta$  of the new automaton  $M$  distinguishes mainly between three cases: 1)  $M_2$  performs a local computation (MVR, MVL, rewrite, Restart, or SCO transition), 2)  $M_1$  wants to communicate with  $M_2$ , and 3)  $M_1$  wants to communicate with another component than  $M_2$ . If  $M_1$  is not in a request or response state (for the current state  $p$  of  $M_1$  it holds that  $p \notin \text{REQ}(M_1) \cup \text{RES}(M_1)$ ), then  $M$  simulates one computation step of both,  $M_1$  and  $M_2$ :

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<sup>4</sup>For further information about the nonforgetting property, see Sections 4 and 5.4.

<sup>5</sup>The nonforgetting property was shortly explained in Section 4 and will be studied in more detail in Section 5.4.

$$\begin{aligned}
\delta((p, q), \alpha) = & \{Accept \mid Accept \in \delta_1(p, \alpha)\} \\
& \cup \{(p', q') \mid p' \in \delta_1(p, \alpha), q' \in \delta_2(q, \alpha)\} \\
& \cup \{((p', q'), MVR) \mid p' \in \delta_1(p, \alpha), (q', MVR) \in \delta_2(q, \alpha)\} \\
& \cup \{((p', q'), MVL) \mid p' \in \delta_1(p, \alpha), (q', MVL) \in \delta_2(q, \alpha)\} \\
& \cup \{((p', q'), \beta) \mid p' \in \delta_1(p, \alpha), (q', \beta) \in \delta_2(q, \alpha)\} \\
& \cup \{(\text{Restart}, (p, q_0^{(2)})) \mid \text{Restart} \in \delta_2(q, \alpha)\} \\
& \cup \{((p', q)) \mid p' \in \delta_1(p, \alpha), \delta_2(q, \alpha) = \emptyset\}.
\end{aligned}$$

The first set lets  $M$  reach the accepting configuration if  $M_1$  would do so (observe that because of our assumption  $M_2$  cannot accept). The second to the fifth sets describe the changing of the states of  $M_1$  and  $M_2$  and in addition the tape operation of  $M_2$  ( $M_1$  never performs tape operations). If  $M_2$  performs a restart,  $M$  performs a restart, too, but using the non-forgetting property so that the current state of  $M_1$  is not lost. The last set deals with the situation that no more local computation step of  $M_2$  is possible, because  $\delta_2$  is not defined for the current configuration of  $M_2$  or  $q$  is a communication state. In the latter case  $M_2$  waits for  $M_1$  to reach the corresponding communication state. However, if  $\delta_1$  is not defined for some configuration, then  $M_1$  is stuck and  $\mathcal{M}$  cannot accept the input. Thus, it is not necessary to define  $\delta$  for this situation (so  $M$  is stuck and  $\mathcal{M}'$  does not accept as well). A communication between  $M_1$  and  $M_2$  can be treated by the following two cases (for all  $\alpha \in \mathcal{PC}_M$ ):

$$\begin{aligned}
\delta((\text{req}_d^2, \text{res}_{d',c}), \alpha) &= \{(\text{rec}_{d,c}^2, \text{ack}_{d',c})\}, \\
\delta((\text{res}_{d,c}^2, \text{req}_{d'}), \alpha) &= \{(\text{ack}_{d,c}^2, \text{rec}_{d',c})\}.
\end{aligned}$$

If  $M_1$  wants to communicate with  $M_2$  and the latter is still not in a communication state (the current state  $q \notin \text{COM}(M_2)$ ),  $M$  keeps the communication state of  $M_1$  and simulates the computation of  $M_2$  until  $M_2$  reaches a corresponding communication state. As in the computation of  $\mathcal{M}$  the simulation of  $M_1$  is stopped ( $M_1$  waits) until the communication can be completed.

$$\begin{aligned}
\delta((\text{req}_d^2, q), \alpha) &= \{((\text{req}_d^2, q'), MVR) \mid (q', MVR) \in \delta_2(q, \alpha)\} \\
& \cup \{((\text{req}_d^2, q'), MVL) \mid (q', MVL) \in \delta_2(q, \alpha)\} \\
& \cup \{((\text{req}_d^2, q'), \beta) \mid (q', \beta) \in \delta_2(q, \alpha)\} \\
& \cup \{(\text{req}_d^2, q') \mid q' \in \delta_2(q, \alpha)\} \\
& \cup \{(\text{Restart}, (\text{req}_d^2, q_0^{(2)})) \mid \text{Restart} \in \delta_2(q, \alpha)\}, \\
\delta((\text{res}_{d,c}^2, q), \alpha) &= \{((\text{res}_{d,c}^2, q'), MVR) \mid (q', MVR) \in \delta_2(q, \alpha)\} \\
& \cup \{((\text{res}_{d,c}^2, q'), MVL) \mid (q', MVL) \in \delta_2(q, \alpha)\} \\
& \cup \{((\text{res}_{d,c}^2, q'), \beta) \mid (q', \beta) \in \delta_2(q, \alpha)\} \\
& \cup \{(\text{res}_{d,c}^2, q') \mid q' \in \delta_2(q, \alpha)\} \\
& \cup \{(\text{Restart}, (\text{res}_{d,c}^2, q_0^{(2)})) \mid \text{Restart} \in \delta_2(q, \alpha)\}.
\end{aligned}$$

In the case that  $M_2$  never reaches a corresponding communication state the simulation of  $M_1$  is stopped, and thus,  $M$  cannot reach the accepting configuration. This is the same behaviour as in the original system  $\mathcal{M}$ :  $M_1$  is stuck while waiting for a corresponding answer from  $M_2$  that is never sent, hence,  $\mathcal{M}$  does not accept the input.

Besides the communication from  $M_1$  with  $M_2$  the former one can communicate with other components (but  $M_2$  cannot, as  $\mathcal{M}$  is centralized). For all  $2 < i \leq n$ ,  $\alpha \in \mathcal{PC}_M$ , and  $q \in Q_2$  define:

$$\begin{aligned} \delta((\text{req}_d^i, q), \alpha) &= \{\text{req}_{[d,q]}^i\}, \\ \delta(\text{rec}_{[d,q],c}^i, \alpha) &= \{(p, q) \mid p \in Q_1, p \in \delta_1(\text{rec}_{d,c}^i, \alpha)\}, \\ \\ \delta((\text{res}_{d,c}^i, q), \alpha) &= \{\text{res}_{[d,q],c}^i\}, \\ \delta(\text{ack}_{[d,q],c}^i, \alpha) &= \{(p, q) \mid p \in Q_1, p \in \delta_1(\text{ack}_{d,c}^i, \alpha)\}. \end{aligned}$$

While  $M$  simulates a communication of  $M_1$ , it stores the current state of  $M_2$  within the local index of the communication state. When continuing the computation after the communication from the corresponding receive or acknowledge state,  $M_2$  can go on with the local computation (except in case that  $M_1$  performs another communication with a component that is not  $M_2$ ).

□

Observe that the constructed component  $M$  contains nonforgetting operations as well as SCO transitions in general. By Corollary 3 an equivalent system without SCO transitions exists. The next lemma deals with the nonforgetting property of the master component.

**Lemma 3.** *Let  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  be a centralized PCRA system of degree  $n > 1$  such that  $M_1$  is a nonforgetting component. Then there exists a system  $\mathcal{M}' = (M'_1, M'_2, M_3, \dots, M_n)$  of the same type but without a nonforgetting component such that  $L(\mathcal{M}) = L(\mathcal{M}')$  holds.*

*Proof.* The basic idea for this proof is to modify  $M_1$  and  $M_2$  in such a way that the computation of  $M_2$  is controlled by  $M_1$ . For this purpose,  $M_2$  always asks  $M_1$  with a request about how it should continue its computation. Now there are two situations when  $M_1$  instructs  $M_2$  to execute computation or communication steps. First,  $M_1$  wants to make a restart and needs  $M_2$  for storing the restart state. And second, to execute a communication step between both. For the latter situation it is important that  $M_2$  can perform local operations to reach the corresponding communication state.  $M'_1$  and  $M'_2$  are constructed by modifying  $M_1$  and  $M_2$  in the following way.

The new initial states are  $\text{req}_R^2$  for  $M'_1$  and  $\text{res}_{[q_0^{(2)}], [q_0^{(1)}]}$  for  $M'_2$ , where  $q_0^{(1)}$  and  $q_0^{(2)}$  are the original initial states of  $M_1$  and  $M_2$ . This reflects the fact that  $M'_1$  asks  $M'_2$  for the restart state after a restart operation, and that  $M'_2$  gives the information to  $M'_1$  that the current state is  $q_0^{(1)}$  and stores its own current state  $q_0^{(2)}$  within the local index. Due to Corollary 2 it can be assumed that the initial states of  $M_1$  and  $M_2$  are not communication states. Together with the definition of

$$p \in \delta'_1(\text{rec}_{R, [p]}^2, \alpha) \quad (1)$$

and

$$\text{req}_{[q]} \in \delta'_2(\text{ack}_{[q], [q_0^{(1)}]}, \beta) \quad (2)$$

for all  $p \in Q_1$ ,  $q \in Q_2$ ,  $\alpha \in \mathcal{PC}_{M_1}$ , and  $\beta \in \mathcal{PC}_{M_2}$  every computation of  $\mathcal{M}'$  starts with

$$\begin{aligned} & (\text{req}_R^2 \downarrow w\$, \text{res}_{[q_0^{(2)}], [q_0^{(1)}]} \downarrow w\$, q_0^{(3)} \downarrow w\$, \dots, q_0^{(n)} \downarrow w\$) \\ \vdash_{\mathcal{M}'} & (\text{rec}_{R, [q_0^{(1)}]}^2 \downarrow w\$, \text{ack}_{[q_0^{(2)}], [q_0^{(1)}]} \downarrow w\$, \dots) \\ \vdash_{\mathcal{M}'} & (q_0^{(1)} \downarrow w\$, \text{req}_{[q_0^{(2)}]} \downarrow w\$, \dots). \end{aligned}$$

Since  $\mathcal{M}$  is centralized, the components  $M_3, \dots, M_n$  work independently from  $M_2$ , and thus, they behave exactly in the same way as in the system  $\mathcal{M}$ . In addition, all communication steps between  $M_1$  and one of the components  $M_3, \dots, M_n$  are realized in the system  $\mathcal{M}'$  as in  $\mathcal{M}$ , because the behaviour of  $M'_1$  differs only from  $M_1$  in the two situations described above (restart, communication with  $M_2$ ). Thus, the configurations of  $M_3, \dots, M_n$  are disregarded in the next considerations. As long as  $M'_1$  does not perform a restart operation or wants to communicate with  $M'_2$ , the second component  $M'_2$  remains within a request state the index of which contains the last state that was reached by its local computation.

When  $M'_1$  wants to make a restart, it sends the restart state to  $M'_2$ , performs the restart, and obtains the restart state from  $M'_2$ . Therefore, we replace every transition of the form  $(\text{Restart}, r) \in \delta_1(p, \alpha)$  as follows:

$$\text{res}_{R, [r]}^2 \in \delta'_1(p, \alpha) \quad (3)$$

$$\text{Restart} \in \delta'_1(\text{ack}_{R, [r]}^2, \alpha) \quad (4)$$

$$\text{res}_{[q], [r]} \in \delta'_2(\text{rec}_{[q], [r]}, \beta) \text{ for all } q \in Q_2 \text{ and } \beta \in \mathcal{PC}_{M_2} \quad (5)$$

$$\text{req}_{[q]} \in \delta'_2(\text{ack}_{[q], [r]}, \beta) \text{ for all } q \in Q_2 \text{ and } \beta \in \mathcal{PC}_{M_2} \quad (6)$$

Assume that  $M'_1$  and  $M'_2$  are in the configurations  $upv$  and  $x\text{req}_{[q]}y$ , and  $M'_1$  wants to perform a restart (e.g.  $(\text{Restart}, r) \in \delta_1(p, \pi_{M_1}(v))$ ). Then  $\mathcal{M}'$  executes the following computation with the result that  $M'_1$  reaches the restart configuration

with the given restart state  $r$  and  $M'_2$  reaches the same configuration as before the restart of  $M'_1$ :

$$\begin{aligned}
& (upv, xreq_{[q]}y, \dots) && (7) \\
\vdash_{\mathcal{M}'} (ures_{R,[r]}^2 v, xreq_{[q]}y, \dots) && \text{(because of 3)} \\
\vdash_{\mathcal{M}'} (uack_{R,[r]}^2 v, xrec_{[q],[r]}y, \dots) && \text{(comm. step)} \\
\vdash_{\mathcal{M}'} (req_R^2 uv, xres_{[q],[r]}y, \dots) && \text{(because of 4 and 5)} \\
\vdash_{\mathcal{M}'} (rec_{R,[r]}^2 uv, xack_{[q],[r]}y, \dots) && \text{(comm. step)} \\
\vdash_{\mathcal{M}'} (ruv, xreq_{[q]}y, \dots) && \text{(because of 1 and 6)}
\end{aligned}$$

To simulate communications between  $M_1$  and  $M_2$  in  $\mathcal{M}$ , it is necessary to let  $M'_2$  perform the local computation that  $M_2$  does before the communication in the system  $\mathcal{M}$ . To start the local computation of  $M'_2$  and arrange the communication, the transition

$$q \in \delta'_2(\text{rec}_{[q],L}, \beta) \quad (8)$$

is added for all  $q \in Q_2$  and  $\beta \in \mathcal{PC}_{M_2}$ , where ‘ $L$ ’ stands for ‘local computation’ (see below). When  $M'_2$  receives the information ‘ $L$ ’ from  $M'_1$  it changes into the state  $q$  via an SCO transition, where  $q$  is the last state reached by the local computation of  $M'_2$  and that is stored in the local index of the communication state. All transitions of the form  $\text{req}_d^2 \in \delta_1(p, \alpha)$  and  $\text{res}_{d',c} \in \delta_2(q, \beta)$  are replaced as follows:

$$\text{res}_{req(d),L}^2 \in \delta'_1(p, \alpha) \quad (9)$$

$$\text{req}_{req(d)}^2 \in \delta'_1(\text{ack}_{req(d),L}^2, \alpha) \quad (10)$$

$$\text{rec}_{d,c}^2 \in \delta'_1(\text{rec}_{req(d),res(c)}^2, \alpha) \quad (11)$$

$$\text{res}_{req(d),L}^2 \in \delta'_1(\text{rec}_{req(d),[q_0^{(1)}]}^2, \alpha), \quad (12)$$

$$\text{res}_{[ack_{d',c}],res(c)} \in \delta'_2(q, \beta), \quad (13)$$

$$\text{req}_{[ack_{d',c}]} \in \delta'_2(\text{ack}_{[ack_{d',c}],res(c)}, \beta). \quad (14)$$

With the lines (9), (10), and (11)  $M'_1$  asks  $M'_2$  for continuing the local computation, awaits the answer from  $M'_2$ , and changes in the original receive state if  $M'_2$  sends a corresponding answer. The original communication state  $\text{req}_d$  of  $M_1$  is stored in the local index of the used communication states. Line (12) is needed, when  $M'_2$  performs a restart during the local computation, thus when it is set into its initial state  $\text{res}_{[q_0^{(2)}],[q_0^{(1)}]}$  and sends  $M'_1$  the initial state  $q_0^{(1)}$ .  $M'_1$  can detect this situation, since the received information is obviously not an expected communication answer.

Thus,  $M'_1$  asks  $M'_2$  again to continue the local computation and awaits the answer of the initiated communication. After the restart,  $M'_2$  goes on with the local computation from the restart configuration (using line (8)). When  $M'_2$  reaches a communication state, it sends this through a response to  $M'_1$  (line (13)), stores the acknowledge state corresponding to the currently performed communication in the local index, and again awaits new instructions from  $M'_1$  by waiting in a request state.

In the simulated situation above  $M_1$  reaches a request state and  $M_2$  reaches the corresponding response state. The following modifications describe the other way around. Hence, replace  $\text{res}_{d,c}^2 \in \delta_1(p, \alpha)$  and  $\text{req}_{d'}$   $\in \delta_2(q, \beta)$  with:

$$\text{res}_{\text{res}(d,c),L}^2 \in \delta'_1(p, \alpha), \quad (15)$$

$$\text{req}_{\text{res}(d,c)}^2 \in \delta'_1(\text{ack}_{\text{res}(d,c),L}^2, \alpha), \quad (16)$$

$$\text{res}_{d,c}^2 \in \delta'_1(\text{rec}_{\text{res}(d,c),\text{req}}^2, \alpha), \quad (17)$$

$$\text{res}_{\text{res}(d,c),L}^2 \in \delta'_1(\text{rec}_{\text{res}(d,c),[q_0^{(1)}]}^2, \alpha), \quad (18)$$

$$\text{res}_{\text{rec}(d'),\text{req}} \in \delta'_2(q, \beta), \quad (19)$$

$$\text{req}_{\text{rec}(d')} \in \delta'_2(\text{ack}_{\text{rec}(d'),\text{req}}, \beta), \quad (20)$$

$$\text{req}_{[\text{rec}(d'),c]} \in \delta'_2(\text{rec}_{\text{rec}(d'),c}, \beta). \quad (21)$$

One additional aspect in comparison to the former direction of the communication (lines (9) to (14)) is the fact that in this case (lines (15) to (21))  $M'_1$  has to send the information  $c$  to  $M'_2$ . This is done through the original response state  $\text{res}_{d,c}^2$  (line (17)) and the additional request of line (20).

As mentioned above, within the local computation of  $M'_2$  a restart is possible ( $M'_2$  is set into its restart state), while  $M'_1$  is waiting for a communication answer (see lines (10) and (16), respectively). This leads to one of the following two computations, depending on the original communication state of  $M_1$ :

$$\begin{aligned} & (\text{ureq}_{\text{req}(d)}^2 v, \text{res}_{[q_0^{(2)}],[q_0^{(1)}]} xy, \dots) & (22) \\ \vdash_{\mathcal{M}'} & (\text{urec}_{\text{req}(d),[q_0^{(1)}]}^2 v, \text{ack}_{[q_0^{(2)}],[q_0^{(1)}]} xy, \dots) & (\text{comm. step}) \\ \vdash_{\mathcal{M}'} & (\text{ures}_{\text{req}(d),L}^2 v, \text{req}_{[q_0^{(2)}]} xy, \dots) & (\text{because of 12 and 2}) \\ \vdash_{\mathcal{M}'} & (\text{uack}_{\text{req}(d),L}^2 v, \text{rec}_{[q_0^{(2)}],L} xy, \dots) & (\text{comm. step}) \\ \vdash_{\mathcal{M}'} & (\text{ureq}_{\text{req}(d)}^2 v, q_0^{(2)} xy, \dots) & (\text{because of 10 and 8}) \end{aligned}$$



$$\begin{aligned}
& (ureq_{res(d,c)}^2 v, res_{[q_0^{(2)}], [q_0^{(1)}]} xy, \dots) & (23) \\
\vdash_{\mathcal{M}'} & (urec_{res(d,c), [q_0^{(1)}]}^2 v, ack_{[q_0^{(2)}], [q_0^{(1)}]} xy, \dots) & (\text{comm. step}) \\
\vdash_{\mathcal{M}'} & (ures_{res(d,c), L}^2 v, req_{[q_0^{(2)}]} xy, \dots) & (\text{because of 18 and 2}) \\
\vdash_{\mathcal{M}'} & (uack_{res(d,c), L}^2 v, rec_{[q_0^{(2)}], L} xy, \dots) & (\text{comm. step}) \\
\vdash_{\mathcal{M}'} & (ureq_{res(d,c)}^2 v, q_0^{(2)} xy, \dots) & (\text{because of 16 and 8})
\end{aligned}$$

Consider a communication between  $M_1$  and  $M_2$  within a computation of  $\mathcal{M}$ :

$$(ureq_d^2 v, xres_{d',c} y, \dots) \vdash_{\mathcal{M}} (urec_{d,c}^2 v, xack_{d',c} y, \dots).$$

To reach this communication step there have to exist states  $p \in Q_1$ ,  $q \in Q_2$  and transitions  $req_d^2 \in \delta_1(p, \pi_{M_1}(v))$ ,  $res_{d',c} \in \delta_2(q, \pi_{M_2}(y))$ . Thus,  $\mathcal{M}'$  performs the following computation:

$$\begin{aligned}
& (upv, x'req_{[q']} y', \dots) & (24) \\
\vdash_{\mathcal{M}'} & (ures_{req(d), L}^2 v, x'req_{[q']} y', \dots) & (\text{because of 9}) \\
\vdash_{\mathcal{M}'} & (uack_{req(d), L}^2 v, x'rec_{[q'], L} y', \dots) & (\text{comm. step}) \\
\vdash_{\mathcal{M}'} & (ureq_{req(d)}^2 v, x'q'y', \dots) & (\text{because of 10 and 8}) \\
\vdash_{\mathcal{M}'}^* & (ureq_{req(d)}^2 v, xqy, \dots) & (\text{local comp. of } M_2') \\
\vdash_{\mathcal{M}'} & (ureq_{req(d)}^2 v, xres_{[ack_{d',c}], res(c)} y, \dots) & (\text{because of 13}) \\
\vdash_{\mathcal{M}'} & (urec_{req(d), res(c)}^2 v, xack_{[ack_{d',c}], res(c)} y, \dots) & (\text{comm. step}) \\
\vdash_{\mathcal{M}'} & (urec_{d,c}^2 v, xreq_{[ack_{d',c}]} y, \dots) & (\text{because of 11 and 14})
\end{aligned}$$

The communication in the opposite direction of the form

$$(ures_{d,c}^2 v, xreq_{d'} y, \dots) \vdash_{\mathcal{M}} (uack_{d,c}^2 v, xrec_{d',c} y, \dots)$$

is simulated in  $\mathcal{M}'$  in the following way:

$$\begin{aligned}
& (upv, x' \text{req}_{[q']} y', \dots) && (25) \\
\vdash_{\mathcal{M}'} (u \text{res}_{\text{res}(d,c),L}^2 v, x' \text{req}_{[q']} y', \dots) &&& \text{(because of 15)} \\
\vdash_{\mathcal{M}'} (u \text{ack}_{\text{res}(d,c),L}^2 v, x' \text{rec}_{[q'],L} y', \dots) &&& \text{(comm. step)} \\
\vdash_{\mathcal{M}'} (u \text{req}_{\text{res}(d,c)}^2 v, x' q' y', \dots) &&& \text{(because of 16 and 8)} \\
\vdash_{\mathcal{M}'}^* (u \text{req}_{\text{res}(d,c)}^2 v, x q y, \dots) &&& \text{(local comp. of } M_2') \\
\vdash_{\mathcal{M}'} (u \text{req}_{\text{res}(d,c)}^2 v, x \text{res}_{\text{rec}(d'), \text{req}} y, \dots) &&& \text{(because of 19)} \\
\vdash_{\mathcal{M}'} (u \text{rec}_{\text{res}(d,c), \text{req}}^2 v, x \text{ack}_{\text{rec}(d'), \text{req}} y, \dots) &&& \text{(comm. step)} \\
\vdash_{\mathcal{M}'} (u \text{res}_{d,c}^2 v, x \text{req}_{\text{rec}(d')} y, \dots) &&& \text{(because of 17 and 20)} \\
\vdash_{\mathcal{M}'} (u \text{ack}_{d,c}^2 v, x \text{rec}_{\text{rec}(d'), c} y, \dots) &&& \text{(comm. step)} \\
\vdash_{\mathcal{M}'} (u' p' v', x \text{req}_{[\text{rec}_{d',c}]} y, \dots) &&& \text{(because of 21)}
\end{aligned}$$

Now  $L(\mathcal{M}) = L(\mathcal{M}')$  can be shown by induction on the length of the computation.  $L(\mathcal{M}) = L(\mathcal{M}')$  holds iff  $\kappa \vdash_{M_1, \mathcal{M}}^* \text{Accept} \Leftrightarrow \kappa \vdash_{M_1', \mathcal{M}'}^* \text{Accept}$  for a configuration  $\kappa$  that can be reached from the initial configuration. For zero computation steps  $\kappa = \text{Accept}$ , and the equivalence holds. For exactly one computation step  $\kappa = uqv$  for a state  $q$  and a tape content  $uv$ , it is

$$\begin{aligned}
uqv \vdash_{M_1, \mathcal{M}} \text{Accept} &\Leftrightarrow \text{Accept} \in \delta_1(q, \pi_{M_1}(v)) \\
&\Leftrightarrow \text{Accept} \in \delta_1'(q, \pi_{M_1'}(v)) \\
&\Leftrightarrow uqv \vdash_{M_1', \mathcal{M}'} \text{Accept}.
\end{aligned}$$

For more than one computation step, we have the following:

$$\begin{aligned}
uqv \vdash_{M_1, \mathcal{M}}^* \text{Accept} &\Leftrightarrow \exists \text{configuration } u'q'v' : uqv \vdash_{M_1, \mathcal{M}} u'q'v' \\
&\quad \vdash_{M_1, \mathcal{M}}^* \text{Accept} \\
&\Leftrightarrow \exists \text{configuration } u'q'v' : uqv \vdash_{M_1', \mathcal{M}'}^* u'q'v' && (*) \\
&\quad \vdash_{M_1', \mathcal{M}'}^* \text{Accept} && (**)
\end{aligned}$$

Line (\*) holds for a MVR, MVL, or rewrite operation, since  $M_1$  and  $M_1'$  behave in the same way for these cases. The same argument holds for a communication with any component except  $M_2$ . For a restart operation the validity was shown in (7). For a communication with  $M_2$  the validity was shown in (24) and (25). Line (\*\*) follows from the induction hypothesis. Since  $uqv$  is an arbitrary configuration, this part of the proof also holds for  $\kappa$  as the initial configuration of  $M_1$ . Hence,  $L(\mathcal{M}) = L(\mathcal{M}')$  can be concluded.  $\square$

Observe that independently of whether the original system  $\mathcal{M}$  contains SCO transitions or not, new SCO transitions can result within the proof of Lemma 3 through lines (1) and (8). Due to Corollary 3 there exists an equivalent system without SCO transitions.

With the previous preparations we can now conclude the most important result of this section, namely that centralization is not a restriction for PCRA systems, that is, centralized and non-centralized PCRA systems have the same computational power. Formally, we state this in the following corollaries.

**Corollary 4.** *For every PC-X-system  $\mathcal{M}$  ( $X \in \mathcal{T}$ ), there exists a cPC-X-system  $\mathcal{M}'$  with  $L(\mathcal{M}) = L(\mathcal{M}')$  such that  $\mathcal{M}'$  has the same number of components as  $\mathcal{M}$ .*

*Proof.* Systems of degree one are per definition centralized. For every non-centralized PCRA system of a higher degree, there exists an equivalent centralized system with an additional master component due to Theorems 4 and 5. According to Lemma 2 this additional component can be merged with the second component of the system, hence the new system has the same degree as the original non-centralized system, but the nonforgetting property is necessary (in general) for the first component of the constructed system. Using Lemma 3, we can avoid the use of this property.  $\square$

Further, the system constructed within the proofs of Theorem 4, Theorem 5, Lemma 2, and Lemma 3 accepts the input if and only if the first component reaches the accepting configuration. Thus, we can conclude the following:

**Corollary 5.** *For every PCRA system  $\mathcal{M}$ , there exists a centralized system  $\mathcal{M}'$  of the same type and the same degree with  $L(\mathcal{M}) = L(\mathcal{M}')$  such that  $\mathcal{M}'$  accepts an input if and only if the first component of  $\mathcal{M}'$  accepts.*

Moreover, the construction of the centralized system is deterministic, hence the following two corollaries can be concluded.

**Corollary 6.** *Theorem 4, Theorem 5, Lemma 2, and Lemma 3 also hold for locally deterministic systems. Particularly, for every det-local-PC-X-system  $\mathcal{M}$  ( $X \in \mathcal{T}$ ), a det-local-cPC-X-system  $\mathcal{M}'$  exists with  $L(\mathcal{M}) = L(\mathcal{M}')$  such that  $\mathcal{M}'$  has the same number of components as  $\mathcal{M}$ .*

**Corollary 7.**  $\mathcal{L}(\text{det-global-PC-X}) = \mathcal{L}(\text{det-local-PC-X})$  for all  $X \in \mathcal{T}$ .

*Proof.* Every globally deterministic system is a locally deterministic system as well. In addition, from Corollary 6 we see that, for every locally deterministic

system  $\mathcal{M}$ , there exists a centralized locally deterministic system  $\mathcal{M}'$  with  $L(\mathcal{M}) = L(\mathcal{M}')$ . Moreover, the constructed system (see the proofs of Theorem 4, Theorem 5, Lemma 2, and Lemma 3) is globally deterministic, since the system accepts any input if and only if the first component of the system accepts. Thus, for every locally deterministic PCRA system, there exists an equivalent (centralized) globally deterministic system.  $\square$

Due to Corollary 7 it is not longer necessary to distinguish between locally deterministic and globally deterministic PCRA systems. Therefore, in what follows the term *deterministic* will be used for systems with deterministic components - independent of which component is allowed to accept. Deterministic systems are marked with the prefix 'det-'.

Since all constructions used for centralization do not influence the rewrite steps, the centralization results can be carried over to systems with monotone components, and instead of distinguishing between the two types **det-local-mon-PC-X** and **det-global-mon-PC-X**, we just use **det-mon-PC-X** in further considerations.

Using the constructions presented in this section, we can make another useful observation concerning communication deadlocks in centralized systems. Although a component of a (non-centralized) system is stuck due to an unanswered communication, this does not give a disturbance of the communication or computation of the constructed centralized system. Mainly two basic facts are responsible for this: 1) The components are somehow 'communicational complete'. This means that, whenever the local computation of a component cannot be continued because of a nondefined transition or because it is waiting for an answer for a communication, the component sends an according message to the master. 2) The master component is programmed in such a way that it stores the situations of all components, and thus, it is able to handle all communication situations.

We summarize this section by the following corollary.

**Corollary 8.** *For every PCRA system  $\mathcal{M}$ , there can be effectively constructed a centralized system  $\mathcal{M}'$  with the following properties:*

1.  $\mathcal{M}'$  is of the same type as  $\mathcal{M}$ ,
2.  $\mathcal{M}'$  has the same number of components as  $\mathcal{M}$ ,
3.  $\mathcal{M}'$  accepts the input if and only if its first component accepts, and
4.  $\mathcal{M}'$  cannot reach a communication deadlock.

## 5.4 Systems of nonforgetting restarting automata

The computation of a restarting automaton consists of different types of transition steps. One type is the restart operation that puts the finite control into the dedicated initial state and repositions the read/write window on the left end of the working tape. Therefore, the information about the current state and the window's position of the last cycle is lost ('forgotten'). At first view, it seems possible to store the current state and window position by writing it on the tape, but this method is quite restricted according to the type of the automaton (auxiliary symbols are needed), the size of the read/write window (it has to be larger than one), the length-reducing rewriting, and whether the window is allowed to move left in the deterministic case (this is required if the information about the state and window position is needed before it can be read from the tape).

A nonforgetting restarting automaton contains an additional set of restarting states (that is a subset of all states), and a restart operation now allows the automaton to change to a determined restart state (instead of the initial state) - depending on the current configuration. If all states of the automaton are allowed to be used as restart states, then the set of restart states can be omitted. This type of automata was introduced in [MS04] and investigated in [JO07, Mes07, Mes08, MO06, MO11].

It depends on the type of automaton whether the nonforgetting property yields an increase in the expressive power or not. This also depends on other properties like monotonicity, determinism, and shrinking<sup>6</sup>. For deterministic restarting automata Hartmut Messerschmidt shows in [Mes07] by using the copy language that for all automata of type  $R(R)(W)(W)$ , the nonforgetting property gives more expressive power. For deterministic two-way restarting automata  $(RL(W)(W))$  it is open whether the nonforgetting property increases the expressive power.

**Theorem 6.** [Mes07]  $\mathcal{L}(det-X) \subset \mathcal{L}(det-nf-X)$  holds for all  $X \in \mathcal{T}_R$  .

The following results show that in the case of monotone restarting automata, the usage of auxiliary symbols compensates for the nonforgetting property (see [MO06, Ott06]). One-way monotone nonforgetting restarting automata without auxiliary symbols that restart immediately after a rewriting are more powerful than those without the nonforgetting property (see [MO06]).

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<sup>6</sup>In contrast to usual restarting automata, the rewrite operation of a shrinking restarting automaton does not necessarily have to shorten the length of the tape content. Instead, there exists a weight function that associates a weight to the tape content, and in each rewrite step this weight must decrease.

**Theorem 7.** [MO06, Ott06]

$$\begin{aligned}
\mathcal{L}(\text{mon-R}) &\subset \mathcal{L}(\text{mon-nf-R}) \\
\mathcal{L}(\text{mon-RW}) &\subset \mathcal{L}(\text{mon-nf-RW}) \\
\mathcal{L}(\text{mon-RWW}) &= \mathcal{L}(\text{mon-nf-RWW}) \quad (= \text{CFL}) \\
\mathcal{L}(\text{mon-RRWW}) &= \mathcal{L}(\text{mon-nf-RRWW}) \quad (= \text{CFL}) \\
\mathcal{L}(\text{mon-RLWW}) &= \mathcal{L}(\text{mon-nf-RLWW}) \quad (= \text{CFL})
\end{aligned}$$

Results for restarting automata that are deterministic and monotone are summarized in the following theorem.

**Theorem 8.** [JMPV95, Mes07, MO06, MO11]

$$\begin{aligned}
(\text{DCFL} =) \quad \mathcal{L}(\text{det-mon-R}) &= \mathcal{L}(\text{det-mon-nf-R}) \\
(\text{DCFL} =) \quad \mathcal{L}(\text{det-mon-RW}) &= \mathcal{L}(\text{det-mon-nf-RW}) \\
(\text{DCFL} =) \quad \mathcal{L}(\text{det-mon-RWW}) &= \mathcal{L}(\text{det-mon-nf-RWW}) \\
(\text{DCFL} =) \quad \mathcal{L}(\text{det-mon-RR}) &\subset \mathcal{L}(\text{det-mon-nf-RR}) \\
(\text{DCFL} =) \quad \mathcal{L}(\text{det-mon-RRW}) &\subset \mathcal{L}(\text{det-mon-nf-RRW}) \\
(\text{DCFL} =) \quad \mathcal{L}(\text{det-mon-RRWW}) &\subset \mathcal{L}(\text{det-mon-nf-RRWW}) \\
(\text{LRR} =) \quad \mathcal{L}(\text{det-mon-RL}) &= \mathcal{L}(\text{det-mon-nf-RL}) \\
(\text{LRR} =) \quad \mathcal{L}(\text{det-mon-RLW}) &= \mathcal{L}(\text{det-mon-nf-RLW}) \\
(\text{LRR} =) \quad \mathcal{L}(\text{det-mon-RLWW}) &= \mathcal{L}(\text{det-mon-nf-RLWW})
\end{aligned}$$

For shrinking nonforgetting restarting automata the equivalence to the forgetting variants was shown for sh-RRWW, sh-RWW, and det-sh-RLWW automata in [JO07, Mes07, MO11].

**Theorem 9.** [JO07, Mes07, MO11]

$$\begin{aligned}
\mathcal{L}(\text{det-sh-RLWW}) &= \mathcal{L}(\text{det-nf-sh-RLWW}) \\
\mathcal{L}(\text{sh-RRWW}) &= \mathcal{L}(\text{nf-sh-RRWW}) \\
\mathcal{L}(\text{sh-RWW}) &= \mathcal{L}(\text{nf-sh-RWW})
\end{aligned}$$

For general nondeterministic restarting automata there are no explicit results concerning the difference between forgetting and nonforgetting automata. Nevertheless the language

$$L = \{a^n b^n \mid n \geq 0\} \cup \{a^n b^m \mid m > 2n \geq 0\}$$

is an easy example to show that also in the (general) nondeterministic case, the expressive power can increase due to the nonforgetting property. This language cannot be accepted by any restarting automaton without auxiliary symbols (see

[Ott06]), but it can be accepted by the nf-R-automaton  $M := (\{q_0, q_1, q_2\}, \{a, b\}, \{a, b\}, \phi, \$, q_0, \delta, \{q_0, q_1, q_2\})$  described by the following meta-instructions:

$$\begin{array}{ll} (q_0, \phi \$, \text{Accept}), & \\ (q_0, \phi ab \$, \text{Accept}), & (q_0, \phi abb \cdot b^+ \cdot \$, \text{Accept}), \\ (q_0, \phi a^*, aabb \rightarrow ab, q_1), & (q_0, \phi a^*, aabbb \rightarrow ab, q_2), \\ (q_1, \phi a^*, aabb \rightarrow ab, q_1), & (q_2, \phi a^*, aabbb \rightarrow ab, q_2), \\ (q_1, \phi ab \$, \text{Accept}), & (q_2, \phi abb \cdot b^+ \cdot \$, \text{Accept}). \end{array}$$

**Corollary 9.**  $\mathcal{L}(X) \subset \mathcal{L}(\text{nf-}X)$  holds for all  $X \in \{R, RW, RR, RRW, RL, RLW\}$ .

Now we will prove that a nonforgetting restarting automaton of an arbitrary type can be simulated by a PCRA system with two forgetting components of the same type. Trivially, a nonforgetting automaton cannot be simulated in general by a PCRA system of only one forgetting component of the same type. This holds particularly for types  $X$  with  $\mathcal{L}(X) \subset \mathcal{L}(\text{nf-}X)$ .

**Theorem 10.** For every type  $X \in \mathcal{T}$ ,  $\mathcal{L}(\text{nf-}X) \subseteq \mathcal{L}(\text{PC-}X(2))$ .

*Proof.* The proof idea is to construct, for a given nonforgetting automaton  $M = (Q, \Sigma, \Gamma, \phi, \$, q_0, k, \delta, Q_R)$ , an equivalent system  $\mathcal{M} = (M_1, M_2)$ , where the first component works mainly like  $M$ . Only when  $M$  performs a restart,  $M_1$  sends the corresponding restart state to the second component  $M_2$ , makes the restart (without restart state, but changing into the initial state), then requests the restart state from  $M_2$ , and changes into the received restart state immediately.  $M_1$  and  $M_2$  are defined formally as follows:

$$\begin{aligned} M_1 &= (Q_1, \Sigma, \Gamma, \phi, \$, \text{req}, k, \delta_1), \\ M_2 &= (Q_2, \Sigma, \Sigma, \phi, \$, \text{res}_{q_0}, 1, \delta_2) \text{ with} \end{aligned}$$

- (1)  $Q_1 = Q \cup \{\text{req}\} \cup \{\text{res}_q, \text{rec}_q, \text{ack}_q \mid q \in Q_R\}$ ,
- (2)  $Q_2 = \{\text{req}\} \cup \{\text{res}_q, \text{rec}_q, \text{ack}_q \mid q \in Q_R\}$ ,
- (3) for all  $p, q \in Q$  and  $\alpha, \beta \in \mathcal{PC}^{(k)}$  define:

$$\begin{aligned} (p, \beta) \in \delta_1(q, \alpha) &\Leftrightarrow (p, \beta) \in \delta(q, \alpha), \\ (p, \text{MVR}) \in \delta_1(q, \alpha) &\Leftrightarrow (p, \text{MVR}) \in \delta(q, \alpha), \\ (p, \text{MVL}) \in \delta_1(q, \alpha) &\Leftrightarrow (p, \text{MVL}) \in \delta(q, \alpha), \\ \text{Accept} \in \delta_1(q, \alpha) &\Leftrightarrow \text{Accept} \in \delta(q, \alpha), \end{aligned}$$

(4) for all transitions  $(\text{Restart}, q) \in \delta(p, \alpha)$  define:

$$\begin{aligned} \text{res}_q &\in \delta_1(p, \alpha), \\ \delta_1(\text{ack}_q, \alpha) &= \{\text{Restart}\}, \end{aligned}$$

(5) for all  $q \in Q_R$  and  $\alpha \in \{\phi\} \cdot (\Gamma^{k-1} \cup (\Gamma^{\leq k-2} \cdot \{\$\}))$  define:

$$\delta_1(\text{rec}_q, \alpha) = \{q\},$$

(6) for all  $q \in Q_R$  define:

$$\begin{aligned} \delta_2(\text{rec}_q, \phi) &= \{\text{res}_q\}, \\ \delta_2(\text{ack}_q, \phi) &= \{\text{req}\}. \end{aligned}$$

It remains to show that  $L(M) = L(\mathcal{M})$ . Therefore, proceeding by induction on the length of the computation of  $M$ , we prove that for all configurations  $\kappa$  not containing a communication state, the following holds:

$$\forall w \in \Sigma^* : q_0 \phi w \$ \vdash_M^* \kappa \quad \Leftrightarrow \quad \text{req} \phi w \$ \vdash_{M_1, \mathcal{M}}^* \kappa.$$

Induction basis (one computation step): Let  $w \in \Sigma^*$  be an arbitrary input word.

Then:

$$q_0 \phi w \$ \vdash_M \kappa$$

- $\Leftrightarrow$  1)  $\kappa = \text{Accept}$  and  $\text{Accept} \in \delta(q_0, \pi_k(\phi w \$))$ , or
- 2)  $\kappa = \phi q w \$$  for a  $q \in Q$  (MVR step) and  $(q, \text{MVR}) \in \delta(q_0, \pi_k(\phi w \$))$ , or
- 3)  $\kappa = \phi u q v \$$  for a  $q \in Q$  (rewrite step) and  $(q, \phi u) \in \delta(q_0, \pi_k(\phi w \$))$   
(A MVL step as well as a restart are not possible in the first computation step, as the read/write window stands on the left border of the tape, and there was no rewrite operation before, respectively.)
- $\Leftrightarrow$  1)  $\kappa = \text{Accept}$  and  $\text{Accept} \in \delta_1(q_0, \pi_k(\phi w \$))$ , or
- 2)  $\kappa = \phi q w \$$  for a  $q \in Q$  and  $(q, \text{MVR}) \in \delta_1(q_0, \pi_k(\phi w \$))$ , or
- 3)  $\kappa = \phi u q v \$$  for a  $q \in Q$  and  $(q, \phi u) \in \delta_1(q_0, \pi_k(\phi w \$))$
- $\Leftrightarrow$   $(\text{req} \phi w \$, \text{res}_{q_0} \phi w \$) \vdash_{\mathcal{M}} (\text{rec}_{q_0} \phi w \$, \text{ack}_{q_0} \phi w \$) \vdash_{\mathcal{M}} (q_0 \phi w \$, \text{req} \phi w \$)$  and
- 1)  $\kappa = \text{Accept}$  and  $q_0 \phi w \$ \vdash_{M_1, \mathcal{M}} \text{Accept}$ , or
- 2)  $\kappa = \phi q w \$$  for a  $q \in Q$  and  $q_0 \phi w \$ \vdash_{M_1, \mathcal{M}} \phi q w \$$ , or
- 3)  $\kappa = \phi u q v \$$  for a  $q \in Q$  and  $q_0 \phi w \$ \vdash_{M_1, \mathcal{M}} \phi u q v \$$
- $\Leftrightarrow \text{req} \phi w \$ \vdash_{M_1, \mathcal{M}}^3 \kappa$



Induction step: Let  $w \in \Sigma^*$  be an arbitrary input word. Then:

$$q_0\phi w\$ \vdash_M^r \kappa \quad (r > 1)$$

$\Leftrightarrow$  There exists a configuration  $\kappa'$  with:  $q_0\phi w\$ \vdash_M^{r-1} \kappa' \vdash_M \kappa$ .

The configuration  $\kappa'$  does not contain a communication state, since it only contains a state from  $Q$ . If the last computation step is a MVR, MVL, accept, or rewrite step, then

$$\kappa' \vdash_M \kappa \Leftrightarrow \kappa' \vdash_{M_1, \mathcal{M}}^* \kappa$$

follows directly from line (3) of the construction. If it is a restart, then there exist states  $q \in Q_R$ ,  $p \in Q$  and words  $x, y, v$  with  $v \in \Gamma^*$  and  $xy = \phi v\$$  so that  $\kappa = q\phi v\$$ ,  $\kappa' = xpy$ , and  $(\text{Restart}, q) \in \delta(p, \pi_k(y))$ .

$\Leftrightarrow \text{req}\phi w\$ \vdash_{M_1, \mathcal{M}}^* \kappa'$  (induction hypothesis) and

$$\begin{aligned} \delta_1(p, \pi_k(y)) &\ni \text{res}_q, & \delta_2(\text{rec}_q, \phi) &= \{\text{res}_q\}, \\ \delta_1(\text{ack}_q, \pi_k(y)) &= \{\text{Restart}\}, & \delta_2(\text{ack}_q, \phi) &= \{\text{req}\} \\ \delta_1(\text{rec}_q, \pi_k(xy)) &= \{q\}, & & \end{aligned}$$

$\Leftrightarrow \text{req}\phi w\$ \vdash_{M_1, \mathcal{M}}^* \kappa'$  and

$$\begin{aligned} (\kappa', \text{req}\phi w\$) &= (xpy, \text{req}\phi w\$) \vdash_{\mathcal{M}} (x\text{res}_q y, \text{req}\phi w\$) \\ &\vdash_{\mathcal{M}} (x\text{ack}_q y, \text{rec}_q \phi w\$) \vdash_{\mathcal{M}} (\text{req}xy, \text{res}_q \phi w\$) \vdash_{\mathcal{M}} (\text{rec}_q xy, \text{ack}_q \phi w\$) \\ &\vdash_{\mathcal{M}} (qxy, \text{req}\phi w\$) = (q\phi v\$, \text{req}\phi w\$) = (\kappa, \text{req}\phi w\$). \end{aligned}$$

Observe that the current state of  $M_2$  is always **req** except at the very beginning of the computation and during a restart of  $M_1$ . It is reached at the beginning of every computation of  $\mathcal{M}$  as well as after every restart of  $M_1$ . Hence  $\kappa' \vdash_{M_1, \mathcal{M}}^* \kappa$ .

$\Leftrightarrow \text{req}\phi w\$ \vdash_{M_1, \mathcal{M}}^* \kappa$

This holds particularly for  $\kappa = \text{Accept}$ . Furthermore, since  $M_2$  cannot reach the accepting configuration,  $\mathcal{M}$  accepts if and only if  $M_1$  accepts. Thus,  $L(M) = L(\mathcal{M})$ . If  $M$  can perform restarts, then  $M_1$  uses **SCO** transitions. With Corollary 1 and Theorem 2 there exists an automaton  $M'_1$  of the same type but without **SCO** transitions so that  $M'_1 \equiv_c M_1$  and  $L(\mathcal{M}) = L(\mathcal{M}')$  with  $\mathcal{M}' = (M'_1, M_2)$ .  $\square$

At the beginning of this section we stated that for many types of restarting automata the usage of the nonforgetting property causes a proper increase in expressive power. Can this be carried over to systems of restarting automata? In [HOV11] it was proved that in the case of PCRA systems with two (monotone) deterministic RRWW-components, the nonforgetting property is of no advantage

for the expressive power (independently of monotonicity). Within the next proof we carry this result over the general case, that is, to arbitrary many (at least two) components and for any type of restarting automata.

**Theorem 11.**  $\mathcal{L}(nf-PC-X(n)) = \mathcal{L}(PC-X(n))$  for all  $X \in \mathcal{T}$  and  $n \geq 2$ .

*Proof.* In this proof we combine the constructions of the proofs of Theorems 4, 5, 10, Lemmata 2 and 3, and Corollary 3 as follows: In the proofs of Theorems 4 and 5, an equivalent centralized system was constructed for an arbitrary given (non-centralized) PCRA system. This system contains an additional master component that can also be used to store the restart states of the components like in the proof of Theorem 10. Then, Lemmata 2 and 3 can be used to obtain an equivalent system of the same degree, and finally Corollary 3 is used to avoid SCO transitions.

The way in which the restart state is stored by the master component of the centralized system is described now in more detail. Let  $\mathcal{M} = (M, M_1, M_2, \dots, M_n)$  be the centralized system that is constructed in the proofs of Theorems 4 and 5, where  $M = (Q, \Sigma, \Gamma, \phi, \$, q_0, k, \delta)$  is the master component. With  $q_i, \delta_i, \Gamma_i,$  and  $Q_{R_i}$  we denote the initial state, the transition relation, the tape alphabet, and the set of restart states of  $M_i, 1 \leq i \leq n,$  respectively. We modify  $\mathcal{M}$  in the following way:

1. Before executing a restart operation, a component communicates this fact and the corresponding restart state to the master. For this purpose, all transitions of the form  $(\text{Restart}, q) \in \delta_i(p, \alpha)$  are replaced by the transitions  $\text{res}_{[\text{restart}, q]} \in \delta_i(p, \alpha)$  and  $\delta_i(\text{ack}_{[\text{restart}, q]}, \alpha) = \{\text{Restart}\}.$
2. After performing a restart step, a component requests its restart state from the master. Therefore, a request state is used as the new initial state. The original initial state  $q_i$  is replaced by the new initial state  $\text{req}_{\text{restart}},$  and transitions  $\delta_i(\text{rec}_{\text{restart}, [\text{restart}, q]}, \alpha) = \{q\}$  are added for all  $\alpha \in \{\phi\} \cdot (\Gamma_i^{k-1} \cup (\Gamma_i^{\leq k-2} \cdot \{\$\}))$ .
3. At the beginning of each computation of  $\mathcal{M},$  the master has to tell each component its original initial state and then takes up its own original initial state. Therefore, the new initial state of the master is  $\text{res}_{[\text{restart}, q_1]}^1,$  and the following transitions are added to the master:

$$\begin{aligned} \delta(\text{ack}_{[\text{restart}, q_i]}^i, \phi) &= \{\text{res}_{[\text{restart}, q_{i+1}]}^{i+1}\} \text{ for all } 1 \leq i < n, \\ \delta(\text{ack}_{[\text{restart}, q_n]}^n, \phi) &= \{\text{req}_{(*, \dots, *)}^1\}. \end{aligned}$$

Observe that  $q_1, q_2, \dots, q_n,$  and  $\text{req}_{(*, \dots, *)}^1$  are the original initial states of  $M_1, M_2, \dots, M_n,$  and the master component  $M.$  Furthermore, all response

and acknowledge states used in these transitions are new states (they are not contained within the original set of states of  $M$ ).

4. Receiving a restart information (which contains the fact that the component wants to restart as well as the corresponding restart state) from a component, the master has to store this information and to send it back to the component immediately. Hence, we add the following transitions to the master (for all  $1 \leq m \leq n$  and for all  $q \in Q_{Rm}$ ):

$$\begin{aligned} \delta(\text{rec}_{\langle t_1, \dots, t_n \rangle, [\text{restart}, q]}^m, \clubsuit) &= \{\text{res}_{\langle t_1, \dots, t_n \rangle, [\text{restart}, q]}^m\}, \\ \delta(\text{ack}_{\langle t_1, \dots, t_n \rangle, [\text{restart}, q]}^m, \clubsuit) &= \{\text{req}_{\langle t_1, \dots, t_n \rangle}^m\}. \end{aligned}$$

Here  $m$  is the index of the currently asked component, and  $t_1, t_2, \dots, t_n$  are the situations of  $M_1, M_2, \dots, M_n$  (see the proof of Theorem 4 for further details).

Let  $\mathcal{M}' = (M', M'_1, M'_2, \dots, M'_n)$  be the system that we obtain by applying the modifications described above to  $\mathcal{M}$ . The initial configuration of  $\mathcal{M}'$  for an input word  $w$  is

$$(\text{res}_{[\text{restart}, q_1]}^1 \clubsuit w \$, \text{req}_{\text{restart}} \clubsuit w \$, \dots, \text{req}_{\text{restart}} \clubsuit w \$),$$

and each computation of  $\mathcal{M}'$  starts with

$$\begin{aligned} &(\text{res}_{[\text{restart}, q_1]}^1 \clubsuit w \$, \text{req}_{\text{restart}} \clubsuit w \$, \dots, \text{req}_{\text{restart}} \clubsuit w \$) \\ \vdash_{\mathcal{M}'} &(\text{ack}_{[\text{restart}, q_1]}^1 \clubsuit w \$, \text{rec}_{\text{restart}, [\text{restart}, q_1]} \clubsuit w \$, \text{req}_{\text{restart}} \clubsuit w \$, \dots, \text{req}_{\text{restart}} \clubsuit w \$) \\ \vdash_{\mathcal{M}'} &(\text{res}_{[\text{restart}, q_2]}^2 \clubsuit w \$, q_1 \clubsuit w \$, \text{req}_{\text{restart}} \clubsuit w \$, \dots, \text{req}_{\text{restart}} \clubsuit w \$) \\ \vdash_{\mathcal{M}'} &(\text{ack}_{[\text{restart}, q_2]}^2 \clubsuit w \$, *, \text{rec}_{\text{restart}, [\text{restart}, q_2]} \clubsuit w \$, \text{req}_{\text{restart}} \clubsuit w \$, \dots, \text{req}_{\text{restart}} \clubsuit w \$) \\ \vdash_{\mathcal{M}'} &(\text{res}_{[\text{restart}, q_3]}^3 \clubsuit w \$, *, q_2 \clubsuit w \$, \text{req}_{\text{restart}} \clubsuit w \$, \dots, \text{req}_{\text{restart}} \clubsuit w \$) \\ &\quad \vdots \\ \vdash_{\mathcal{M}'} &(\text{res}_{[\text{restart}, q_n]}^n \clubsuit w \$, *, \dots, *, \text{req}_{\text{restart}} \clubsuit w \$) \\ \vdash_{\mathcal{M}'} &(\text{ack}_{[\text{restart}, q_n]}^n \clubsuit w \$, *, \dots, *, \text{rec}_{\text{restart}, [\text{restart}, q_n]} \clubsuit w \$) \\ \vdash_{\mathcal{M}'} &(\text{req}_{\langle *, \dots, * \rangle}^1 \clubsuit w \$, *, \dots, *, q_n \clubsuit w \$). \end{aligned}$$

After reaching the original initial configuration  $q_i \clubsuit w \$$ , a component  $M_i$  starts its local computation without waiting for the other components. In this case  $*$  is used to denote an arbitrary local successor configuration. Observe that the computation  $\text{req}_{\text{restart}} \clubsuit w \$ \vdash_{M'_i, \mathcal{M}'} q_i \clubsuit w \$$  is deterministic for all components  $M'_i$ , so that it is assured that each component reaches its original initial configuration. Now, we can prove

$$q_i \clubsuit w \$ \vdash_{M_i, \mathcal{M}} \kappa \iff q_i \clubsuit w \$ \vdash_{M'_i, \mathcal{M}'}^* \kappa$$

for all  $1 \leq i \leq n$ ,  $w \in \Sigma^*$ , and configurations  $\kappa$  not containing a communication state by induction on the number of restarts in the computation. If the computation does not contain a restart, the equivalence holds, as the modifications above only influence the application of the restart operation but not any other operation. Moreover, the following equivalences hold:

Let  $q_i \wp w \$ \vdash_{M_i, \mathcal{M}}^* \kappa$  contain  $n + 1$  restarts ( $n \geq 0$ ).

$\Leftrightarrow \exists p \in Q_i, q \in Q_{R_i}$ , words  $u, v$  with  $uv \in \{\wp\} \cdot \Gamma_i^* \cdot \{\$\}$  :

$$q_i \wp w \$ \vdash_{M_i, \mathcal{M}}^* upv \vdash_{M_i, \mathcal{M}} quv \vdash_{M_i, \mathcal{M}}^* \kappa,$$

where  $upv \vdash_{M_i, \mathcal{M}} quv$  is a restart (w.l.o.g. the last restart of the computation),  $q_i \wp w \$ \vdash_{M_i, \mathcal{M}}^* upv$  contains  $n$  restarts, and  $quv \vdash_{M_i, \mathcal{M}}^* \kappa$  contains no restart.

$\Leftrightarrow \exists p \in Q_i, q \in Q_{R_i}$ , words  $u, v$  with  $uv \in \{\wp\} \cdot \Gamma_i^* \cdot \{\$\}$  :

$q_i \wp w \$ \vdash_{M'_i, \mathcal{M}'}^* upv, quv \vdash_{M'_i, \mathcal{M}'}^* \kappa$  (because of the induction basis and hypothesis), and  $(\text{Restart}, q) \in \delta_i(p, \pi_k(v))$ .

$\Leftrightarrow \exists p \in Q_i, q \in Q_{R_i}$ , words  $u, v$  with  $uv \in \{\wp\} \cdot \Gamma_i^* \cdot \{\$\}$  :

$$q_i \wp w \$ \vdash_{M'_i, \mathcal{M}'}^* upv, quv \vdash_{M'_i, \mathcal{M}'}^* \kappa, \text{res}_{[\text{restart}, q]} \in \delta'_i(p, \pi_k(v)),$$

$$\delta'_i(\text{ack}_{[\text{restart}, q]}, \pi_k(v)) = \{\text{Restart}\},$$

$$\delta'(\text{rec}_{\langle t_1, \dots, t_n \rangle, [\text{restart}, q]}^i, \wp) = \{\text{res}_{\langle t_1, \dots, t_n \rangle, [\text{restart}, q]}^i\},$$

$$\text{and } \delta'(\text{ack}_{\langle t_1, \dots, t_n \rangle, [\text{restart}, q]}^i, \wp) = \{\text{req}_{\langle t_1, \dots, t_n \rangle}^i\}.$$

$\Leftrightarrow \exists p \in Q_i, q \in Q_{R_i}$ , words  $u, v$  with  $uv \in \{\wp\} \cdot \Gamma_i^* \cdot \{\$\}$  : (here only the interaction between  $M'$  and  $M'_i$  is considered)

$$\begin{array}{l} (\text{res}_{[\text{restart}, q]}^1, \dots, \text{req}_{\text{restart}}, \dots) \\ \vdash_{M'_i, \mathcal{M}'}^* (\text{req}_{\langle t_1, \dots, t_n \rangle}^i, \dots, \text{ures}_{[\text{restart}, q]} v, \dots) \\ \vdash_{M'_i, \mathcal{M}'} (\text{rec}_{\langle t_1, \dots, t_n \rangle, [\text{restart}, q]}^i, \dots, \text{uack}_{[\text{restart}, q]} v, \dots) \\ \vdash_{M'_i, \mathcal{M}'} (\text{res}_{\langle t_1, \dots, t_n \rangle, [\text{restart}, q]}^i, \dots, \text{req}_{\text{restart}} uv, \dots) \\ \vdash_{M'_i, \mathcal{M}'} (\text{ack}_{\langle t_1, \dots, t_n \rangle, [\text{restart}, q]}^i, \dots, \text{rec}_{\text{restart}, [\text{restart}, q]} uv, \dots) \\ \vdash_{M'_i, \mathcal{M}'} (\text{req}_{\langle t_1, \dots, t_n \rangle}^i, \dots, quv, \dots) \end{array}$$

and  $quv \vdash_{M'_i, \mathcal{M}'}^* \kappa$ .

$\Leftrightarrow \exists p \in Q_i, q \in Q_{R_i}$ , words  $u, v$  with  $uv \in \{\wp\} \cdot \Gamma_i^* \cdot \{\$\}$  :

$$q_i \wp w \$ \vdash_{M'_i, \mathcal{M}'}^* upv \vdash_{M'_i, \mathcal{M}'} \text{ures}_{[\text{restart}, q]} v \vdash_{M'_i, \mathcal{M}'} \text{uack}_{[\text{restart}, q]} v$$

$$\vdash_{M'_i, \mathcal{M}'} \text{req}_{\text{restart}} uv \vdash_{M'_i, \mathcal{M}'} \text{rec}_{\text{restart}, [\text{restart}, q]} uv \vdash_{M'_i, \mathcal{M}'} quv \vdash_{M'_i, \mathcal{M}'}^* \kappa.$$

Since this holds particularly for  $\kappa = \text{Accept}$ , it follows that  $L(\mathcal{M}) = L(\mathcal{M}')$ . Observe that due to step two of the construction, the modified components can contain SCO transitions. By Lemmata 2 and 3 and Corollary 3, there exists an equivalent system of the same type, the same number of components, and without

SCO transitions. □

**Remark.** *Theorems 10 and 11 hold for deterministic and/or monotone variants as well, since the modifications are strictly deterministic and influence only the communicational behaviour.*

**Corollary 10.** *For all  $X \in \mathcal{T}$ , if  $\mathcal{L}(X) \subset \mathcal{L}(nf\text{-}X)$ , then  $\mathcal{L}(X) \subset \mathcal{L}(PC\text{-}X)$ .*

Above we saw that every nonforgetting automaton can be simulated by a PC system of (forgetting) restarting automata and, moreover, the property of being nonforgetting is of no advantage for PCRA systems. Now we take a look at the opposite direction, that is, we ask in how far a nonforgetting automaton can simulate a PCRA system? Compared to nonforgetting automata, PCRA systems have important advantages:

1. more working space,
2. parallel reading and modification of the whole input at different positions, and
3. arbitrary much communication.

The next theorem shows how the behaviour of a PCRA system can be simulated by a nonforgetting automaton. For this, every component is only allowed to execute at most a constant number of communication steps within one cycle (independently of the length of the input). To represent the different tapes of the components, the input of the nonforgetting automaton is encoded as

$$\dagger_1 w \$_1 \dagger_2 w \$_2 \dots \dagger_n w \$_n,$$

where  $w$  is the input of (each component of) the system, and  $n$  is the degree of the system. The parallel computation of the system will be serialized by the nonforgetting automaton in such a way that one cycle of a distinguished component corresponds to one cycle of the nonforgetting automaton.

**Theorem 12.** *Let  $\mathcal{M}$  be a PCRA system of degree  $n$  in which every component is of type  $X \in \mathcal{T}$  and executes at most  $m \in \mathbb{N}$  communication steps per cycle. Then there exists an  $nf\text{-}X$ -automaton  $M$  that behaves as follows: whenever the input is  $\dagger_1 w \$_1 \dagger_2 w \$_2 \dots \dagger_n w \$_n$  for a word  $w \in \Sigma^*$ , then  $M$  accepts if and only if  $\mathcal{M}$  accepts  $w$ . The symbols  $\dagger_i$  and  $\$_i$ ,  $1 \leq i \leq n$ , are the mutually different sentinels of the  $i$ -th component of  $\mathcal{M}$  and are not included in  $\Sigma$ .*

*Proof.* Let  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  be a PCRA system of type  $\mathbf{X}$ ,  $\mathbf{X} \in \mathcal{T}$ , and degree  $n$  with  $M_i = (Q_i, \Sigma, \Gamma_i, \phi_i, \$i, q_0^{(i)}, k, \delta_i)$ , where every component executes at most  $m$  communication steps per cycle. We construct an nf- $\mathbf{X}$ -automaton  $M = (Q, \Sigma, \Gamma, \phi, \$, q_{init}, k, \delta, Q_R)$  as follows. The states of  $M$  are of the form  $(q, T)$ , where

$$T = \begin{array}{c|l} & \text{Sequences} \\ \hline 1 & (com_1^{(1)}, \dots, com_{m_1}^{(1)}[x^{(1)}]) \\ 2 & (com_1^{(2)}, \dots, com_{m_2}^{(2)}[x^{(2)}]) \\ \vdots & \vdots \\ n & (com_1^{(n)}, \dots, com_{m_n}^{(n)}[x^{(n)}]) \end{array}$$

is a finite table that contains a sequence of at most  $m$  receive and acknowledge states and possibly **Accept** or  $\perp$  at the end for each component ( $0 \leq m_1, m_2, \dots, m_n \leq m$ ,  $com_j^{(i)} \in \text{REC}(M_i) \cup \text{ACK}(M_i)$  for all  $i \in \{1, \dots, n\}$  and  $j \in \{1, \dots, m_i\}$ ,  $x^{(i)} \in \{\text{Accept}, \perp\}$  for all  $i \in \{1, \dots, n\}$ ). Below we write

$$T = ((com_1^{(1)}, \dots, com_{m_1}^{(1)}[x^{(1)}]), \dots, (com_1^{(n)}, \dots, com_{m_n}^{(n)}[x^{(n)}])) = (S_1, S_2, \dots, S_n)$$

denoting the  $i$ -th sequence with  $S_i$ , and  $S = \emptyset$  for an empty sequence. The sequences can be seen as queues, where an element can only be removed from the left and added at the right. The complete set of states of  $M$  is given indirectly through the description of the transition relation below. The initial state of  $M$  is  $q_{init} = (q_0, (\emptyset, \dots, \emptyset))$ . The tape alphabet is

$$\Gamma = \bigcup_{1 \leq i \leq n} (\Gamma_i \cup \{\phi_i, \$i\}).$$

Now, we describe the way in which  $M$  simulates a computation of  $\mathcal{M}$ . A cycle of  $M$  is divided into four phases:

1. Resolve communications.
2. Check whether any component reached the accepting configuration. If so, then accept the input.
3. Choose the next component to simulate.
4. Simulate the chosen component.

An important fact is that  $M$  is not able to store the current window positions of the simulated components in its finite control. Hence,  $M$  may not change the simulated component during a simulation of a cycle. Otherwise, the window position of the previously simulated component is lost, and its simulation cannot

be continued at the same tape position. Thus,  $M$  always has to simulate a complete cycle of a component, which is finished by a restart operation. Then, in the next cycle, the window position of the component simulated is the left end of the tape section of this component. Thus, a communication step cannot be resolved immediately, at least if the corresponding communication state has not yet been reached by the communication partner. Therefore,  $M$  guesses a possible answer nondeterministically, stores the chosen communication state in the corresponding sequence, and continues with the local computation. Since each component only applies at most  $m$  communications per cycle, all sequences have a constant maximal length. Having finished a cycle, the component and therewith  $M$  restarts. Since  $M$  is a nonforgetting automaton, the sequences do not get lost during the restart operation.

At the beginning of each cycle,  $M$  can resolve communications. This happens through modifying the table within the current state using an SCO transition, where two corresponding states, which are the first elements of two different sequences, are removed. In this phase  $M$  verifies whether the guessed communication steps of the different components correspond to each other. Thereafter,  $M$  can accept the input if and only if there is a sequence  $S_i = (\text{Accept})$ . This means that there is a component  $M_i$  that has reached the accepting configuration, and all of its communications have been resolved.

Until here, the window of  $M$  remains in the leftmost position of the tape. If  $M$  does not accept, it can now simulate another component. For this, only a component with an empty sequence is chosen. A non-empty sequence  $S_i$  means that there are still some communications of  $M_i$  that must be resolved before the local computation of  $M_i$  can continue.

Formally, the behaviour of  $M$  is defined by the following transitions:

1. Move right and choose a component:

$$\begin{aligned} ((q_0, T), \text{MVR}) &\in \delta((q_0, T), \alpha) \text{ for all } T \text{ and } \alpha \in \mathcal{PC}_M, \\ (q_0^{(i)}, T) &\in \delta((q_0, T), \phi_i \alpha) \text{ for all } T \text{ s.t. } S_i = \emptyset, \text{ and all } \phi_i \alpha \in \mathcal{PC}_M. \end{aligned}$$

A component is chosen nondeterministically and only if its sequence is empty. A non-empty sequence means that there is at least one communication left to be resolved or that this component is stuck (when  $\perp$  occurs in the sequence). In both cases there is no need to go on with simulating this component.

2. Simulate a component:

First we define subsets of possible window contents of  $M$  depending on the simulated component and its window content:

$$U_{\alpha,i} = \begin{cases} \{\alpha\}, & \text{if } \alpha \text{ neither contains } \phi \text{ nor } \$, \\ \{\phi_i\beta \mid \alpha = \phi\beta\}, & \text{if } \alpha \text{ contains } \phi \text{ but not } \$, \\ \{\beta\$_i\gamma \mid \alpha = \beta\$ \text{ and } \beta\$_i\gamma \in \mathcal{PC}_M\}, & \text{if } \alpha \text{ contains not } \phi \text{ but } \$, \\ \{\phi_i\beta\$_i\gamma \mid \alpha = \phi\beta\$ \text{ and } \phi_i\beta\$_i\gamma \in \mathcal{PC}_M\}, & \text{if } \alpha \text{ contains } \phi \text{ and } \$.$$

- (a) For all transitions of the form  $(p, \text{MVR}) \in \delta_i(q, \alpha)$ ,

$$((p^{(i)}, T), \text{MVR}) \in \delta((q^{(i)}, T), \alpha')$$

for all possible  $T$  and all  $\alpha' \in U_{\alpha,i}$ . The MVL and rewrite steps are treated similarly although in a rewrite step it must be ensured that only symbols of the currently simulated component are rewritten. If the window of  $M$  contains symbols of the beginning of the tape section of the next component, these symbols are not allowed to be replaced or removed.

- (b) For all transitions of the form  $\text{Restart} \in \delta_i(q, \alpha)$ ,

$$(\text{Restart}, (q_0, T)) \in \delta((q^{(i)}, T), \alpha')$$

for all possible  $T$  and all  $\alpha' \in U_{\alpha,i}$ .

- (c) For all transitions of the form  $\text{Accept} \in \delta_i(q, \alpha)$ ,

- i.  $((q_r, T'), \alpha'') \in \delta((q^{(i)}, T), \alpha')$  for all  $T$  and all  $\alpha' \in U_{\alpha,i}$ . The new table  $T'$  results from  $T$  by adding **Accept** to  $S_i$ , and  $\alpha''$  results from  $\alpha'$  by deleting the first symbol. Since it is not allowed for  $M_i$  to move the window over the left and the right border of the tape, i.e. to the left of  $\phi$  and to the right of  $\$$ , the first symbol of  $M$ 's window is contained within the tape section that belongs to  $M_i$  during the whole simulation. Which symbol of  $M_i$ 's part of the tape is deleted does not matter (even if it is  $\phi_i$  or  $\$_i$ ), because the local computation of  $M_i$  has finished at this point. If a rewrite step already took place in the current cycle, then no additional rewrite step is necessary to apply the following restart operation. Whether a rewrite operation was already executed in the current cycle or not can be stored within the states.

- ii.  $(\text{Restart}, (q_0, T)) \in \delta((q_r, T), \alpha')$  for all  $T$  and all  $\alpha' \in \mathcal{PC}_M$ .

The system may not accept although the component simulated has reached the accepting configuration. There could be some communications of the same cycle that have still not been resolved. Thus, the



information about the acceptance of  $M_i$  is stored in  $S_i$  and can be used after all remaining communications of  $M_i$  have been resolved.

- (d) For all transitions of the form  $\text{req}_d^j \in \delta_i(q, \alpha)$ ,

$$((\text{rec}_{d,c}^j)^{(i)}, T') \in \delta((q^{(i)}, T), \alpha')$$

for all tables  $T$ , all  $\alpha' \in U_{\alpha,i}$ , all  $c$  with  $\text{res}_{d',c}^i \in \text{RES}(M_j)$  and  $\text{rec}_{d,c}^j \in \text{REC}(M_i)$ , and  $S'_i$  in  $T'$  results from  $S_i$  in  $T$  by adding  $\text{rec}_{d,c}^j$ .

- (e) For all transitions of the form  $\text{res}_{d,c}^j \in \delta_i(q, \alpha)$ ,

$$((\text{ack}_{d,c}^j)^{(i)}, T') \in \delta((q^{(i)}, T), \alpha')$$

for all tables  $T$  and all  $\alpha' \in U_{\alpha,i}$ , where  $S'_i$  in  $T'$  results from  $S_i$  in  $T$  by adding  $\text{ack}_{d,c}^j$ .

- (f) For all undefined transitions  $\delta_i(q, \alpha) = \emptyset$ ,

- i.  $((q_r, T'), \alpha'') \in \delta((q^{(i)}, T), \alpha')$  for all  $T$  and all  $\alpha' \in U_{\alpha,i}$ .  $T'$  results from  $T$  by adding  $\perp$  to  $S_i$ , and  $\alpha''$  results from  $\alpha$  by deleting the first symbol as described in item 2(c)i.
- ii.  $(\text{Restart}, (q_0, T)) \in \delta((q_r, T), \alpha')$  for all  $T$  and all  $\alpha' \in \mathcal{PC}_M$  (if this is not already given by (c)ii).

Now,  $S_i$  cannot become empty again, since  $\perp$  cannot be removed from a sequence. Thus,  $M$  never tries to simulate  $M_i$  again in this computation. Nevertheless, all (unresolved) communications of  $M_i$  (of the last cycle) are stored in  $S_i$ , and therefore, they are available within the simulated computations of the other components.

### 3. Resolve communications:

Define for all  $\alpha \in \mathcal{PC}_M$

$$(q_0, T') \in \delta((q_0, T), \alpha)$$

if and only if there exist two sequences  $S_i = (\text{com}_1^{(i)}, \text{com}_2^{(i)}, \dots, \text{com}_{m_i}^{(i)}[x^{(i)}])$  and  $S_j = (\text{com}_1^{(j)}, \text{com}_2^{(j)}, \dots, \text{com}_{m_j}^{(j)}[x^{(j)}])$  in  $T$  such that  $\text{com}_1^{(i)}$  and  $\text{com}_1^{(j)}$  are corresponding communication states, and  $T'$  results from  $T$  by replacing  $S_i$  and  $S_j$  with  $S'_i = (\text{com}_2^{(i)}, \dots, \text{com}_{m_i}^{(i)}[x^{(i)}])$  and  $S'_j = (\text{com}_2^{(j)}, \dots, \text{com}_{m_j}^{(j)}[x^{(j)}])$ .

### 4. Accept the input:

Define

$$\text{Accept} \in \delta((q_0, T), \alpha)$$

for all  $\alpha \in \mathcal{PC}_M$  and for all  $T$  that contain a sequence  $S_i = (\text{Accept})$ . If  $T$  contains such a sequence, then  $M_i$  was simulated, it reached the accepting configuration, and moreover, all communications within the computation of  $M_i$  were resolved.

Now, each computation of  $\mathcal{M}$  is simulated by  $M$  in a sequential manner. The currently simulated component is chosen nondeterministically. The different computation phases are not necessarily executed in the given order (resolve communications, check for acceptance, simulate component). This is possible, as they are independent of each other. If there exists an accepting computation of  $\mathcal{M}$ , then there exists an order of simulating the components such that  $M$  adds **Accept** to the according sequence, all communications of that component are resolved, and  $M$  accepts. If  $\mathcal{M}$  does not accept the input, then  $M$  cannot reach the accepting configuration either. Moreover, if the components of  $\mathcal{M}$  are of type  $X$ , then  $M$  is of type  $\text{nf-}X$ . □

We can assume that the restriction of the constant number of communication steps per cycle in Theorem 12 is indeed essential, and that a system with more than constantly many communications per cycle cannot be simulated by any nonforgetting automaton. As mentioned above there are situations where a communication cannot be resolved immediately (e.g. the communication partner has not reached the corresponding communication state yet). On the other hand, if the nonforgetting automaton tried to simulate the communication partner just for resolving the communication, the information about the current window position of the first simulated component would get lost. So there are two options: storing all communications of a cycle in the finite control or storing the current window position on the tape for each communication step. The first approach only works for constantly many communications per cycle as in Theorem 12. The second approach does not work, as for storing the current window position, the tape has to be shortened for each communication step. Thus, only at most as many communication steps as the length of the working tape content of the simulated component can be simulated. Moreover, since the tape content should not be erased, linearly many auxiliary symbols would be needed. This is obviously a contradiction to the definition of nonforgetting restarting automata.

## 5.5 Closure properties

In this section some typical closure properties of the language classes characterized by PCRA systems are investigated.

**Theorem 13.** *The language classes  $\mathcal{L}((\text{det-})\text{PC-X})$  are closed under union for all  $X \in \mathcal{T}$ .*

*Proof.* Let  $L_1, L_2 \in \mathcal{L}((\text{det-})\text{PC-X})$  for any  $X \in \mathcal{T}$ . Then there exist two PCRA systems  $\mathcal{M} = (M_1, M_2, \dots, M_m)$  and  $\mathcal{N} = (N_1, N_2, \dots, N_n)$  of type  $(\text{det-})\text{PC-X}$  with  $L(\mathcal{M}) = L_1$  and  $L(\mathcal{N}) = L_2$ . Now, the system  $\mathcal{A} = (M_1, M_2, \dots, M_m, N_1, N_2, \dots, N_n)$  accepts exactly the language  $L(\mathcal{M}) \cup L(\mathcal{N}) = L_1 \cup L_2$ , as it accepts an input if and only if at least one component of  $\mathcal{M}$  or  $\mathcal{N}$  reaches the accepting configuration (that is, if and only if  $\mathcal{M}$  or  $\mathcal{N}$  accepts). Moreover,  $\mathcal{A}$  is of the same type as  $\mathcal{M}$  and  $\mathcal{N}$ , since  $\mathcal{A}$  uses only the original components of  $\mathcal{M}$  and  $\mathcal{N}$ . Thus,  $L_1 \cup L_2 \in \mathcal{L}((\text{det-})\text{PC-X})$ .  $\square$

**Theorem 14.** *The language classes  $\mathcal{L}((\text{det-})\text{PC-X})$  are closed under intersection for all  $X \in \mathcal{T}$ .*

*Proof.* Let  $L_1$  and  $L_2$  be two languages over an alphabet  $\Sigma$  with  $L_1, L_2 \in \mathcal{L}((\text{det-})\text{PC-X})$  for any  $X \in \mathcal{T}$ . Then there exist two PCRA systems  $\mathcal{M} = (M_1, M_2, \dots, M_m)$  and  $\mathcal{N} = (N_1, N_2, \dots, N_n)$  of type  $(\text{det-})\text{PC-X}$  with  $L(\mathcal{M}) = L_1$  and  $L(\mathcal{N}) = L_2$ . Due to Corollary 4 and Corollary 5, there exist centralized systems  $\mathcal{M}' = (M'_1, M'_2, \dots, M'_m)$  and  $\mathcal{N}' = (N'_1, N'_2, \dots, N'_n)$  with  $L(\mathcal{M}) = L(\mathcal{M}')$  and  $L(\mathcal{N}) = L(\mathcal{N}')$  such that  $\mathcal{M}'$  accepts iff  $M'_1$  accepts, and  $\mathcal{N}'$  accepts iff  $N'_1$  accepts. Now, construct a system  $\mathcal{A}$  as follows:

$$\mathcal{A} = (A, M'_1, M'_2, \dots, M'_m, N'_1, N'_2, \dots, N'_n),$$

where  $A = (\{\text{req}^{M'_1}, \text{req}^{N'_1}, \text{rec}^{M'_1}, \text{rec}^{N'_1}\}, \Sigma, \Sigma, \phi, \$, \text{req}^{M'_1}, 1, \delta_A)$  with

$$\begin{aligned} \delta_A(\text{rec}^{M'_1}, \phi) &= \{\text{req}^{N'_1}\}, \\ \delta_A(\text{rec}^{N'_1}, \phi) &= \{\text{Accept}\}. \end{aligned}$$

Transitions of  $M'_1$  and  $N'_1$  of the form  $\text{Accept} \in \delta_{M'_1}(q, \alpha)$  or  $\text{Accept} \in \delta_{N'_1}(q, \alpha)$  are replaced by  $\text{res}^A \in \delta_{M'_1}(q, \alpha)$  or  $\text{res}^A \in \delta_{N'_1}(q, \alpha)$ , respectively, for any state  $q$  and possible window content  $\alpha$ . The components  $M'_1$  and  $N'_1$  now answer to the communication of  $A$  instead of accepting by themselves.  $A$  (and therefore  $\mathcal{A}$ ) only accepts if both  $M'_1$  and  $N'_1$  answer the communication. On the other hand, if both components answer,  $A$  reaches the accepting configuration and  $\mathcal{A}$  accepts. Thus,  $L(\mathcal{A}) = L(\mathcal{M}) \cap L(\mathcal{N}) = L_1 \cap L_2$ . In addition, the resulting system  $\mathcal{A}$  has the same type as  $\mathcal{M}$  and  $\mathcal{N}$ . Hence,  $L_1 \cap L_2 \in \mathcal{L}((\text{det-})\text{PC-X})$ .  $\square$

Since we know from [JMPV97] that even restarting automata of the weakest type (**det-mon-R**) accept all deterministic context free languages, and therewith, all regular languages, we immediately obtain:

**Corollary 11.** *The language classes  $\mathcal{L}((\text{det-})\text{PC-X})$  are closed under intersection with regular languages for all  $X \in \mathcal{T}$ .*

Before we deal with the operation of complementation, we point out a problem that may occur in connection with communication. Consider the following situation: a system is in a particular configuration, and after executing communication steps of some components, the system reaches the same configuration again. We will call such a situation a *communication loop*. If a deterministic system reaches such a communication loop, then the computation will not finish. In this case, the input word is not accepted. In contrast, a nondeterministic system could leave such a loop, and moreover, such loops have no effect on whether the input is accepted or not. Thus, if the input is accepted by a nondeterministic system, then there exists a corresponding accepting computation without a communication loop.

In Theorem 28 of Section 5.7 the decidability of the membership problem for deterministic systems is proved. Moreover, it is shown in detail that there exists a constant positive integer  $r$  such that a deterministic system can perform at most  $r$  computation steps in a row before it must reach a loop and thus an infinite computation. This fact is used in the proof of the following theorem.

**Theorem 15.** *The language classes  $\mathcal{L}(\text{det-PC-X})$  are closed under complement for all  $X \in \mathcal{T}$ .*

*Proof.* Let  $L \in \mathcal{L}(\text{det-PC-X})$  for any  $X \in \mathcal{T}$  and let  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  be a **det-PC-X**-system with  $L(\mathcal{M}) = L$  and  $M_i = (Q_i, \Sigma, \Gamma_i, \phi, \$, q_i, k, \delta_i)$  for all  $1 \leq i \leq n$ . We can assume that  $\mathcal{M}$  is centralized and that it accepts an input word if and only if the first component  $M_1$  accepts it (see Corollary 5). For a given input,  $\mathcal{M}$  can behave in the following three ways:

1.  $\mathcal{M}$  halts and accepts the input,
2.  $\mathcal{M}$  halts, but does not accept the input (because all components are stuck),  
or
3.  $\mathcal{M}$  reaches an infinite computation, and hence, does not accept the input.

Observe that  $M_1$ , and therewith  $\mathcal{M}$ , cannot get into a communication deadlock, due to the construction of a centralized system presented in Section 5.3. The last of the three cases above requires to consider infinite computations that are caused either by an according combination of MVL steps and MVR steps, or by

SCO transitions, or by a communication loop. In Theorem 28 of Section 5.7 it is proved that the number of computation steps of each accepting computation of a det-PC-RLWW-system  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  has the upper bound

$$r = n \cdot \left( \max_{1 \leq i \leq n} |Q_i| \right) \frac{l^2 + 5l + 4}{2} \cdot \prod_{i=1}^n |\text{COM}(M_i)|,$$

where  $Q_i$  is the set of states of component  $M_i$ ,  $\text{COM}(M_i)$  is the set of communication states of  $M_i$ , and  $l$  is the length of the input word. Due to this upper bound  $r$  we know the following: whenever  $M_1$  accepts an input, then it must do so within the first  $r$  steps of  $\mathcal{M}$ 's computation.

Now, we construct a system  $\mathcal{M}' = (M'_1, M_2, \dots, M_n, M_{n+1})$  that accepts the language  $\bar{L}$ , which is the complement of  $L$ , with the modified master component  $M'_1$ , the (unchanged) components  $M_2, \dots, M_n$  of the system  $\mathcal{M}$ , and an additional component  $M_{n+1}$ . Basically,  $\mathcal{M}'$  simulates  $\mathcal{M}$ . If  $M_1$  reaches the accepting configuration, then  $M'_1$  halts and does not accept. Since no other component of  $\mathcal{M}$  is allowed to accept,  $\mathcal{M}'$  does not accept. In the case that  $M_1$  gets stuck because of an undefined transition and thus does not accept,  $M'_1$  and therewith  $\mathcal{M}'$  accept the input. Further,  $\mathcal{M}$  can reach an infinitely long computation. This can happen either because  $M_1$  reaches an infinite computation itself, or  $M_1$  halts without accepting and at least one of the other components is in a computation loop. In the latter case,  $M'_1$  reaches the accepting configuration as described above. In the former case, the new component  $M_{n+1}$  is used to count the steps of  $M'_1$ . For this purpose,  $M'_1$  communicates with  $M_{n+1}$  after each of its computation steps, and  $M_{n+1}$  is constructed in such a way that it communicates finitely often and more than  $r$  times with  $M'_1$  and then accepts the input. Thus,  $M_{n+1}$  accepts if and only if  $M_1$  reaches a computation loop. In summery,  $\mathcal{M}'$  accepts if and only if  $\mathcal{M}$  does not accept.

The components  $M'_1$  and  $M_{n+1}$  are formally defined as follows:

$$M'_1 = (Q'_1, \Sigma, \Gamma_1, \clubsuit, \$, q_1, k, \delta'_1),$$

where

$$Q'_1 = Q_1 \cup \{\text{res}_{[p,\alpha]}^{n+1}, \text{ack}_{[p,\alpha]}^{n+1} \mid \delta_1(p, \alpha) \neq \emptyset\}$$

and

- for all  $\delta_1(p, \alpha) = A$  with  $A \neq \text{Accept}$ ,  $\delta'_1(p, \alpha) = \text{res}_{[p,\alpha]}^{n+1}$  and  $\delta'_1(\text{ack}_{[p,\alpha]}^{n+1}, \alpha) = A$ ,
- for all  $\delta_1(p, \alpha) = \text{Accept}$ ,  $\delta'_1(p, \alpha) = \emptyset$ , and

- for all  $\delta_1(p, \alpha) = \emptyset$ ,  $\delta'_1(p, \alpha) = \text{Accept}$ .

Consider that the subscripts  $[p, \alpha]$  of the response and acknowledge states are interpreted as local information and no explicit message is sent. Further, let

$$t = n \cdot \left( \max_{1 \leq i \leq n} |Q_i| \right)^{n+1}$$

such that

$$r = n \cdot \left( \max_{1 \leq i \leq n} |Q_i| \right) \frac{l^2 + 5l + 4}{2} \cdot \prod_{i=1}^n |\text{COM}(M_i)| \leq t \cdot \frac{l^2 + 5l + 4}{2}.$$

Then the automaton  $M_{n+1}$  is given by

$$M_{n+1} = (Q_{n+1}, \Sigma, \Sigma, \phi, \$, \text{req}_1^1, 2, \delta_{n+1}),$$

where

$$Q_{n+1} = \{\text{req}_i^1, \text{rec}_i^1 \mid 1 \leq i \leq 2t\} \cup \{q, \text{req}_{\text{accept}}^1, \text{rec}_{\text{accept}}^1\},$$

and

$$\begin{aligned} \delta_{n+1}(\text{rec}_i^1, \alpha) &= \text{req}_{i+1}^1 && \text{for all } 1 \leq i < 2t \text{ and } \alpha \in (\{\phi\} \cup \Sigma) \cdot (\Sigma \cup \{\$\}), \\ \delta_{n+1}(\text{rec}_{2t}^1, \alpha) &= (q, \text{MVR}) && \text{for all } \alpha \in (\{\phi\} \cdot \Sigma) \cup \Sigma^2, \\ \delta_{n+1}(q, \alpha) &= \text{req}_1^1 && \text{for all } \alpha \in \Sigma^2 \cup (\Sigma \cdot \{\$\}), \\ \delta_{n+1}(\text{rec}_{2t}^1, a\$) &= (q, \$) && \text{for all } a \in \Sigma, \\ \delta_{n+1}(q, \$) &= \text{Restart}, \\ \delta_{n+1}(\text{rec}_{2t}^1, \phi\$) &= \text{req}_{\text{accept}}^1, \\ \delta_{n+1}(\text{rec}_{\text{accept}}^1, \phi\$) &= \text{Accept}. \end{aligned}$$

As long as  $M'_1$  communicates with  $M_{n+1}$ , a cycle of  $M_{n+1}$  consists of the following computation steps for an input word  $w = w_1 w_2 \dots w_l$  and  $l > 0$ :

$\text{req}_1^1 \phi w \$$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\phi \text{rec}_{2t}^1 w \$$
$\vdash_{M_{n+1}, \mathcal{M}'}$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\phi w_1 q w_2 \dots w_l \$$
$\text{rec}_1^1 \phi w \$$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\phi w_1 \dots w_{l-1} q w_l \$$
$\vdash_{M_{n+1}, \mathcal{M}'}$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\phi w_1 \dots w_{l-1} \text{req}_1^1 w_l \$$
$\text{req}_2^1 \phi w \$$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\phi w_1 \dots w_{l-1} \text{req}_{2t}^1 w_l \$$
$\vdash_{M_{n+1}, \mathcal{M}'}$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\phi w_1 \dots w_{l-1} \text{rec}_{2t}^1 w_l \$$
$\text{rec}_2^1 \phi w \$$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\phi w_1 \dots w_{l-1} q \$$
$\vdash_{M_{n+1}, \mathcal{M}'}$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\text{req}_1^1 \phi w_1 \dots w_{l-1} \$$
$\text{req}_{2t}^1 \phi w \$$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\text{req}_1^1 \phi w_1 \dots w_{l-1} \$$
$\vdash_{M_{n+1}, \mathcal{M}'}$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\text{req}_1^1 \phi w_1 \dots w_{l-1} \$$
$\text{rec}_{2t}^1 \phi w \$$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\text{req}_1^1 \phi w_1 \dots w_{l-1} \$$
$\vdash_{M_{n+1}, \mathcal{M}'}$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\text{req}_1^1 \phi w_1 \dots w_{l-1} \$$
$\phi q w \$$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\text{req}_1^1 \phi w_1 \dots w_{l-1} \$$
$\vdash_{M_{n+1}, \mathcal{M}'}$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\text{req}_1^1 \phi w_1 \dots w_{l-1} \$$
$\phi \text{req}_1^1 w \$$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\text{req}_1^1 \phi w_1 \dots w_{l-1} \$$
$\vdash_{M_{n+1}, \mathcal{M}'}$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\text{req}_1^1 \phi w_1 \dots w_{l-1} \$$
$\phi \text{req}_{2t}^1 w \$$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\text{req}_1^1 \phi w_1 \dots w_{l-1} \$$
$\vdash_{M_{n+1}, \mathcal{M}'}$	$\vdash_{M_{n+1}, \mathcal{M}'}$	$\text{req}_1^1 \phi w_1 \dots w_{l-1} \$$

The number of communications between  $M'_1$  and  $M_{n+1}$  in a cycle that can be obtained from the computation above is  $2t(l+1)$ . For an input  $\varepsilon$ , i.e.  $l = 0$ ,  $M_{n+1}$

performs the following computation:

$$\begin{array}{l}
\text{req}_1^1 \clubsuit \$ \\
\vdash_{M_{n+1}, \mathcal{M}'} \text{rec}_1^1 \clubsuit \$ \\
\vdash_{M_{n+1}, \mathcal{M}'}^{4t-3} \text{req}_{2t}^1 \clubsuit \$ \\
\vdash_{M_{n+1}, \mathcal{M}'} \text{rec}_{2t}^1 \clubsuit \$ \\
\vdash_{M_{n+1}, \mathcal{M}'} \text{req}_{\text{accept}}^1 \clubsuit \$ \\
\vdash_{M_{n+1}, \mathcal{M}'} \text{rec}_{\text{accept}}^1 \clubsuit \$ \\
\vdash_{M_{n+1}, \mathcal{M}'} \text{Accept.}
\end{array}$$

The number of communications for  $l = 0$  is  $2t + 1$ . For an input of length  $l$ ,  $M_{n+1}$  performs  $l$  cycles and a tail computation. Thus, it accepts after exactly

$$\left( \sum_{j=1}^l 2t(j+1) \right) + 2t + 1 = 2t \frac{l^2 + 3l}{2} + 2t + 1 = t \frac{2l^2 + 6l + 4}{2} + 1 > r$$

communications. Whenever  $M'_1$  halts (accepting or not), then  $M_{n+1}$  will get stuck, because of a missing answer. On the other hand, if  $M'_1$  is in a computation loop, then  $M_{n+1}$  reaches the accepting configuration. All in all,  $\mathcal{M}'$  accepts exactly  $\bar{L}$ . Since  $M_{n+1}$  is a **det-R**-component,  $\mathcal{M}'$  is of the same type as  $\mathcal{M}$  and thus,  $\bar{L} \in \mathcal{L}(\text{det-PC-X})$ . This completes the proof that the classes of languages that are accepted by any type of deterministic PCRA systems are closed under complement.  $\square$

**Theorem 16.** *The language classes  $\mathcal{L}((\text{det-})\text{PC-X})$  are closed under marked product for any  $X \in \mathcal{T}$ .*

*Proof.* Let  $L_1, L_2 \in \mathcal{L}((\text{det-})\text{PC-X})$  for any  $X \in \mathcal{T}$ . Then there exist two centralized systems  $\mathcal{M} = (M_1, M_2, \dots, M_m)$  and  $\mathcal{N} = (N_1, N_2, \dots, N_n)$  with  $L(\mathcal{M}) = L_1$  and  $L(\mathcal{N}) = L_2$ . Further, let

$$M_i = (Q_{M_i}, \Sigma_M, \Gamma_{M_i}, \clubsuit, \$, q_0^{(M_i)}, k_M, \delta_{M_i})$$

for all  $1 \leq i \leq m$  and

$$N_j = (Q_{N_j}, \Sigma_N, \Gamma_{N_j}, \clubsuit, \$, q_0^{(N_j)}, k_N, \delta_{N_j})$$

for all  $1 \leq j \leq n$ . W.l.o.g. we may assume that transitions of the form  $MV_L \in \delta(p, \clubsuit \alpha)$  are not allowed. We construct a system  $\mathcal{C} = (M'_1, M'_2, \dots, M'_m, N'_1, N'_2, \dots, N'_n)$  with  $L(\mathcal{C}) = \{w_1 \# w_2 \mid w_1 \in L_1 \text{ and } w_2 \in L_2\}$ , where  $\#$  is the middle marker that is not contained in the tape alphabets of the components of  $\mathcal{M}$  and  $\mathcal{N}$ . The main idea of this proof is to modify the transition relations of the components of  $\mathcal{M}$  and  $\mathcal{N}$  such that  $M'_1, \dots, M'_m$  ignore the part

of the tape to the right of the  $\#$ -symbol, and  $N'_1, \dots, N'_n$  ignore the part of the tape to the left of  $\#$ . Thus, the  $\#$ -symbol is interpreted as  $\$, \phi$  respectively. Formally,  $M'_i := (Q_{M_i}, \Sigma, \Gamma_{M'_i}, \phi, \$, q_0^{(M_i)}, k_M, \delta_{M'_i})$ ,  $1 \leq i \leq m$ , and  $N'_j := (Q_{N_j} \cup \{q_0^{(N'_j)}\}, \Sigma, \Gamma_{N'_j}, \phi, \$, q_0^{(N'_j)}, k_N, \delta_{N'_j})$ ,  $1 \leq j \leq n$ , are defined as follows:

- $\Sigma := \Sigma_M \cup \{\#\} \cup \Sigma_N$ ,  $\Gamma_{M'_i} := \Gamma_{M_i} \cup \{\#\} \cup \Sigma_N$ ,  $\Gamma_{N'_j} := \Sigma_M \cup \{\#\} \cup \Gamma_{N_j}$ .

- If  $A \in \delta_{M_i}(q, \alpha)$  and  $\alpha$  does not end with  $\$,$  then  $A \in \delta_{M'_i}(q, \alpha)$ .

If  $A \in \delta_{M_i}(q, \alpha\$)$  and  $A$  is not a rewrite operation, then  $A \in \delta_{M'_i}(q, \alpha\#\gamma)$  for all  $\gamma \in \Sigma_N^{k_M - |\alpha| - 1} \cup (\Sigma_N^{\leq (k_M - |\alpha| - 2)} \cdot \{\$\})$ .

If  $(p, \beta\$) \in \delta_{M_i}(q, \alpha\$)$ , then  $(p, \beta\#\gamma) \in \delta_{M'_i}(q, \alpha\#\gamma)$  for all  $\gamma \in \Sigma_N^{k_M - |\alpha| - 1} \cup \Sigma_N^{\leq (k_M - |\alpha| - 2)} \cdot \{\$\}$ .

- $\delta_{N'_j}(q_0^{(N'_j)}, a\alpha) = \{(q_0^{(N'_j)}, \text{MVR})\}$  for all  $a \in \{\phi\} \cup \Sigma_M$  and  $\alpha \in \Sigma_M^* \cdot ((\{\#\} \cdot \Gamma_{N_j}^* \cup \{\varepsilon\})$  with  $|\alpha| = k_N - 1$  or  $\alpha \in \Sigma_M^* \cdot \{\#\} \cdot \Gamma_{N_j}^* \cdot \{\$\}$  with  $|\alpha| \leq k_N - 1$ .

$$\delta_{N'_j}(q_0^{(N'_j)}, \#\alpha) = \{q_0^{(N'_j)}\} \text{ for all } \alpha \in \Gamma_{N_j}^{k_N - 1} \cup (\Gamma_{N_j}^{\leq (k_N - 2)} \cdot \{\$\}).$$

If  $A \in \delta_{N_j}(q, \alpha)$  and  $\alpha$  does not start with  $\phi,$  then  $A \in \delta_{N'_j}(q, \alpha)$ .

If  $A \in \delta_{N_j}(q, \phi\alpha)$  and  $A$  is not a rewrite operation, then  $A \in \delta_{N'_j}(q, \#\alpha)$ .

If  $(p, \phi\beta) \in \delta_{N_j}(q, \phi\alpha)$ , then  $(p, \#\beta) \in \delta_{N'_j}(q, \#\alpha)$ .

With this modifications we obtain that, for all  $1 \leq i \leq m$  and  $1 \leq j \leq n$ ,

$$q_0^{(M_i)} \phi v \$ \vdash_{M_i, \mathcal{M}}^* v_1 q v_2 \Leftrightarrow q_0^{(M_i)} \phi v \# w \$ \vdash_{M'_i, \mathcal{C}}^* v_1 q v'_2 w \$$$

and

$$q_0^{(N_j)} \phi w \$ \vdash_{N_j, \mathcal{N}}^* w_1 q w_2 \Leftrightarrow q_0^{(N'_j)} \phi v \# w \$ \vdash_{N'_j, \mathcal{C}}^* \phi v q_0^{(N_j)} \# w \$ \vdash_{N'_j, \mathcal{C}}^* \phi v w'_1 q w'_2,$$

where  $v'_2$  arises from  $v_2$  by replacing  $\$$  with  $\#$  and  $w'_1$  and  $w'_2$  arise from  $w_1, w_2$  respectively, by replacing  $\phi$  with  $\#$  ( $w_2$  contains  $\phi$  if  $w_1 = \varepsilon$ ). Since the system  $\mathcal{C}$  should only accept, if  $\mathcal{M}$  and  $\mathcal{N}$  accept, two more modifications are necessary concerning the master components  $M_1$  and  $N_1$ :

- Replace all transitions of the form  $\text{Accept} \in \delta_{M'_1}(q, \alpha)$  by the transitions  $\text{req}_{\text{Accept}}^{N'_1} \in \delta_{M'_1}(q, \alpha)$  and  $\text{Accept} \in \delta_{M'_1}(\text{rec}_{\text{Accept, Accept}}^{N'_1}, \alpha)$  in  $M'_1$ . Add the new communication states  $\text{req}_{\text{Accept}}^{N'_1}$  and  $\text{rec}_{\text{Accept, Accept}}^{N'_1}$  to the set of states of  $M'_1$ .
- Replace all transitions of the form  $\text{Accept} \in \delta_{N'_1}(q, \alpha)$  by the transition  $\text{res}_{\text{Accept}}^{M'_1} \in \delta_{N'_1}(q, \alpha)$  in  $N'_1$  and add the new communication states  $\text{res}_{\text{Accept}}^{M'_1}$



and  $\text{ack}_{\text{Accept}}^{M'_1}$  to the set of states of  $N'_1$ , where the subscript *Accept* denotes the message that is sent from  $N'_1$  to  $M'_1$ .

Thus, the System  $\mathcal{C}$  accepts an input  $v\#w$  if and only if  $\mathcal{M}$  accepts the input  $v$  and  $\mathcal{N}$  accepts the input  $w$ . Moreover, the construction is strictly deterministic. If  $\mathcal{M}$  and  $\mathcal{N}$  are deterministic, then so is  $\mathcal{C}$ . Thus,  $L(\mathcal{C}) = L_1 \cdot \{\#\} \cdot L_2 \in \mathcal{L}((\text{det-})\text{PC-X})$ .  $\square$

From [JLNO04] we know, that  $\mathcal{L}(\text{RWW})$  and  $\mathcal{L}(\text{RRWW})$  are closed under product. Now we extend this result to PCRA systems of these types and to PC-RLWW-systems.

**Theorem 17.** *The language classes  $\mathcal{L}(\text{PC-RWW})$ ,  $\mathcal{L}(\text{PC-RRWW})$ , and  $\mathcal{L}(\text{PC-RLWW})$  are closed under product.*

*Proof.* Let  $L_1, L_2 \in \mathcal{L}(\text{PC-X})$  for  $X \in \{\text{RWW}, \text{RRWW}, \text{RLWW}\}$ , and let  $\mathcal{M} = (M_1, M_2, \dots, M_m)$  and  $\mathcal{N} = (N_1, N_2, \dots, N_n)$  be PC-X-systems with  $L(\mathcal{M}) = L_1$  and  $L(\mathcal{N}) = L_2$ . We can assume that  $\mathcal{M}$  and  $\mathcal{N}$  are centralized systems that accept an input if and only if their first components  $M_1$  and  $N_1$  reach the accepting configuration (due to Corollary 4). Now, we construct a system  $\mathcal{C} = (M'_1, M'_2, \dots, M'_m, N'_1, N'_2, \dots, N'_n)$  that consists of modified components of  $\mathcal{M}$  and  $\mathcal{N}$  and that accepts the language  $L = L_1 \cdot L_2$ . The main idea of this proof is to choose nondeterministically a factorization of the input  $w = uv$ , store this factorization by writing a marker on the tape, and then check whether  $u$  is contained in  $L_1$  and  $v$  is contained in  $L_2$ . The latter can be done quite similarly to the proof of the closure under the marked product (see Theorem 16 for further details).

The behaviour of  $\mathcal{C}$  can be described as follows. In the initial configuration the input  $w$  is on the tapes of all components. Now,  $\mathcal{C}$  works in two phases: (1) guess the factorization and write the marker  $\#$  (that is a new tape symbol for all components) on all tapes, and (2) verify the chosen factorization.

A basic requirement is that all components work on the same factorization. Therefore, a dedicated component determines the factorization and instructs the other components by communication. Let  $M'_1$  be this component. First, it chooses nondeterministically one of the following cases, where  $w = uv$  is the factorization that is to be computed.

Case 1 ( $|u| = 0$ ). If the first factor is  $\varepsilon$ , then all  $M'_i$ ,  $1 \leq i \leq m$ , empty their tapes, and then they change into the simulation phase. All  $N'_j$ ,  $1 \leq j \leq n$ , keep their current tape contents.

Case 2 ( $|u| = 1$ ). If the first factor has length one, then all  $M'_i$ ,  $1 \leq i \leq m$ , remove all symbols of the tape except the first symbol, i.e. the symbol directly to the right of the  $\phi$ -symbol. The components  $N'_j$ ,  $1 \leq j \leq n$ , remove only their first symbols.

Case 3 ( $|u| \geq 2$  and  $|v| = 0$ ). In this case, the components  $M'_i$ ,  $1 \leq i \leq m$ , keep

their current tape contents, and all  $N'_j$ ,  $1 \leq j \leq n$ , empty their tapes.

Case 4 ( $|u| \geq 2$  and  $|v| = 1$ ). In this case, the components  $M'_i$ ,  $1 \leq i \leq m$ , remove the last tape symbol, i.e. the symbol directly to the left of the  $\$$ -symbol, and all  $N'_j$ ,  $1 \leq j \leq n$ , remove all tape symbols except the last one.

Case 5 ( $|u| \geq 2$  and  $|v| \geq 2$ ). If  $M'_1$  decides that both factors have a length of at least two, then the marker  $\#$  is written on all tapes as follows. All  $M'_i$ ,  $1 \leq i \leq m$ , move their windows three steps to the right, and all  $N'_j$ ,  $1 \leq j \leq n$ , move their windows one step to the right. Then, the windows of all components are moved simultaneously to the right. At some point in time, all components write the marker  $\#$  on the tape and change into the simulation phase. If the windows are moved too many steps to the right, i.e.  $\$$  appears in the window, and the marker cannot be written, then the system gets stuck. Otherwise, for an input  $w$  and a factorization  $w = uv = u_1 \dots u_{l_1} v_1 \dots v_{l_2}$ , the tape inscriptions of all  $M'_i$  are  $\clubsuit u \# v_3 \dots v_{l_2} \$$ , and those of all  $N'_j$  are  $\clubsuit u_1 \dots u_{l_1-2} \# v \$$ . This means that all  $M'_i$  use the first two symbols of the second factor to write the marker, and all  $N'_j$  use the last two symbols of the first factor. Thus, the first factor is completely on the tapes of all  $M'_i$ , and the second factor is completely on the tapes of all  $N'_j$ .

For writing the marker and preparing the tapes in the first phase, a window size of two is necessary for all components. After determining the factorization, all components change into dedicated states to begin the simulation phase. If the factorization corresponds to one of the cases 1 to 4, the components  $M'_i$  and  $N'_j$  behave exactly like the original components  $M_i$  and  $N_j$  in the simulation. In case 5, the components verify the corresponding factors as shown in the proof of Theorem 16. Since the input  $w = uv \in L_1 \cdot L_2$  if  $u \in L_1$  and  $v \in L_2$ , the system  $\mathcal{C}$  must accept  $w$  if both systems  $\mathcal{M}$  and  $\mathcal{N}$  accept the corresponding factors. As we have assumed that  $\mathcal{M}$  and  $\mathcal{N}$  accept with their first components, so  $\mathcal{C}$  has to accept  $w$  if  $M_1$  accepts  $u$  and  $N_1$  accepts  $v$ . For this, if  $M_1$  would accept  $u$ , then  $M'_1$  communicates with  $N'_1$  and if  $N_1$  would accept  $v$ , then  $N'_1$  answers to  $M'_1$  by sending a corresponding message, and afterwards,  $M'_1$  accepts. Hence,  $\mathcal{C}$  accepts an input  $w$  if there exists a factorization  $w = uv$  such that  $\mathcal{M}$  accepts  $u$  and  $\mathcal{N}$  accepts  $v$ .

On the other hand, if such a factorization does not exist, then  $M_1$  or  $N_1$  (or both) do not accept the corresponding factor, and thus,  $M'_1$  does not accept  $w$  for any possible factorization. Observe that no component other than  $M'_1$  can reach the accepting configuration. Therefore, it follows that  $L = L(\mathcal{C}) = L(\mathcal{M}) \cdot L(\mathcal{N}) = L_1 \cdot L_2$ . Moreover, since each component restarts immediately after removing tape symbols or writing the marker in the first phase, we can state that, if  $\mathcal{M}$  and  $\mathcal{N}$  are of type PC-X, then  $\mathcal{C}$  is of the same type. Hence,  $L \in \mathcal{L}(\text{PC-X})$ .  $\square$

**Theorem 18.** *The language classes  $\mathcal{L}(\text{PC-RWW})$ ,  $\mathcal{L}(\text{PC-RRWW})$ , and  $\mathcal{L}(\text{PC-RLWW})$  are closed under Kleene closure.*

*Proof.* Let  $L \in \mathcal{L}(\text{PC-RLWW})$ , and let  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  be a PC-RLWW-system with  $L(\mathcal{M}) = L$ . For the language classes  $\mathcal{L}(\text{PC-RWW})$  and  $\mathcal{L}(\text{PC-RRWW})$  the proof is similar. We can assume that  $\mathcal{M}$  is a centralized system that accepts if and only if  $M_1$  accepts (due to Corollary 4). We now construct a system  $\mathcal{M}' = (M'_1, M'_2, \dots, M'_n)$  that accepts the language  $L^*$ . The main idea is to divide an input  $w$  nondeterministically into a factorization  $w = w_1 w_2 \dots w_r$  ( $w_1, w_2, \dots, w_r$  are words over the input alphabet) and check whether each factor is contained in  $L$ . If such a factorization exists, then  $w \in L^*$ , otherwise  $w \notin L^*$ . For obtaining the factorization, sequentially one factor of a nondeterministically chosen length is separated from the left-hand side of the tape content and is then checked by simulating  $\mathcal{M}$  on this factor by the modified components  $M'_1, M'_2, \dots, M'_n$ .

The behaviour of the system  $\mathcal{M}'$  can be described as follows. At the beginning of a computation, an input word  $w$  is on the tapes of all components. If the input is  $\varepsilon$ , then  $\mathcal{M}'$  accepts immediately, since  $\varepsilon$  is in the Kleene closure of every language. Otherwise, the computation consists of three phases that can be repeated several times in the given order: 1) write a marker on the tape to separate a new factor, 2) check whether the chosen factor is contained in  $L$ , and 3) remove the remaining symbols of the last verified factor. The number of iterations of the three phases depends on the nondeterministically chosen number of factors of  $w$ . The computation and the progress of the phases are shown in Figure 5.3 for an arbitrary component  $M'_i$ , where we assume a factorization  $w = w_1 w_2 \dots w_r$  and the form  $w_j = w_{j,1} w_{j,2} \dots w_{j,s_j}$  for all factors  $w_j$ ,  $1 \leq j \leq r$ . Observe that the factorization is not fixed at the beginning of the computation (although Figure 5.3 may seem to imply this), but it is determined successively by repeating the three phases above. The words  $w'_1, w'_2, \dots, w'_r$  in Figure 5.3 are used to denote the remaining symbols after the simulation in the second phase on the words  $w_1, w_2, \dots, w_r$  was performed. Each of the symbols  $w'_{1,1}, w'_{1,s_1}, w'_{2,1}, w'_{2,s_2}, \dots, w'_{r,1}, w'_{r,s_r}$  is either  $w_{1,1}, w_{1,s_1}, w_{2,1}, w_{2,s_2}, \dots, w_{r,1}, w_{r,s_r}$ , if the corresponding stored symbol was not removed during the simulation in phase two, or  $\varepsilon$  if it was removed. This will be illustrated in more detail in the following explanations of the different phases.

**Phase 1.** In this phase  $M'_1$  first decides nondeterministically whether the whole (remaining) tape content is interpreted as the last factor of the factorization, or whether a new factor is separated. In the former case  $M'_1$  informs all the other components by sending a corresponding message, and the system continues with the second phase. Otherwise, all components move their windows to the right simultaneously. This can be controlled by  $M'_1$  through a communication between

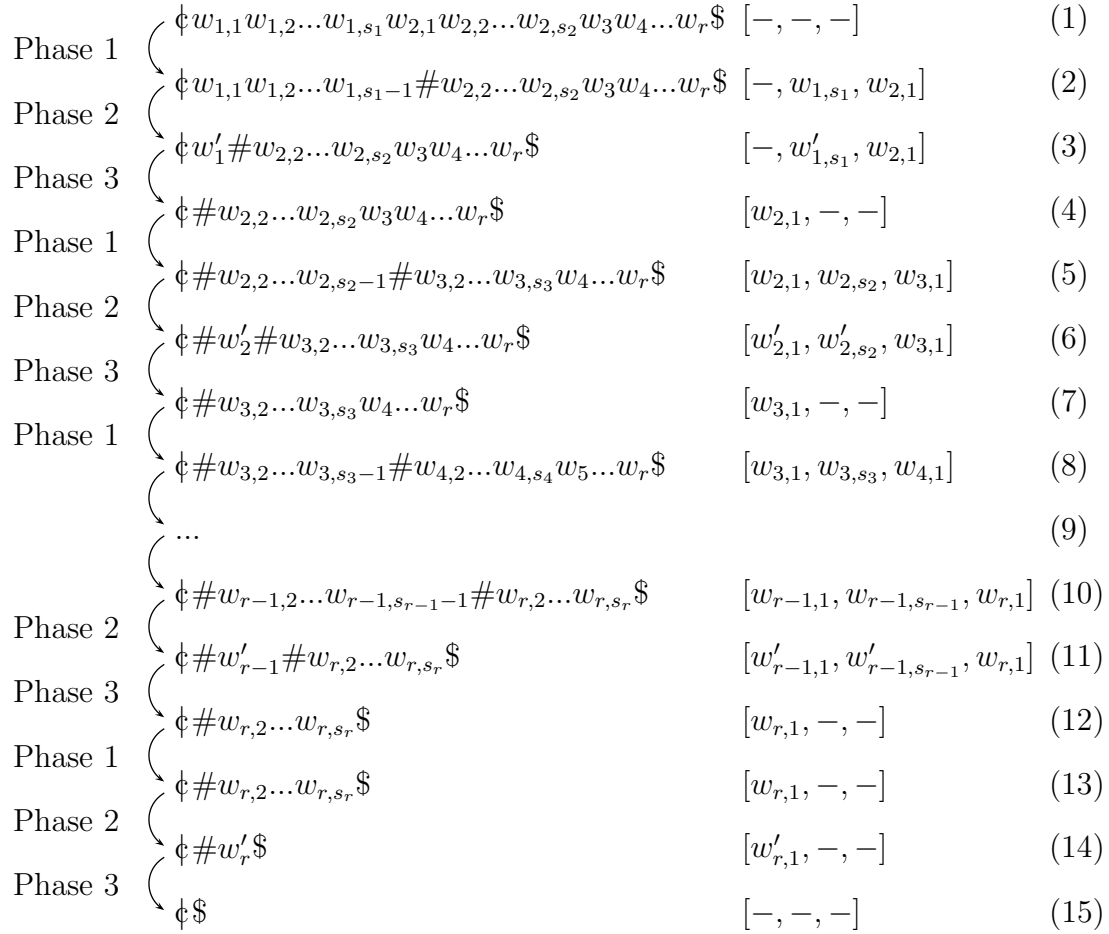


Figure 5.3: The progress of the phases for an arbitrary component  $M'_i$ .

$M'_1$  and all other components before each local computation step. When  $M'_1$  nondeterministically decides that the last symbol of the new factor is reached, it sends an appropriate message to the other components, all components write the marker  $\#$  (that is a new tape symbol for all components) at the current position, and thereafter they perform a restart immediately (see lines 2, 5, 8, and 10 of Figure 5.3). For writing the marker the two tape symbols at the current position have to be replaced. In order to not forget the information about these symbols, they are stored and carried within the states during the whole computation (the nonforgetting property and the local information of the communication states can be used for this). Except for the first run or when checking a factor of length one (see below), two markers are on the tape within the second phase: the marker of the previous factor and the currently written one. This fact is important, as the markers determine the positions of the replaced tape symbols, and these symbols are needed for the simulation of the second phase. To store the rewritten symbols, annotations to the states are used that have the form  $[a, b, c]$ , where  $a$  is the first

symbol of the currently considered factor combined with the first marker,  $b$  is the last symbol of the currently considered factor, and  $c$  is the first symbol of the following factor. The symbols  $b$  and  $c$  have been replaced by writing the second marker. When instead of  $a$ ,  $b$ , or  $c$ , a minus is used within the triple, then the corresponding marker was not written. For example, at the beginning of phase 1,  $b$  and  $c$  are always ‘-’, because the second marker has still to be written. Using ‘-’ is different from using  $\varepsilon$ , which means that the corresponding marker exists, but the corresponding symbol was removed during the simulation within the second phase.

Look for example at line 5 of Figure 5.3. This situation occurs between finishing phase 1 and the beginning of phase 2. Two markers are currently on the tape, the first one directly next to the  $\phi$ -symbol and the second one between the symbols  $w_{2,s_2-1}$  and  $w_{3,2}$ . The first symbol of  $w_2$ , which is  $w_{2,1}$ , was replaced by the first marker in line 2 and is still stored as the first entry of the triple in line 5. In contrast, the last symbol of  $w_2$ , which is  $w_{2,s_2}$ , and the first symbol of  $w_3$ , which is  $w_{3,1}$ , were replaced by the second marker in the previous first phase and thus, they are stored as the second and the third entry in the triple of line 5.

Since for each factor one marker is set, whereby two symbols are replaced, factors of length one have to be treated in a special way. Thus, if  $M'_1$  nondeterministically chooses to separate a factor of length one, then two cases have to be distinguished. First, if no marker is on the tape (this situation can be detected through the triple  $[-, -, -]$  as in line 1), then a marker is written directly next to the  $\phi$ -symbol and the replaced symbols are stored in the second and the third position of the triple (the one and only symbol of the current factor as second entry and the first letter of the following factor as the third entry). Second, if there is already a marker on the tape, then it must already be positioned directly next to the  $\phi$ -symbol (see line 4), so this situation can be detected immediately (the annotation has the form  $[a, -, -]$ ), and no other marker will be written. Both situations are demonstrated in Figure 5.4. For factors of length one,  $M'_1$  sends a corresponding message to all other components, thus the situation being in state  $q[-, a, b]$  (first situation) or  $q[a, -, -]$  (second situation) with  $\phi \# \dots \$$  on the tape is then treated in the second phase as having  $\phi a \$$  on the tape.

Table 5.1 summarizes all situations that can appear at the end of phase 1 and the beginning of phase 2.

Phase 2. After setting a marker or deciding whether the remaining tape content is the last factor or whether a factor of length one has to be checked,  $\mathcal{M}'$  checks whether the factor is contained in  $L$ . For this purpose it simulates  $\mathcal{M}$ , and the components interpret the stored symbols and the marker as described above. Moreover, the windows of all components may never be moved across the (second)

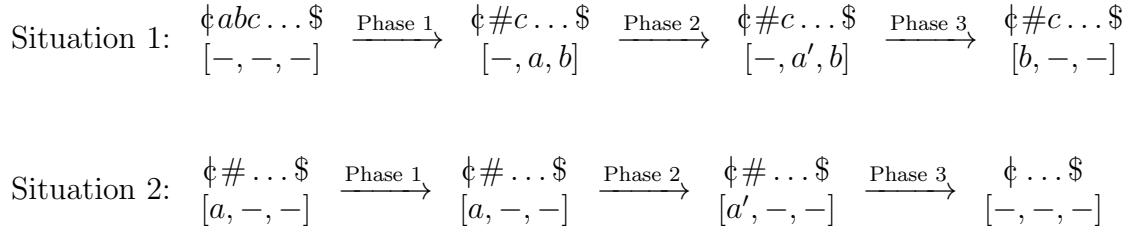


Figure 5.4: Choosing a factor of length one.

Situation (tape content and state annotation)	interpretation of the first #-symbol	interpretation of the second #-symbol
1) $\clubsuit \# c \dots \$,$ $[-, a, b]$	(no first marker)	$a\$$
2) $\clubsuit \# \dots \$,$ $[a, -, -]$	$a\$$	(no second marker)
3) $\clubsuit w_{i,1} w_{i,2} \dots w_{i,s_i-1} \# w_{i+1,2} \dots \$,$ $[-, w_{i,s_i}, w_{i+1,1}]$	(no first marker)	$w_{i,s_i} \$$
4) $\clubsuit \# w_{i,2} w_{i,3} \dots w_{i,s_i-1} \# w_{i+1,2} \dots \$,$ $[w_{i,1}, w_{i,s_i}, w_{i+1,1}]$	$w_{i,1}$	$w_{i,s_i} \$$

Table 5.1: Situations after the first phase.

#-symbol. More precisely, the second #-symbol is not allowed to appear as the first symbol of the windows (only at the second or higher position of the windows). This is necessary in order to enable a rewrite step and a restart operation without deleting the # or a symbol to the right of it. But this is no problem due to the look ahead of at least one tape cell (with a window size of at least two).

Two critical situations can appear during the simulation of  $\mathcal{M}$ . First, a component  $M_i$ , and thus  $M'_i$ , ( $2 \leq i \leq n$ ) reaches an infinite computation that is caused by a combination of MVL and MVR steps. Second, a component  $M_i$ , and thus  $M'_i$ , ( $2 \leq i \leq n$ ) gets stuck in an unanswered communication state. Consider a scenario where  $w_1, w_2 \in L$ ,  $u \in L^*$  with  $u = w_1 w_2$ , and there is no other factorization of  $u$  such that all factors are contained in  $L$ . Moreover, assume that there is exactly one accepting computation of  $\mathcal{M}$  on input  $w_1$  and  $w_2$ , respectively, and that there exists a component  $M_i$  that reaches one of the two above described critical situations during the computation of  $\mathcal{M}$  on  $w_1$  and that further executes a communication with  $M_1$  within the accepting computation of  $\mathcal{M}$  on  $w_2$ . Although  $\mathcal{M}$  is correctly simulated by  $\mathcal{M}'$  on the first factor, it cannot verify the factor  $w_2$ , since  $M'_i$  is in a critical situation and thus not available anymore. Hence,  $\mathcal{M}'$

cannot accept  $u$ , although  $u \in L^*$ .

To avoid these situations a communication protocol is used that allows  $M'_1$  to control the whole computation of  $\mathcal{M}'$  such that each communication is strictly predetermined and, in addition, no arbitrary long local computations can be executed. For that we use a similar but simplified version of the construction from the proof of Theorem 4. In the following the main idea is described, where the annotations in brackets refer to the construction below.

To control the computation of  $\mathcal{M}'$ , the master component  $M'_1$  not only simulates  $M_1$ , but communicates cyclically with all components that are still involved in the simulation of  $\mathcal{M}$  before each simulated local computation step. In these communications,  $M'_1$  asks the components about the transitions they will apply in the next simulation step (A1.1). Each  $M'_i$  ( $2 \leq i \leq n$ ) communicates with  $M'_1$  before each simulation step and tells it whether the next simulation step is a local step (B1), a communication (B2 and B3), or that no transition can be applied (B5) (observe that  $M'_1$  needs to expect only finitely many possible answers of  $M'_i$  depending on the transition mapping of  $M_i$ ). In the case of a communication, it sends information about the corresponding communication state. Thereafter, the component requests further instructions from  $M'_1$ . Now, if the answer of  $M'_i$  is 'local step', then  $M'_1$  just allows  $M'_i$  to continue its computation (A1.2), and  $M'_i$  performs the corresponding simulation step. In the case that  $M'_i$  answers with information about a communication step (this can only be a communication with  $M_1$ , since  $\mathcal{M}$  is centralized) or an undefined situation, then  $M'_1$  stores this information using  $t_i$  in the subscript of its communication states and asks the next available component  $M'_m$  with  $t_m = *$  (A1.3). After all components have been asked and the answer of the last component was computed (A1.4),  $M'_1$  simulates the stored computation step (A1.5). Whenever  $M'_1$  wants to simulate a communication step with a component  $M'_i$  ( $A$  is a communication state), then three situations are possible: First,  $M'_i$  has not reached any communication state to simulate ( $t_i = *$ ). In this case,  $M'_1$  just remembers  $A$  and repeats the communication cycle. Second,  $M'_i$  has already reached the corresponding communication state ( $t_i = req$  or  $t_i = res(c)$ ). Then,  $M'_1$  informs  $M'_i$  that the communication can be successfully simulated. Third,  $M'_i$  is stuck ( $t_i = \perp$ ) or it has reached a communication state that does not correspond to that of  $M'_1$  (e.g. both communication states are request states or response states). In the third case,  $M'_1$  gets stuck as well as  $M_1$  would in this situation, and thus, the current factor is not successfully verified.

If  $M_1$  would reach the accepting configuration, then  $M'_1$  sends the message 'stop' to all components to finish the simulation in the second phase and to start with the third phase immediately. In the construction below this is indicated by

reaching  $q_{phase3}$  as the initial state of the third phase.

Now, the modifications for the components are defined that are necessary for implementing the described communication protocol. Here,  $\hat{\delta}_i$  denotes the transition mapping of component  $\hat{M}_i$  that results from  $M_i$  by applying the modifications of the first phase and interpreting the marker and the stored tape symbols as described above. Moreover, we assume that  $M'_1$  starts the second phase always in state  $q_{(*, \dots, *)}$ , where  $q$  is the initial state of  $M_1$ . The construction steps indexed by A refer to the master component  $\hat{M}_1$  and those indexed by B refer to all the other components.

A1) For all  $A \in \hat{\delta}_1(q, \alpha)$  with  $A \neq \text{Accept}$  and all situations  $\langle t_2, \dots, t_n \rangle$  with  $t_j \in \{*, \perp, req\} \cup \{res(c) \mid c \text{ is a message of any } q \in \text{COM}(M_j)\}$ ,  $2 \leq j \leq n$ , define:

A1.1) Ask the first available component:

$$\text{req}_{A\langle t_2, \dots, t_n \rangle}^m \in \delta'_1(q_{\langle t_2, \dots, t_n \rangle}, \alpha) \text{ such that} \\ t_m = * \text{ and for all } j \in \{2, \dots, m-1\} : t_j \neq *.$$

A1.2) Answer in the case of 'local step':

$$\delta'_1(\text{rec}_{A\langle t_2, \dots, t_n \rangle, \text{localstep}}^i, \alpha) = \{\text{res}_{A\langle t_2, \dots, t_n \rangle, \text{continue}}^i\} \text{ for all } 2 \leq i \leq n.$$

A1.3) Store the information in the cases of communication and undefined situation and ask the next available component:

$$\delta'_1(\text{ack}_{A\langle t_2, \dots, t_n \rangle, \text{continue}}^i, \alpha) = \{\text{req}_{A\langle t_2, \dots, t_n \rangle}^m\}, \\ \delta'_1(\text{rec}_{A\langle t_2, \dots, t_n \rangle, \text{answer}}^i, \alpha) = \{\text{req}_{A\langle t_2, \dots, t_{i-1}, \text{answer}, t_{i+1}, \dots, t_n \rangle}^m\},$$

with  $\text{answer} \in \{\perp, req\} \cup \{res(c) \mid c \text{ is a message of any } q \in \text{COM}(M_i)\}$ , for all  $2 \leq i \leq n$ , and with  $i < m$ ,  $t_m = *$ , and for all  $j \in \{i+1, \dots, m-1\}$ :  $t_j \neq *$ .

A1.4) Get the answer from the last available component:

$$\delta'_1(\text{ack}_{A\langle t_2, \dots, t_n \rangle, \text{continue}}^m, \alpha) = \{\text{sim}_{A\langle t_2, \dots, t_n \rangle}\}, \\ \delta'_1(\text{rec}_{A\langle t_2, \dots, t_n \rangle, \text{answer}}^m, \alpha) = \{\text{sim}_{A\langle t_2, \dots, t_{i-1}, \text{answer}, t_{i+1}, \dots, t_n \rangle}\},$$

with  $\text{answer} \in \{\perp, req\} \cup \{res(c) \mid c \text{ is a message of any } q \in \text{COM}(M_m)\}$  and for all  $j \in \{m+1, \dots, n\}$ :  $t_j \neq *$ .

A1.5) Simulate the transition of  $M_1$ :



$$\delta'_1(\text{sim}_{A\langle t_2, \dots, t_n \rangle}, \alpha) = \begin{cases} \{(p_{\langle t_2, \dots, t_n \rangle}, \text{MVR})\}, & \text{if } A = (p, \text{MVR}), \\ \{(p_{\langle t_2, \dots, t_n \rangle}, \text{MVL})\}, & \text{if } A = (p, \text{MVL}), \\ \{(p_{\langle t_2, \dots, t_n \rangle}, \beta)\}, & \text{if } A = (p, \beta), \\ \{\text{req}_{A\langle t_2, \dots, t_n \rangle}^m\}, & \text{if } A = \text{req}_d^i \text{ or } A = \text{res}_{d,c}^i \\ & \text{and } t_i = *, \\ \{\text{res}_{A\langle t_2, \dots, t_n \rangle, c}^i\}, & \text{if } A = \text{req}_d^i \text{ and } t_i = \text{res}(c) \\ & \text{or } A = \text{res}_{d,c}^i \text{ and } t_i = \text{req}, \end{cases}$$

s.t. for all  $j \in \{1, \dots, m-1\}$ :  $t_j \neq *$ .

In the last case the communication between  $M_1$  and  $M_i$  is simulated, a new transition of  $M_1$  is chosen, and the first available component is asked again:

$$\delta'_1(\text{ack}_{A\langle t_2, \dots, t_n \rangle, c}^i, \alpha) = \begin{cases} \{\text{req}_{A'(t_2, \dots, t_{i-1}, *, t_{i+1}, \dots, t_n)}^m \mid A' \in \delta_1(\text{rec}_{d,c}^i, \alpha)\}, \\ \quad \text{if } \text{Accept} \notin \delta_1(\text{rec}_{d,c}^i, \alpha), A = \text{req}_d^i, \text{ and } t_i = \text{res}(c), \\ \{\text{req}_{A'(t_2, \dots, t_{i-1}, *, t_{i+1}, \dots, t_n)}^m \mid A' \in \delta_1(\text{ack}_{d,c}^i, \alpha)\}, \\ \quad \text{if } \text{Accept} \notin \delta_1(\text{ack}_{d,c}^i, \alpha), A = \text{res}_{d,c}^i, \text{ and } t_i = \text{req}, \\ \{\text{res}_{\text{stop}}^2\}, \\ \quad \text{if } \text{Accept} \in \delta_1(\text{rec}_{d,c}^i, \alpha), A = \text{req}_d^i, \text{ and } t_i = \text{res}(c), \\ \{\text{res}_{\text{stop}}^2\}, \\ \quad \text{if } \text{Accept} \in \delta_1(\text{ack}_{d,c}^i, \alpha), A = \text{res}_{d,c}^i, \text{ and } t_i = \text{req}, \end{cases}$$

s.t. for all  $j \in \{1, \dots, m-1\}$ :  $t_j \neq *$ .

A2) For all  $\text{Accept} \in \hat{\delta}_1(q, \alpha)$  and all possible situations  $\langle t_2, \dots, t_n \rangle$  define:

$$\begin{aligned} \delta'_1(q_{\langle t_2, \dots, t_n \rangle}, \alpha) &= \{\text{res}_{\text{stop}}^2\}, \\ \delta'_1(\text{ack}_{\text{stop}}^i, \alpha) &= \{\text{res}_{\text{stop}}^{i+1}\} \text{ for all } 2 \leq i \leq n-1, \\ \delta'_1(\text{ack}_{\text{stop}}^n, \alpha) &= \{q_{\text{phase3}}\}. \end{aligned}$$

B1) For all  $A \in \hat{\delta}_i(q, \alpha)$  and  $A \notin \text{COM}(M_i)$  define:

$$\begin{aligned} \text{res}_{A, \text{localstep}}^1 &\in \delta'_i(q, \alpha), \\ \delta'_i(\text{ack}_{A, \text{localstep}}^1, \alpha) &= \{\text{req}_A^1\}, \\ \delta'_i(\text{rec}_{A, \text{continue}}^1, \alpha) &= \{A\}, \\ \delta'_i(\text{rec}_{A, \text{stop}}^1, \alpha) &= \{q_{\text{phase3}}\}. \end{aligned}$$

B2) For all  $\text{req}_d^1 \in \hat{\delta}_i(q, \alpha)$  and  $q \notin \text{COM}(M_i)$  define:

$$\begin{aligned} \text{res}_{\text{req}(d), \text{req}}^1 &\in \delta'_i(q, \alpha), \\ \delta'_i(\text{ack}_{\text{req}(d), \text{req}}^1, \alpha) &= \{\text{req}_{\text{req}(d)}^1\}, \\ \delta'_i(\text{rec}_{\text{req}(d), c}^1, \alpha) &= \delta_i(\text{rec}_{d, c}^1, \alpha) \setminus \text{COM}(M_i) \\ &\quad \cup \{\text{res}_{\text{req}(d'), \text{req}}^1 \mid \text{req}_{d'}^1 \in \delta_i(\text{rec}_{d, c}^1, \alpha)\} \\ &\quad \cup \{\text{res}_{\text{res}(d', c'), \text{res}(c')}^1 \mid \text{res}_{d', c'}^1 \in \delta_i(\text{rec}_{d, c}^1, \alpha)\}, \\ \delta'_i(\text{rec}_{\text{req}(d), \text{stop}}^1, \alpha) &= \{q_{\text{phase3}}\}. \end{aligned}$$

B3) For all  $\text{res}_{d, c}^1 \in \hat{\delta}_i(q, \alpha)$  and  $q \notin \text{COM}(M_i)$  define:

$$\begin{aligned} \text{res}_{\text{res}(d, c), \text{res}(c)}^1 &\in \delta'_i(q, \alpha), \\ \delta'_i(\text{ack}_{\text{res}(d, c), \text{res}(c)}^1, \alpha) &= \{\text{req}_{\text{res}(d, c)}^1\}, \\ \delta'_i(\text{rec}_{\text{res}(d, c), c}^1, \alpha) &= \delta_i(\text{ack}_{d, c}^1, \alpha) \setminus \text{COM}(M_i) \\ &\quad \cup \{\text{res}_{\text{req}(d'), \text{req}}^1 \mid \text{req}_{d'}^1 \in \delta_i(\text{ack}_{d, c}^1, \alpha)\} \\ &\quad \cup \{\text{res}_{\text{res}(d', c'), \text{res}(c')}^1 \mid \text{res}_{d', c'}^1 \in \delta_i(\text{ack}_{d, c}^1, \alpha)\}, \\ \delta'_i(\text{rec}_{\text{res}(d, c), \text{stop}}^1, \alpha) &= \{q_{\text{phase3}}\}. \end{aligned}$$

B4) Transitions of the form  $A \in \hat{\delta}_i(q, \alpha)$  with  $A, q \in \text{COM}(M_i)$  are already treated implicitly in B2 and B3.

B5) For all  $\hat{\delta}_i(q, \alpha) = \emptyset$  define:

$$\begin{aligned} \delta'_i(q, \alpha) &= \{\text{res}_{\perp, \perp}^1\}, \\ \delta'_i(\text{ack}_{\perp, \perp}^1, \alpha) &= \{\text{req}_{\perp}^1\}, \\ \delta'_i(\text{rec}_{\perp, \text{stop}}^1, \alpha) &= \{q_{\text{phase3}}\}. \end{aligned}$$

If  $\mathcal{M}$  accepts the considered factor, that is, all components reach the state  $q_{\text{phase3}}$ , then  $M'_1$  removes one of the remaining symbols of the processed factor at the current window position, performs a restart into a dedicated restart state, and begins with the third phase. The fact whether or not the (RRWW-, RLWW-) component has already made a rewrite step in the current cycle (the last cycle of the simulation in phase 2) can also be encoded within the states. If it has already performed a rewrite step, then only a restart without removing a symbol will be executed. If the factor was completely deleted during the simulation in phase 2, so that no more symbol can be deleted, then a change of the state (SCO transition) into the restart state of the third phase will be applied (the window is then already positioned at the leftmost border of the tape).

Additionally, for simplification of the notation we used SCO transitions in the construction. These can easily be eliminated.

Phase 3. In this phase all components remove the remaining symbols of the factor they worked on in phase 2 as well as the first marker (see lines 6 and 7 of Figure 5.3). Removing the first marker includes setting ‘-’ at the first position of the triple. When the tape content starts with  $\clubsuit\#$  and the first entry of the triple is a minus or the triple is  $[-, -, -]$  (no marker on the tape), then the factor is completely removed, the triples are changed from  $[-, b, c]$  to  $[c, -, -]$  (even if  $b$  and  $c$  are minus; see lines 3 and 4), and  $\mathcal{M}'$  continues with the first phase again.

If the tape is empty after phase 3, then  $\mathcal{M}'$  has guessed a factorization, all factors of which were accepted by  $\mathcal{M}$ , and thus  $\mathcal{M}'$  accepts the input word. If  $\mathcal{M}$  chooses a factorization such that one factor is not accepted by  $\mathcal{M}$ , then  $M'_1$  does not change from phase 2 to phase 3 for this factor, since  $M_1$  would not reach the accepting configuration. Hence,  $M'_1$  gets stuck during the corresponding second phase. If there exists a valid factorization, then  $\mathcal{M}'$  can guess it and accept the input word. If the input does not have a valid factorization, then  $\mathcal{M}'$  fails on at least one factor of every factorization. Thus,  $\mathcal{M}'$  accepts  $w$  if and only if  $w \in L^*$ . Moreover, the modifications of the components do not change their type, so if  $L \in \mathcal{L}(\text{PC-RLWW})$  ( $L \in \mathcal{L}(\text{PC-RRWW})$ ,  $L \in \mathcal{L}(\text{PC-RWW})$ ), then  $L^* \in \mathcal{L}(\text{PC-RLWW})$  ( $L^* \in \mathcal{L}(\text{PC-RRWW})$ ,  $L^* \in \mathcal{L}(\text{PC-RWW})$ ), too.  $\square$

The positive closure  $L^+$  of a language  $L$  is quite the same as the closure  $L^*$  with the only difference that  $\varepsilon \in L^+$  holds if and only if  $\varepsilon \in L$  (see [HU79]). From Theorem 18 we can also conclude that the language classes  $\mathcal{L}(\text{PC-RWW})$ ,  $\mathcal{L}(\text{PC-RRWW})$ , and  $\mathcal{L}(\text{PC-RLWW})$  are closed under positive closure. The proof only has to be modified in the following way: If the input is  $\varepsilon$ , then  $\mathcal{M}'$  has to simulate  $\mathcal{M}$  on  $\varepsilon$  and check whether  $\mathcal{M}$  accepts  $\varepsilon$ . In the affirmative,  $\mathcal{M}'$  accepts  $\varepsilon$ , otherwise it does not.

**Corollary 12.**  *$\mathcal{L}(\text{PC-RWW})$ ,  $\mathcal{L}(\text{PC-RRWW})$ , and  $\mathcal{L}(\text{PC-RLWW})$  are closed under positive closure.*

The next closure properties we want to deal with are the closure under homomorphisms and inverse homomorphisms. Due to the strict limit of workspace and the length reducing property for the rewrite operations, we previously establish some more technical features. So we first show, that it is no advantage if we allow a restart operation without applying a rewrite step in the same cycle, additional to the usual restart operation that can only be applied after exactly one rewrite step was executed in the considered cycle. Then we show how to use a subsystem in order to simulate a single restarting automaton with a bigger tape. That is, the tapes of the subsystem’s components are put together to one tape, and the communication is used to simulate a single automaton with one (imagined) window operating on the whole common tape.

**Lemma 4.** *Let  $M$  be a restarting automaton of type  $(\text{det-})X$ ,  $X \in \mathcal{T}$ , with the following property:  $M$  is allowed to perform a restart operation even if no rewrite step was performed in the same cycle. Further, let  $M'$  be obtained from  $M$  by disallowing these particular restart steps. Then  $M \equiv_c M'$ .*

*Proof.* Let

$$q_0 \phi w \$ = w_0 \vdash_M w_1 \vdash_M w_2 \vdash_M \dots \vdash_M w_r$$

be an arbitrary computation of  $M$  for an input word  $w$ . Consider a part of such a computation

$$q_0 \phi u \$ \vdash_M^* u_1 q u_2 \vdash_M^{\text{Restart}} q_0 \phi u \$,$$

where  $q_0 \phi u \$$  is a restart configuration and either  $u_1 = \varepsilon$  and  $u_2 = \phi u \$$  or  $u_1 = \phi u'_1$ ,  $u_2 = u'_2 \$$ , and  $u'_1 u'_2 = u$ . The tape content has not been changed, as no rewrite step took place, and so, the same restart configuration is reached again. If a computation of  $M$ , beginning from the initial configuration and reaching a configuration  $\kappa$ , includes such a part, that is, there exists a cycle with a restart but no rewrite

$$q_0 \phi w \$ \vdash_M^{r_1} q_0 \phi u \$ \vdash_M^{r_2} u_1 q u_2 \vdash_M^{\text{Restart}} q_0 \phi u \$ \vdash_M^{r_3} \kappa,$$

then  $M$  could also perform the computation without this cycle:

$$q_0 \phi w \$ \vdash_M^{r_1} q_0 \phi u \$ \vdash_M^{r_3} \kappa.$$

Thus, there exists a computation  $q_0 \phi w \$ \vdash_M^* \kappa$  without cycles of this form. Since  $M'$  is defined exactly as  $M$ , it follows that  $q_0 \phi w \$ \vdash_{M'}^* \kappa$ . In particular, this holds for  $\kappa = \text{Accept}$  and for configurations  $\kappa$  containing request and response states. Hence, it follows that  $L(M) = L(M')$  and, moreover,  $M \equiv_c M'$ .  $\square$

For deterministic automata a cycle without a rewrite step leads immediately to an infinite computation, since a restarting configuration is reached twice, and the resulting computation loops. Now, we use the result from the previous lemma and show how a PCRA (sub)system of degree  $n$  can simulate a single restarting automaton with a tape that is  $n$  times the size of the input word. In particular, this result shows that the available workspace within a PCRA system can be increased by an arbitrary constant factor by increasing the number of components.

**Lemma 5.** *A  $(\text{det-})PC\text{-}X\text{-system}$ ,  $X \in \mathcal{T}$ , of degree  $n$  can be constructed that behaves on input  $w$  like an individual  $(\text{det-})X\text{-automaton}$  on input  $w^n$ .*

*Proof.* Let  $\mathcal{S} = (M_1, M_2, \dots, M_n)$  be a  $(\text{det-})PC\text{-}X\text{-system}$  with  $X \in \mathcal{T}$ . Let further  $M_{\mathcal{S}} = (Q, \Sigma, \Gamma, \phi, \$, q_0, k, \delta_{\mathcal{S}})$  be the automaton that is to be simulated by  $\mathcal{S}$ .

Basically, the finite control of  $M_{\mathcal{S}}$  is simulated by the finite control of  $M_1$ , and the tape of  $M_{\mathcal{S}}$  is obtained by concatenating the tapes of all components of  $\mathcal{S}$  as illustrated in Figure 5.5 ( $w_1$ ,  $w_2$ , and  $w_n$  are used in the picture to signalize different tape contents, although all components start their computations with the same input word). Sometimes the tape of  $M_{\mathcal{S}}$  is also called the common tape of  $\mathcal{S}$ . The read/write window of  $M_{\mathcal{S}}$  is realized in  $\mathcal{S}$  by cooperation of the windows of  $M_1, \dots, M_n$  through communication.

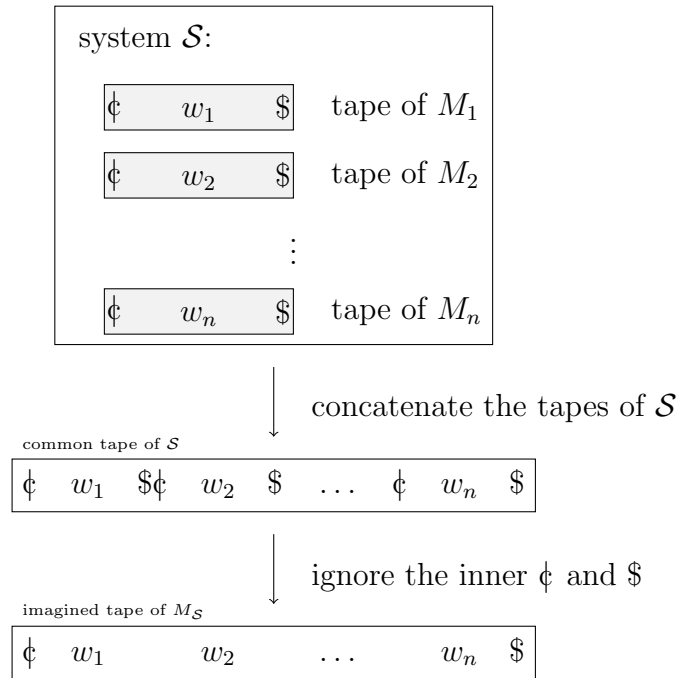


Figure 5.5: The tapes of the system  $\mathcal{S}$  are used to simulate the tape of automaton  $M_{\mathcal{S}}$ .

At the very beginning and after each restart operation, all components except the first one move their windows one position to the right such that the windows are placed directly to the right of the  $\phi$ -symbol. Then  $\mathcal{S}$  can execute any of the following operations of  $M_{\mathcal{S}}$ : 1) MVR step, 2) MVL step, 3) rewrite step, 4) restart step, 5) accept step, and 6) communication. The last item is necessary if  $M_{\mathcal{S}}$  is a component within a system (then,  $\mathcal{S}$  can be seen as a subsystem). Before one of the operations can be performed,  $\mathcal{S}$  has to determine the current window content. Now, it is explained in detail how  $\mathcal{S}$  simulates the different operations.

Reading the current content of the common window. The common window can be positioned on an arbitrary cell of any of the component's tapes. To determine the current content of the common window,  $\mathcal{S}$  proceeds as follows. If the window of  $M_1$  does not contain the  $\$$ -symbol, then the content of the common window is the content of  $M_1$ 's window. If the  $\$$ -symbol appears in the window of  $M_1$ , then  $M_1$  reads some  $\alpha_1\$$  with  $|\alpha_1| = l < k$ . In this case  $M_1$  knows the first  $l$  symbols of

the common window. But since  $l < k$  and the inner  $\phi$ - and  $\$$ -symbols are ignored, at least one symbol of the common window's content is still missing. Therefore,  $M_1$  tries to obtain the remaining symbols from  $M_2$ . It sends a message to  $M_2$  and asks for its window content. Then,  $M_2$  sends its current window content  $\alpha_2$  (excluding  $\phi$  and  $\$$ ). If  $|\alpha_1| + |\alpha_2| < k$ , then some symbols of the common window content are still missing. Thus,  $M_1$  asks  $M_3$  for its window content and so forth. This proceeds until either  $M_1$  has obtained altogether  $k$  symbols or the obtained string ends by  $\$$ , that is, the common window has reached the right hand end of the tape, and  $M_n$  sent a string to  $M_1$  that ends by  $\$$ .

After this procedure  $M_1$  knows the current content of the common window and can now simulate one of the above mentioned transitions.

Moving the common window. Whenever  $M_S$  performs a MVL step or a MVR step ( $MVL \in \delta_S(q, \alpha)$ ,  $MVR \in \delta_S(q, \alpha)$ ), then  $\mathcal{S}$  has to move the common window one position to the left or to the right, respectively. This is controlled by  $M_1$  as follows. If the content of  $M_1$ 's window is not equal to  $\$$  (the common window is placed on  $M_1$ 's tape), then  $M_1$  just performs a MVL step or a MVR step, respectively. If the window is already placed on the leftmost end of the tape, then no MVL operation is possible, and  $M_1$  continues its computation without moving its window. If the window content of  $M_1$  is equal to  $\$$ , then the common window is currently positioned on some other tape. Thus,  $M_1$  asks  $M_2$  to move the common window and expects one of three possible answers from  $M_2$ : 'yes, I moved the common window', 'no, the common window is not placed on my tape', or 'yes, I moved the common window, and I reached the  $\phi$ -symbol' (only for the MVL step). In the former case, the operation is finished, and  $M_1$  continues with reading the current content of the common window again. In the second case,  $M_1$  asks  $M_3$ . If  $M_3$  gives the second answer, too, then  $M_1$  asks  $M_4$  and so forth until either one component sends the first answer or  $M_n$  sends the second answer, that is, the common window only contains the  $\$$ -symbol, and therefore, the window cannot be moved to the right. The third answer is sent by a component if it moves its window to the left and thereby reaches the  $\phi$ -symbol. In this situation the common window exceeds the border between the answering component and its predecessor component (for a component  $M_i$  the predecessor component is  $M_{i-1}$ ). Thus, the window of the predecessor component that is currently placed on the  $\$$ -symbol has to perform a MVL step, too. If the predecessor component also reaches the  $\phi$ -symbol (because its tape contains only  $\phi\$$ ), then the predecessor of the predecessor has to perform a MVL step and so on. This procedure is controlled by  $M_1$  through a determined communication protocol.

Rewrite step. If  $M_S$  performs a rewrite operation  $(q, \beta) \in \delta_S(p, \alpha)$ , then  $\mathcal{S}$  simulates it by the same rewriting at the the same position of the common tape.

Since there are various different options for the position of the common window, we explain now, how  $\mathcal{S}$  works for these different cases.

Case 1: The whole common window is placed on the tape of exactly one component  $M_i$  ( $1 \leq i \leq n$ ). Then  $M_i$  just replaces  $\alpha$  by  $\beta$ , and afterwards its window has the correct position, that is, to the right of the currently written  $\beta$ .

Case 2: The common window ranges over the tapes of several components, let us say over the  $m$  tapes of components  $M_i, M_{i+1}, \dots, M_{i+m-1}$ . Let  $\alpha = \alpha_0 \alpha_1 \dots \alpha_{m-1}$ , where  $\alpha_j$  is the part of  $\alpha$  that is placed on the tape of  $M_{i+j}$ . That means,  $\alpha_0$  is a non-empty suffix of the tape content of  $M_i$  (without  $\$$ ),  $\alpha_1, \dots, \alpha_{m-2}$  are the possibly empty complete tape contents of  $M_{i+1}, \dots, M_{i+m-2}$  (excluding  $\phi$  and  $\$$ ), and  $\alpha_{m-1}$  is a non-empty prefix of the tape content of  $M_{i+m-1}$  (without  $\phi$ ). This is illustrated in Figure 5.6. Further, let  $t = |\{\alpha_j \mid 1 \leq j \leq m-2, \alpha_j = \varepsilon\}|$  be the number of empty tapes. Then, we can distinguish between two more cases: (a)  $|\beta| \leq |\alpha| - m + t$  and (b)  $|\alpha| - m + t < |\beta| (\leq |\alpha| - 1)$ .



Figure 5.6: The common window of  $\mathcal{S}$  ranges over several tapes.

Case 2a: If  $|\beta| \leq |\alpha| - m + t$ , then  $\beta$  is short enough that each of  $M_i, M_{i+1}, \dots, M_{i+m-1}$  can perform a length reducing rewrite operation. Then there exists a unique factorization of  $\beta$  into  $\beta = \beta_0 \beta_1 \dots \beta_{m-1}$  such that:

$$\begin{aligned} \exists r \in \{0, \dots, m-1\} : \quad & \forall j < r : |\beta_j| = |\alpha_j| - 1, \text{ if } \alpha_j \neq \varepsilon, \text{ and } \beta_j = \varepsilon, \text{ otherwise;} \\ & \wedge |\beta_r| < |\alpha_r|; \\ & \wedge \forall j > r : \beta_j = \varepsilon. \end{aligned}$$

Observe, the factorization of  $\beta$  can be obtained deterministically. All components  $M_{i+j}$ ,  $0 \leq j \leq m-1$ , replace  $\alpha_j$  by  $\beta_j$ .

Case 2b: In the case that  $|\alpha| - m + t < |\beta|$ , there exists at least one component of  $M_i, M_{i+1}, \dots, M_{i+m-1}$  that cannot apply a length reducing rewrite. If  $M_S$  is an R-, RR-, or RL-automaton, then it can only delete some symbols of  $\alpha$  and the corresponding components of  $\mathcal{S}$  delete the relevant symbols from their tapes. In the general case ( $M_S$  is of type RW, RWW, RRW, RRWW, RLW, or RLWW)  $\alpha$  could be rewritten by a  $\beta$  that consists of completely different symbols than  $\alpha$ , so that all symbols of  $\alpha$  are replaced. Therefore we have to find a solution for those components that cannot make a length reducing rewrite step. They behave as follows. Let  $M_{i+j}$ ,  $0 \leq j < m$ , be such a component. It deletes  $\alpha_j$  from its tape

and stores  $\beta_j$  in the finite control. This is possible because  $|\beta_j| < k$ , so the length of the stored string is bounded by the constant  $k - 1$ .

Then, when a component  $M_i$ ,  $1 \leq i \leq n - 1$  reads the local window content, moves the local window, or applies further local rewrite steps, the right sentinel  $\$$  is interpreted as the string  $\gamma\$$  from the finite control, where  $\gamma$  is the string that is currently stored within the finite control. For  $M_n$  the  $\phi$ -symbol is interpreted as  $\phi\gamma$ . Later replacings of (a part of)  $\gamma$  are realized by changing it within the finite control.

In order to not forget the stored  $\gamma$  within the finite control, the nonforgetting property is needed. As described in Section 5.4 we can eliminate this property by using some extra components.

Restart step. When  $M_S$  performs a restart operation ( $\mathbf{Restart} \in \delta_S(q, \alpha)$ ), then  $\mathcal{S}$  behaves as follows.  $M_1$  sends the message ‘restart’ to each component and performs a restart operation on its own. Whenever one of the other components obtains the restart message, then it performs a restart operation immediately, moves its window one cell to the right, and changes into a request state to await further instructions from  $M_1$ . Consider that there exist situations where a component did not perform a rewrite step in the current cycle before it obtains the restart message (e.g. if the common window was only moved over its tape without performing any other operations). For such cases we use Lemma 4, and the component can nevertheless perform the restart operation. Figure 5.7 demonstrates the situation after  $\mathcal{S}$  has executed a restart operation.

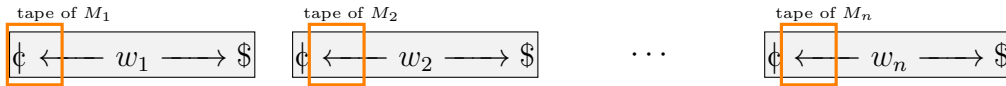


Figure 5.7: Situation of  $\mathcal{S}$  after a restart operation.

Accept step. Whenever  $M_S$  can perform an accept step ( $\mathbf{Accept} \in \delta_S(q, \alpha)$ ), then  $M_1$  and thus  $\mathcal{S}$  can also accept reading  $\alpha$  with the common window.

Communication. Consider  $M_S$  as a component within a system  $\mathcal{C}$ . Then,  $M_S$  is allowed to communicate with other components of  $\mathcal{C}$  by reaching communication states. Our system  $\mathcal{S}$  that simulates  $M_S$  can then be interpreted as a subsystem within the system  $\mathcal{C}$  and has to behave exactly like  $M_S$  according to the communication with other components. We call  $M_1$  the ‘representative’ of  $\mathcal{S}$ , that is, only  $M_1$  handles all communication between  $\mathcal{S}$  and the other components of  $\mathcal{C}$ . For the simulation, this means that, whenever  $M_S$  reaches a request or response state, then  $M_1$  reaches the same communication state, too. Moreover, any transition of  $M_S$  that is based on a receive or acknowledge state is handled like any other transition of  $M_S$ .



Summarizing, we have constructed a system  $\mathcal{S}$  that simulates the behaviour of an automaton  $M_{\mathcal{S}}$ . Thus, in the further work it suffices to describe  $M_{\mathcal{S}}$  that is allowed to use a tape of length  $l \cdot |w|$ , where  $l$  is any positive integer and  $w$  is the input word (the degree of  $\mathcal{S}$  depends on  $l$ ).  $\square$

Using the technique of Lemma 5, we can now show the closure under  $\varepsilon$ -free homomorphisms and inverse homomorphisms.

**Theorem 19.** *The language classes  $\mathcal{L}(PC\text{-}RWW)$ ,  $\mathcal{L}(PC\text{-}RRWW)$ , and  $\mathcal{L}(PC\text{-}RLWW)$  are closed under  $\varepsilon$ -free homomorphisms.*

*Proof.* Let  $L \in \mathcal{L}(X)$  with  $X \in \{PC\text{-}RWW, PC\text{-}RRWW, PC\text{-}RLWW\}$  be a language over the alphabet  $\Sigma$  and  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  be a PCRA system of type  $X$  with  $L(\mathcal{M}) = L$ . Further, let  $h : \Sigma^* \rightarrow \Delta^*$  be an  $\varepsilon$ -free homomorphism, i.e.  $|h(a)| \geq 1$  for all  $a \in \Sigma$ , and let  $\bar{\Sigma} = \{\bar{a} \mid a \in \Sigma\}$  be a set of copies of input symbols such that  $\bar{\Sigma} \cap \Delta = \emptyset$ . We construct a system  $\mathcal{M}' = (M_0, M_{1,1}, M_{1,2}, M_{2,1}, M_{2,2}, \dots, M_{n,1}, M_{n,2})$  that accepts exactly the language  $h(L) \subseteq \Delta^*$ .

Basically, each pair  $M_{i,1}$  and  $M_{i,2}$ ,  $1 \leq i \leq n$ , represents a subsystem  $\mathcal{M}_i$  of  $\mathcal{M}'$  that is used to simulate component  $M_i$  from the original system  $\mathcal{M}$  as described in Lemma 5.

Now,  $\mathcal{M}'$  works in two phases: (1) translation and (2) simulation. In the translation phase  $M_0$  moves its window one position to the right, i.e. directly to the right of  $\phi$ , and tries to interpret the window content (or a prefix of it) as an image  $h(a)$  for any  $a \in \Sigma$ . For this purpose, the window size of  $M_0$  is  $\max\{|h(a)| \mid a \in \Sigma\}$ . If the tape content of  $M_0$  does not begin with an image of  $h$ , then  $M_0$  gets stuck. Otherwise,  $M_0$  chooses nondeterministically a corresponding preimage, sends it to  $\mathcal{M}_1, \mathcal{M}_2, \dots, \mathcal{M}_n$ , removes the read image from the tape, executes a restart operation, and repeats these steps. Initially, all  $\mathcal{M}_i$ ,  $1 \leq i \leq n$ , have placed their windows at the left-hand side of the tapes and wait in a request state for instructions from  $M_0$ . If  $\mathcal{M}_i$  gets a message from  $M_0$  with a preimage  $a \in \Sigma$ , then it moves its window to the right over all symbols of  $\bar{\Sigma}$  and replaces the first two found input symbols of the tape, i.e. symbols contained in  $\Delta$ , with the copy of the preimage  $\bar{a}$ . Thereafter, it performs a restart operation immediately and expects further instructions from  $M_0$  again, waiting in an according request state.

If, after  $M_0$  performed the MVR step, its window contains only  $\$,$  then the whole input word was translated into its preimage, and  $M_0$  sends messages to  $\mathcal{M}_1, \mathcal{M}_2, \dots, \mathcal{M}_n$  that signalize the end of translation and the beginning of the simulation of  $\mathcal{M}$ . For an input word  $w = h(v)$  for any  $v \in \Sigma^*$ , the contents of the

tapes of  $\mathcal{M}_1, \mathcal{M}_2, \dots, \mathcal{M}_n$  now have the form  $\$ \bar{v} z \$$ , where  $z \in \Delta^*$  consists of the remaining input symbols. These symbols are removed from all tapes between the translation phase and the simulation phase. Observe that each of the subsystems  $\mathcal{M}_1, \dots, \mathcal{M}_n$  consists of two components and thus has a common tape size of  $2 \cdot |w|$ . That is indeed enough space for the translation, since at most  $|w|$  images have to be translated, and in each translation step exactly two symbols are rewritten. In the remaining computation of  $\mathcal{M}'$ ,  $M_0$  does not perform a computation step anymore.

In the simulation phase, the subsystems  $\mathcal{M}_i$ ,  $1 \leq i \leq n$ , behave on input  $\bar{v}$  mainly like the original components  $M_i$  on input  $v$  just as it is described in Lemma 5. For this, all symbols of  $\Sigma$  within transitions of  $M_i$  are replaced with their according copy from  $\bar{\Sigma}$ , and whenever  $M_i$  performs a restart step, then  $\mathcal{M}_i$  restarts in the original initial state of  $M_i$  instead of its own initial state. For doing this, the nonforgetting property is used that can be eliminated as described in Theorem 11.

For any input  $w$ , if  $w$  is an image of some  $v$ , then  $v$  can be guessed during the translation phase,  $\mathcal{M}$  is simulated on  $v$  by  $\mathcal{M}'$ , and  $\mathcal{M}'$  accepts if and only if  $\mathcal{M}$  accepts. Otherwise, i.e. the input is no valid image,  $M_0$  gets stuck in the translation phase,  $\mathcal{M}'$  does not reach the simulation phase, and thus cannot accept the input. Hence,  $L(\mathcal{M}') = h(L(\mathcal{M}))$ . Moreover,  $\mathcal{M}'$  is of the same type as  $\mathcal{M}$ , so  $h(L) \in \mathcal{L}(X)$ , which completes the proof.  $\square$

**Theorem 20.** *The language classes  $\mathcal{L}(\text{det-PC-}X)$  and  $\mathcal{L}(\text{PC-}X)$  are closed under inverse homomorphisms for all  $X \in \{RWW, RRWW, RLWW\}$ .*

*Proof.* Let  $L \in \mathcal{L}(\text{(det-)PC-}X)$  for  $X \in \{RWW, RRWW, RLWW\}$  be a language over the alphabet  $\Delta$ . Then there exists a (det-)PC- $X$ -system  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  with  $L(\mathcal{M}) = L$ . Let further  $h : \Sigma^* \rightarrow \Delta^*$  be a homomorphism and  $r = \max\{|h(a)| \mid a \in \Sigma\}$  is the size of the longest image of  $h$ . We build a system  $\mathcal{M}'$  with  $L(\mathcal{M}') = h^{-1}(L)$ , whose construction is nearly the same as that of the system constructed in the proof of Theorem 19. The only difference is the opposite direction of the translation, i.e. an input  $w \in \Sigma^*$  of  $\mathcal{M}'$  is interpreted as a preimage of  $h$  that is translated into the uniquely determined image  $h(w)$ . For this purpose,  $\mathcal{M}'$  consists of  $1 + n \cdot (r + 1)$  components:

$$\mathcal{M}' = (M_0, M_{1,1}, M_{1,2}, \dots, M_{1,r+1}, M_{2,1}, M_{2,2}, \dots, M_{2,r+1}, \\ \dots, M_{n,1}, M_{n,2}, \dots, M_{n,r+1})$$

and, for each  $1 \leq i \leq n$ , the components  $M_{i,1}, M_{i,2}, \dots, M_{i,r+1}$  are combined to a subsystem  $\mathcal{M}_i$ , so that for each component  $M_i$  of  $\mathcal{M}$  there is a subsystem  $\mathcal{M}_i$  in  $\mathcal{M}'$ .

For input  $w$ , the common tapes of  $\mathcal{M}_1, \dots, \mathcal{M}_n$  have a size of  $(r+1) \cdot |w|$ . In each translation step  $r+1$  symbols of the common tapes are replaced by  $\overline{h(a)}$  for a symbol  $a$  of the input word. After  $\mathcal{M}'$  executed  $|w|$  translation steps, the common tape of  $\mathcal{M}_1, \dots, \mathcal{M}_n$  contains the inscription  $\$ \overline{h(w)} \$$ , and  $\mathcal{M}$  can be simulated, where each of the original components  $M_i$  is simulated by the subsystem  $\mathcal{M}_i$ .

Summarizing, each input  $w$  of  $\mathcal{M}'$  is translated into the unique image  $h(w)$ , and then  $\mathcal{M}$  is simulated on  $h(w)$ . Thus,  $\mathcal{M}'$  accepts on input  $w$  if and only if  $\mathcal{M}$  accepts on input  $h(w)$ . Hence,  $L(\mathcal{M}') = h^{-1}(L(\mathcal{M}))$ . Moreover,  $\mathcal{M}'$  is of the same type as  $\mathcal{M}$ , and thus,  $h^{-1}(L) \in \mathcal{L}((\text{det-})\text{PC-X})$ .  $\square$

The question of whether the language classes accepted by PCRA systems are also closed under arbitrary homomorphisms, i.e. including homomorphisms that can erase symbols, must be answered with no. We prove this fact by using the known fact that each recursively enumerable language  $L$  can be written as  $h(L_1 \cap L_2)$ , where  $h$  is a homomorphism and  $L_1, L_2$  are deterministic context-free languages [Har78].

**Theorem 21.** *The language classes  $\mathcal{L}((\text{det-})\text{PC-X})$  are not closed under arbitrary homomorphisms for each  $X \in \mathcal{T}$ .*

*Proof.* We prove this by contradiction and assume that  $\mathcal{L}((\text{det-})\text{PC-X})$  is closed under applying arbitrary homomorphisms for any  $X \in \mathcal{T}$ . Let  $L$  be an arbitrary recursively enumerable language with the representation  $L = h(L_1 \cap L_2)$ , where  $h$  is a homomorphism and  $L_1, L_2$  are deterministic context-free languages [Har78]. Since  $\mathcal{L}((\text{det-})\text{PC-X}) \supseteq \text{DCFL}$ , it follows that  $L_1, L_2 \in \mathcal{L}((\text{det-})\text{PC-X})$ . From Theorem 14 we know that  $\mathcal{L}((\text{det-})\text{PC-X})$  is closed under intersection, hence  $(L_1 \cap L_2) \in \mathcal{L}((\text{det-})\text{PC-X})$ . Because of our assumption we have  $h(L_1 \cap L_2) \in \mathcal{L}((\text{det-})\text{PC-X})$ . But then  $\text{RE} \subseteq \mathcal{L}((\text{det-})\text{PC-X})$  would follow, which contradicts the fact that  $\mathcal{L}((\text{det-})\text{PC-X}) \subseteq \text{CSL} \subset \text{RE}$  (see Corollary 21). Thus, the assumption is false, that is,  $\mathcal{L}((\text{det-})\text{PC-X})$  is not closed under arbitrary homomorphisms for any  $X \in \mathcal{T}$ .  $\square$

Since in the proof of Theorem 20  $\Delta$  can include symbols that are not contained in  $\Sigma$ , the translation of the input into its image according to the homomorphism needs auxiliary symbols in general. Therefore, the result is restricted to the language classes of PCRA systems that are allowed to use auxiliary symbols. The following table summarizes the closure properties that we have established within this section. The first column contains the language classes that are mainly divided into deterministic and nondeterministic classes and classes with auxiliary symbols and those without. The meaning of the operations are from left to right: union,

intersection, intersection with a regular language, complementation, marked product, product, Kleene closure, positive closure, arbitrary homomorphism,  $\varepsilon$ -free homomorphism, and inverse homomorphism.

	$\cup$	$\cap$	$\cap_{REG}$	$^c$	$\cdot\#$	$\cdot$	$*$	$+$	$h$	$h_\varepsilon$	$h^{-1}$
$\mathcal{L}(\text{det-PC-R(R)(W)})$	+	+	+	+	+	?	?	?	-	?	?
$\mathcal{L}(\text{det-PC-RL(W)})$	+	+	+	+	+	?	?	?	-	?	?
$\mathcal{L}(\text{det-PC-R(R)WW})$	+	+	+	+	+	?	?	?	-	?	+
$\mathcal{L}(\text{det-PC-RLWW})$	+	+	+	+	+	?	?	?	-	?	+
$\mathcal{L}(\text{PC-R(R)(W)})$	+	+	+	?	+	?	?	?	-	?	?
$\mathcal{L}(\text{PC-RL(W)})$	+	+	+	?	+	?	?	?	-	?	?
$\mathcal{L}(\text{PC-R(R)WW})$	+	+	+	?	+	+	+	+	-	+	+
$\mathcal{L}(\text{PC-RLWW})$	+	+	+	?	+	+	+	+	-	+	+

The next corollary gives a concluding result of this section, namely that the language classes  $\mathcal{L}(\text{PC-RWW})$ ,  $\mathcal{L}(\text{PC-RRWW})$ , and  $\mathcal{L}(\text{PC-RLWW})$  are so-called AFLs (abstract families of languages).

**Corollary 13.** *The language classes  $\mathcal{L}(\text{PC-RWW})$ ,  $\mathcal{L}(\text{PC-RRWW})$ , and  $\mathcal{L}(\text{PC-RLWW})$  are AFLs (Abstract Families of Languages).*

*Proof.* This follows immediately from the closure under  $\varepsilon$ -free morphisms, inverse morphisms, intersection with regular languages, union, concatenation, and positive closure [RS97].  $\square$

**Corollary 14.** *The language classes  $\mathcal{L}(\text{PC-RWW})$ ,  $\mathcal{L}(\text{PC-RRWW})$ , and  $\mathcal{L}(\text{PC-RLWW})$  are not full AFLs.*

*Proof.* As we have seen in Theorem 21,  $\mathcal{L}(\text{PC-RWW})$ ,  $\mathcal{L}(\text{PC-RRWW})$ , and  $\mathcal{L}(\text{PC-RLWW})$  are not closed under applying arbitrary homomorphisms. Thus, they are not full AFLs.  $\square$

## 5.6 Computational power

In this section we investigate the computational power of PCRA systems and try to find some relations to languages classes of single restarting automata and other well-known complexity classes. The first lemma is quite obvious, as a system is at least as powerful as a system of the same type with less components.

**Lemma 6.** *Let  $X \in \mathcal{T}$ ,  $p \in \{\varepsilon, \text{det}, \text{mon}, \text{det-mon}\}$ , and  $n \geq 1$ . Then*

$$\mathcal{L}(p\text{-PC-}X(n)) \subseteq \mathcal{L}(p\text{-PC-}X(n+1)).$$

In particular, a PCRA system is at least as powerful as a single restarting automaton of the same type. The question of whether there are cases in which the number of the components yields a strict hierarchy, is still open.

The next two lemmata result directly from the definition of acceptance for the PCRA systems. Here, the notation  $L(M)$  for a component  $M$  describes the language that is accepted by  $M$  without performing any communication, that is, if we interpret  $M$  as a single restarting automaton outside the system (where reaching a communication state just means to reject the input).

**Lemma 7.** *Let  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  be a PCRA system. Then the following result holds:*

$$\forall i \in \{1, \dots, n\} : L(M_i) \subseteq L(\mathcal{M}).$$

*Proof.* A PCRA system accepts an input word if and only if at least one component reaches the accepting configuration. Thus, each input word that is accepted by any component is also accepted by the system.  $\square$

**Lemma 8.** *Let  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  be a PCRA system and let  $\mathcal{M}' = (M_1, M_2, \dots, M_n, M_{n+1})$ , that is,  $\mathcal{M}'$  includes  $\mathcal{M}$  and an additional component  $M_{n+1}$ . Then  $L(\mathcal{M}) \cup L(M_{n+1}) \subseteq L(\mathcal{M}')$ .*

*Proof.* If an input word is accepted by  $\mathcal{M}$  or  $M_{n+1}$ , then it is accepted by at least one component of  $\mathcal{M}$  or by  $M_{n+1}$ . Thus, the input is accepted by at least one component of  $\mathcal{M}'$  and hence by  $\mathcal{M}'$ . In the case that  $M_{n+1}$  does not communicate with any component of  $\mathcal{M}$ , then  $L(\mathcal{M}) \cup L(M_{n+1})$  coincides with  $L(\mathcal{M}')$ . On the other hand, if  $M_{n+1}$  accepts some words only due to communications between  $M_{n+1}$  and components of  $\mathcal{M}$ , then  $L(\mathcal{M}) \cup L(M_{n+1}) \subset L(\mathcal{M}')$  holds.  $\square$

For our next consideration we will use the language of the following example.

**Example 17.** The language

$$L_{a^n b^{(2)n+(+r)}} = \{a^n b^n \mid n \geq 0\} \cup \{a^n b^m \mid m > 2n \geq 0\}$$

is accepted by the following **det-mon-PC-R(2)**-system  $\mathcal{M}_{a^n b^{(2)n+(+r)}} = (M_1, M_2)$ . Since no communication is needed, we can describe the components by their meta-instructions:

$$\begin{array}{ll} M_1 : & (\phi \$, \text{Accept}), \\ & (\phi \cdot a^*, aabb \rightarrow ab), \\ & (\phi ab \$, \text{Accept}), \\ M_2 : & (\phi \cdot a^*, aabbb \rightarrow ab), \\ & (\phi, abbb \rightarrow b), \\ & (\phi, bb \rightarrow b), \\ & (\phi b \$, \text{Accept}). \end{array}$$

The first component just accepts the words of the first part of the language, and the second component accepts the second part. Due to the definition, the system accepts an input word if and only if either  $M_1$  or  $M_2$  accepts it.  $\square$

Example 17 shows that  $L_{a^n b^{(2)n+(+r)}} \in \mathcal{L}(\text{det-mon-PC-R}(2))$ , and thus, it is included in all the language classes  $\mathcal{L}((\text{det-})(\text{mon-})\text{PC-R(R)}(\text{W})(2))$ . Furthermore, we know from [Ott06] that  $L_{a^n b^{(2)n+(+r)}}$  can in fact be accepted by a **det-RWW**-automaton, but it cannot be accepted by any restarting automaton without auxiliary symbols. Together with Lemma 6 we can conclude the following:

**Corollary 15.** For all  $X \in \{R, RR, RW, RRW, RL, RLW\}$ ,

$$\mathcal{L}(X) \subset \mathcal{L}(\text{PC-X}(2)) \text{ and } \mathcal{L}(\text{det-X}) \subset \mathcal{L}(\text{det-PC-X}(2)).$$

For restarting automata with auxiliary symbols, that is, for automata of type RWW, RRWW, RLWW, det-RWW, det-RRWW, or det-RLWW, we have the following results.

**Theorem 22.**

- (a)  $\mathcal{L}(\text{RLWW}) \subseteq \mathcal{L}(\text{PC-RLW}(2))$ , (d)  $\mathcal{L}(\text{det-RLWW}) \subseteq \mathcal{L}(\text{det-PC-RLW}(2))$ ,
- (b)  $\mathcal{L}(\text{RRWW}) \subseteq \mathcal{L}(\text{PC-RRW}(2))$ , (e)  $\mathcal{L}(\text{det-RRWW}) \subseteq \mathcal{L}(\text{det-PC-RRW}(2))$ ,
- (c)  $\mathcal{L}(\text{RWW}) \subseteq \mathcal{L}(\text{PC-RW}(2))$ , (f)  $\mathcal{L}(\text{det-RWW}) \subseteq \mathcal{L}(\text{det-PC-RW}(2))$ .

*Proof.* Assume that the language  $L$  is accepted by an R(R)WW-automaton or det-R(R)WW-automaton, respectively. In [NO03] it was proved by Niemann and Otto that a language  $L$  is accepted by a (deterministic) R(R)WW-automaton if and only if there exist a (deterministic) R(R)W-automaton  $M$  and a regular language  $R$  such that  $L = L(M) \cap R$ . This proof can also be applied to RLWW-automata [Ott06]. Moreover, REG is a subset of all the language classes  $\mathcal{L}(\text{det-RW})$ ,  $\mathcal{L}(\text{det-RRW})$ ,

$\mathcal{L}(\text{det-RLW})$ ,  $\mathcal{L}(\text{RW})$ ,  $\mathcal{L}(\text{RRW})$ , and  $\mathcal{L}(\text{RLW})$  [Mrá01, Ott06]. Since all language classes obtained from PCRA systems are closed under intersection, there exists a PCRA system of type (det-)PC-RRW, (det-)PC-RW, or (det-)PC-RLW, respectively, that accepts  $L$ .  $\square$

Theorem 22 can be generalized as follows:

**Corollary 16.** *For each  $n \geq 2$  holds:*

- (a)  $\mathcal{L}(\text{PC-RLWW}(n)) \subseteq \mathcal{L}(\text{PC-RLW}(n+1))$ ,
- (b)  $\mathcal{L}(\text{PC-RRWW}(n)) \subseteq \mathcal{L}(\text{PC-RRW}(n+1))$ ,
- (c)  $\mathcal{L}(\text{PC-RWW}(n)) \subseteq \mathcal{L}(\text{PC-RW}(n+1))$ ,
- (d)  $\mathcal{L}(\text{det-PC-RLWW}(n)) \subseteq \mathcal{L}(\text{det-PC-RLW}(n+1))$ ,
- (e)  $\mathcal{L}(\text{det-PC-RRWW}(n)) \subseteq \mathcal{L}(\text{det-PC-RRW}(n+1))$ ,
- (f)  $\mathcal{L}(\text{det-PC-RWW}(n)) \subseteq \mathcal{L}(\text{det-PC-RW}(n+1))$ .

*Proof.* Here, we apply the proof of Theorem 3.1 of [NO03] to the components of a PCRA system. Let  $\mathcal{M} = (M_1, \dots, M_n)$  be a centralized system that accepts if and only if its first component accepts, and the components of  $\mathcal{M}$  are allowed to use auxiliary symbols. Further, let  $M_i = (Q_i, \Sigma, \Gamma_i, \phi, \$, q_i, k, \delta_i)$  for all  $1 \leq i \leq n$ . We construct a system  $\mathcal{M}' = (M'_1, \dots, M'_n, M_{n+1})$  with  $M'_i = (Q_i, \Gamma_i, \Gamma_i, \phi, \$, q_i, k, \delta_i)$  for all  $1 \leq i \leq n$ . Observe that each  $M'_i$  is communicational equivalent to  $M_i$ . Then,  $M'_1$  is modified such that instead of accepting the input it initiates a communication with  $M_{n+1}$ . Finally,  $M_{n+1}$  works like a finite automaton that accepts  $\Sigma^*$  and communicates with  $M'_1$  if and only if the input is contained in  $\Sigma^*$ . If this communication step is successful, then and only then  $M'_1$  accepts. Thus,  $L(\mathcal{M}') = L(\mathcal{M})$ .  $\square$

**Theorem 23.**  $\text{DCFL} \subset \mathcal{L}(\text{det-mon-PC-R}(2))$ .

*Proof.* The inclusion follows immediately from  $\text{DCFL} = \mathcal{L}(\text{det-mon-R})$  [JMPV95] and Corollary 15.  $\square$

Based on the definition of the acceptance criterion for PCRA systems, we can extend this result to finite unions and intersections of deterministic context-free languages.

**Corollary 17.** *Every finite union of deterministic context-free languages can be accepted by a det-mon-PC-R-system without using communication steps.*

**Corollary 18.** *Every finite intersection of deterministic context-free languages can be accepted by a det-mon-PC-R-system using a constant number of communication steps. Moreover, the used number of communication steps is at most the degree of the system minus one.*

*Proof.* For each deterministic context-free language that takes part in the intersection, one component is used to check membership. Then, if the input is an element of the first language, the first component sends a message to all the other components. Each of the other components for which the input is an element of the corresponding language replies to the first component. If all components reply to the first one, then the first one accepts the input, and hence, the system accepts the input.  $\square$

Particularly, we used this for the systems  $\mathcal{M}_{a^n b^{(2)n(+r)}}$ ,  $\mathcal{M}_{Gladkij}$ , and  $\mathcal{M}_{a^n b^n c^n d^n}$  accepting the languages

$$\begin{aligned} L_{a^n b^{(2)n(+r)}} &= \{a^n b^n \mid n \geq 0\} \cup \{a^n b^m \mid m > 2n \geq 0\}, \\ L_{Gladkij} &= \{w \# w^R \# w \mid w \in \{a, b\}^*\} \\ &= \{w \# w^R \# u \mid w, u \in \{a, b\}^*\} \cap \{u \# w^R \# w \mid w, u \in \{a, b\}^*\}, \text{ and} \\ L_{a^n b^n c^n d^n} &= \{a^n b^n c^n d^n \mid n \geq 1\} \\ &= \{a^n b^n c^i d^j \mid n, i, j \geq 1\} \cap \{a^i b^n c^n d^j \mid n, i, j \geq 1\} \cap \\ &\quad \{a^i b^j c^n d^n \mid n, i, j \geq 1\}. \end{aligned}$$

**Corollary 19.** *The class of all finite intersections of deterministic context-free languages is a proper subset of  $\mathcal{L}(\text{det-mon-PC-R})$ .*

*Proof.* The copy language  $L_{w \# w}$  cannot be written as a finite intersection of (deterministic) context-free languages [Wot73]. On the other hand, we have seen in Example 9 that this language is accepted by a  $\text{det-mon-PC-R}(2)$ -system.  $\square$

Let  $\mathcal{L}$  be a language class. The boolean closure of  $\mathcal{L}$  is the smallest family of languages that contains  $\mathcal{L}$  and that is closed under (finite) union and complementation [Sal73]. Thus, we have established a first lower bound for PCRA systems, which is the boolean closure of the deterministic context-free languages. In the next part of this section we will improve this lower bound by comparing PCRA systems to multi-head automata and systems of parallel communicating finite automata.

### 5.6.1 Comparison with multi-head automata and PC systems of finite automata

It is already known that some particular types of restarting automata with window size one characterize exactly the set of the regular languages:  $\text{R}(1)$ ,  $\text{RW}(1)$ ,  $\text{RWW}(1)$  (see [Mrá01]), and  $\text{det-RR}(1)$  (see [Rei07]). We want to use this fact to compare the PC systems of finite automata with PC systems of such a simple type of restarting automata, and we will see that, although the components



have the same computational power (accepting the regular languages), the various approaches of communication and cooperation lead to systems with different computational power.

The connections between the language classes characterized by multi-head automata and PCFA systems are given in Section 3. In the next theorem we put the language classes of PCRA systems in this context. For doing so, we show by a straightforward construction that every (deterministic)  $n$ -head automaton can be simulated by a (deterministic) PCRA system with  $n$  components and window size one. Moreover, there are languages accepted by **det-PC-R(2,1)**-systems, for which there does not exist a (nondeterministic) one-way multi-head automaton accepting the same language.

**Theorem 24.**

$$(a) \quad \mathcal{L}(1\text{-NFA}(1)) = \mathcal{L}(PCFA(1)) = \mathcal{L}(PC\text{-R}(1, 1)) \\ = \mathcal{L}(1\text{-DFA}(1)) = \mathcal{L}(DPCFA(1)) = \mathcal{L}(\text{det-PC-R}(1, 1)) = \text{REG}.$$

For all  $n \geq 2$ ,

$$(b) \quad \mathcal{L}(2\text{-NFA}(n)) \subseteq \mathcal{L}(PC\text{-RL}(n, 1)), \\ (c) \quad \mathcal{L}(2\text{-DFA}(n)) \subseteq \mathcal{L}(\text{det-PC-RL}(n, 1)), \\ (d) \quad \mathcal{L}(PCFA(n)) = \mathcal{L}(1\text{-NFA}(n)) \subset \mathcal{L}(PC\text{-R}(n, 1)), \text{ and} \\ (e) \quad \mathcal{L}(DPCFA(n)) = \mathcal{L}(1\text{-DFA}(n)) \subset \mathcal{L}(\text{det-PC-R}(n, 1)).$$

*Proof.* Line (a) follows immediately from the equivalence of  $\mathcal{L}(R(1))$ ,  $\mathcal{L}(\text{det-R}(1))$ , and the class of regular languages [Mrá01, Rei07]. Statement (b) is proved by a straightforward construction of a  $PC\text{-RL}(n, 1)$ -system from a two-way  $n$ -head automaton. Let  $A = (Q_A, \Sigma, n, \delta_A, \clubsuit, \$, q_0, F)$  be a nondeterministic two-way  $n$ -head finite automaton. A PCRA system  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  of type  $PC\text{-RL}(n, 1)$  can simulate  $A$  as follows. The first component simulates the first head of  $A$  and determines the transitions to be simulated, while the components  $M_2, \dots, M_n$  are used to simulate the other  $n - 1$  heads of  $A$ . For doing so,  $M_1$  asks  $M_2$  to  $M_n$  for the symbols they currently read, then it determines the transition of  $A$  to be simulated, and sends  $M_2$  to  $M_n$  the information about their head movements. The various components of  $\mathcal{M}$  are defined as follows. For each  $2 \leq i \leq n$ ,  $M_i = (Q_i, \Sigma, \Sigma, \clubsuit, \$, q_0^{(i)}, 1, \delta_i)$ , where  $Q_i = \{q_0^{(i)}, \text{req}_1^1, \text{rec}_{-1}^1, \text{rec}_1^1, \text{rec}_0^1\} \cup \{\text{res}_a^1, \text{ack}_a^1 \mid a \in \Sigma\}$  and

$$\begin{aligned} \delta_i(q_0^{(i)}, a) &= \{\text{res}_a^1\}, & \delta_i(\text{rec}_{-1}^1, a) &= \{(q_0^{(i)}, \text{MVL})\}, \\ \delta_i(\text{ack}_a^1, a) &= \{\text{req}_1^1\}, & \delta_i(\text{rec}_1^1, a) &= \{(q_0^{(i)}, \text{MVR})\}, \\ & & \delta_i(\text{rec}_0^1, a) &= \{\text{res}_a^1\}. \end{aligned}$$

for all  $a \in \Sigma \cup \{\clubsuit, \$\}$ . The component  $M_1 = (Q_1, \Sigma, \Sigma, \clubsuit, \$, \text{req}_{q_0}^2, 1, \delta_1)$  is defined as follows, where  $q \in Q_A$  and  $a \in \Sigma \cup \{\clubsuit, \$\}$ , and  $Q_1$  is given implicitly through

the description of  $\delta_1$ :

$$\begin{aligned}
\delta_1(q, a) &= \{\text{req}_{(q)}^2\}, \\
\delta_1(\text{rec}_{(q),c_2}^2, a) &= \{\text{req}_{(q,c_2)}^3\}, \\
\delta_1(\text{rec}_{(q,c_2),c_3}^3, a) &= \{\text{req}_{(q,c_2,c_3)}^4\}, \\
&\vdots \\
\delta_1(\text{rec}_{(q,c_2,c_3,\dots,c_{n-2}),c_{n-1}}^{n-1}, a) &= \{\text{req}_{(q,c_2,\dots,c_{n-1})}^n\}, \\
\delta_1(\text{rec}_{(q,c_2,c_3,\dots,c_{n-1}),c_n}^n, a) &= \\
&\quad \{ \text{res}_{(p,d_1,d_2,\dots,d_{n-1}),d_n}^n \mid (p, (d_1, d_2, d_3, \dots, d_n)) \in \delta_A(q, (a, c_2, c_3, \dots, c_n)) \} \\
&\quad \cup \{ \text{Accept} \mid \delta_A(q, (a, c_2, c_3, \dots, c_n)) = \emptyset \text{ and } q \in F \}, \\
\delta_1(\text{ack}_{(p,d_1,d_2,\dots,d_{n-1}),d_n}^n, a) &= \{ \text{res}_{(p,d_1,d_2,\dots,d_{n-2}),d_{n-1}}^{n-1} \},
\end{aligned}$$

$$\begin{aligned}
\delta_1(\text{ack}_{(p,d_1,d_2,\dots,d_{n-2}),d_{n-1}}^{n-1}, a) &= \{ \text{res}_{(p,d_1,d_2,\dots,d_{n-3}),d_{n-2}}^{n-2} \}, \\
&\vdots \\
\delta_1(\text{ack}_{(p,d_1,d_2),d_3}^3, a) &= \{ \text{res}_{(p,d_1),d_2}^2 \}, \\
\delta_1(\text{ack}_{(p,d_1),d_2}^2, a) &= \begin{cases} \{(p, \text{MVL})\}, & \text{if } d_1 = -1, \\ \{\text{req}_{(p)}^2\}, & \text{if } d_1 = 0, \\ \{(p, \text{MVR})\}, & \text{if } d_1 = 1. \end{cases}
\end{aligned}$$

For an input word  $w \in \Sigma^*$ , the initial configuration of  $A$  is  $(q_0 \updownarrow w \$, \dots, q_0 \updownarrow w \$)$ , and the simulation of  $A$  by  $\mathcal{M}$  starts with

$$(q_0^{(1)} \updownarrow w \$, q_0^{(2)} \updownarrow w \$, \dots, q_0^{(n)} \updownarrow w \$) \vdash_{\mathcal{M}} (\text{req}_{(q_0)}^2 \updownarrow w \$, \text{res}_{\updownarrow}^1 \updownarrow w \$, \dots, \text{res}_{\updownarrow}^1 \updownarrow w \$).$$

A computation step of  $A$  of the form

$$(u_1 q a_1 v_1, u_2 q a_2 v_2, \dots, u_n q a_n v_n) \vdash_A (x_1 p b_1 y_1, x_2 p b_2 y_2, \dots, x_n p b_n y_n)$$



The PCRA system  $\mathcal{M}$  is deterministic if  $A$  is. Moreover,  $\mathcal{M}$  consists of one-way components only, if  $A$  is a one-way multi-head automaton. In the latter case the inclusion is proper, as the marked mirror language  $L_{w\#w^R} = \{w\#w^R \mid w \in \{a, b\}^+\}$  is not accepted by any one-way multi-head automaton, but it is accepted by the det-PC-R(2,1)-system  $\mathcal{M}_{w\#w^R}$  that is given in the Example 18 below.  $\square$

**Example 18.** The marked mirror language

$$L_{w\#w^R} = \{w\#w^R \mid w \in \{a, b\}^+\}$$

is accepted by the following det-PC-R(2,1)-system  $\mathcal{M}_{w\#w^R} = (M_1, M_2)$ :

$M_1 = (\{q_0, q_1, q_2, q_a, q_{a2}, q_b, q_{b2}, q_e, \text{req}, \text{rec}_{\text{mvr}}, \text{res}_{\text{mvr}}, \text{ack}_{\text{mvr}}, \text{res}_a, \text{res}_b, \text{ack}_a, \text{ack}_b, q_r, \text{rec}_{\text{del}}\}, \{a, b, \#\}, \{a, b, \#\}, \clubsuit, \$, q_0, 1, \delta_1)$  with  $\delta_1$  defined as follows:

- 1)  $\delta_1(q_0, \clubsuit) = (q_0, \text{MVR}), \delta_1(q_0, a) = (q_a, \text{MVR}), \delta_1(q_0, b) = (q_b, \text{MVR}),$
- 2)  $\delta_1(q_a, \#) = (q_{a2}, \text{MVR}), \delta_1(q_b, \#) = (q_{b2}, \text{MVR}),$
- 3)  $\delta_1(q_{a2}, a) = (q_e, \text{MVR}), \delta_1(q_{b2}, b) = (q_e, \text{MVR}),$
- 4)  $\delta_1(q_e, \$) = \text{Accept},$
- 5)  $\delta_1(q_a, a) = \delta_1(q_a, b) = \delta_1(q_b, a) = \delta_1(q_b, b) = \text{req},$
- 6)  $\delta_1(\text{rec}_{\text{mvr}}, a) = \delta_1(\text{rec}_{\text{mvr}}, b) = (q_1, \text{MVR}),$
- 7)  $\delta_1(q_1, a) = \delta_1(q_1, b) = \delta_1(q_1, \#) = \text{res}_{\text{mvr}},$
- 8)  $\delta_1(\text{ack}_{\text{mvr}}, a) = \delta_1(\text{ack}_{\text{mvr}}, b) = (q_1, \text{MVR}),$
- 9)  $\delta_1(\text{ack}_{\text{mvr}}, \#) = (q_2, \text{MVR}),$
- 10)  $\delta_1(q_2, a) = \text{res}_a, \delta_1(q_2, b) = \text{res}_b,$
- 11)  $\delta_1(\text{ack}_a, a) = \delta_1(\text{ack}_b, b) = (q_r, \varepsilon),$
- 12)  $\delta_1(q_r, a) = \delta_1(q_r, b) = \delta_1(q_r, \#) = \text{Restart},$
- 13)  $\delta_1(\text{rec}_{\text{del}}, a) = \delta_1(\text{rec}_{\text{del}}, b) = (q_r, \varepsilon),$

$M_2 = (\{q_0, q_1, q_r, \text{res}_{\text{mvr}}, \text{ack}_{\text{mvr}}, \text{rec}_{\text{mvr}}, \text{req}, \text{rec}_a, \text{rec}_b, \text{res}_{\text{del}}, \text{ack}_{\text{del}}\}, \{a, b, \#\}, \{a, b, \#\}, \clubsuit, \$, q_0, 1, \delta_2)$ , where  $\delta_2$  is defined as follows:

- 14)  $\delta_2(q_0, \clubsuit) = (q_0, \text{MVR}),$
- 15)  $\delta_2(q_0, a) = \delta_2(q_0, b) = \text{res}_{\text{mvr}},$
- 16)  $\delta_2(\text{ack}_{\text{mvr}}, a) = \delta_2(\text{ack}_{\text{mvr}}, b) = \text{req},$
- 17)  $\delta_2(\text{rec}_{\text{mvr}}, a) = \delta_2(\text{rec}_{\text{mvr}}, b) = (q_1, \text{MVR}),$
- 18)  $\delta_2(q_1, a) = \delta_2(q_1, b) = \text{req},$
- 19)  $\delta_2(\text{rec}_a, a) = \delta_2(\text{rec}_b, b) = \text{res}_{\text{del}},$
- 20)  $\delta_2(\text{ack}_{\text{del}}, a) = \delta_2(\text{ack}_{\text{del}}, b) = (q_r, \varepsilon),$
- 21)  $\delta_2(q_r, a) = \delta_2(q_r, b) = \delta_2(q_r, \#) = \text{Restart}.$

The behaviour of the system  $\mathcal{M}_{w\#w^R}$  is described as follows, where the numbers in brackets correspond to the transitions used.

1. Initially  $M_1$  moves the window two steps to the right, storing the first symbol to the right of the  $\phi$ -symbol within its internal control (1). The automaton  $M_2$  moves the window initially one step to the right (14). Now, the window of  $M_1$  is exactly one position further to the right as the window of  $M_2$ .
2. If the second symbol to the right of the  $\phi$ -symbol is the  $\#$ -symbol, then  $M_1$  checks whether the tape content is of the form  $\phi a \# a \$$  or  $\phi b \# b \$$ . In the affirmative  $M_1$  accepts (2-4).
3. If the second symbol to the right of the  $\phi$ -symbol is not the  $\#$ -symbol, then both windows move right stepwise and synchronously until  $M_1$  reads the  $\#$ -symbol (5-8 and 15-18). The window of  $M_2$  is now exactly on the last symbol to the left of  $\#$ .
4. Then,  $M_1$  moves across the  $\#$  (9), reads the first symbol of the second syllable, and sends it to  $M_2$  (10). So  $M_1$  and  $M_2$  can compare the symbols positioned directly before and behind the  $\#$ -symbol. If both symbols are different, then  $M_2$  gets stuck, and  $M_1$  cannot accept anymore (as we will see later). If both symbols are equal, then  $M_2$  sends a message to  $M_1$  saying that  $M_1$  now has to delete one symbol of the first syllable (19).
5. After comparing the two symbols positioned directly before and behind the  $\#$ -symbol,  $M_1$  deletes the first symbol of the second syllable (11), applies a restart operation (12), moves the window again two steps to the right (1), deletes the current symbol from the tape (5, 13), and applies one more restart. Meanwhile  $M_2$  deletes the symbol to the left of  $\#$  and applies a restart operation (20, 21). Now the computation is repeated from the first step.

Observe that  $M_2$  never reads or changes the second syllable, and the window of  $M_2$  never moves across the  $\#$ -symbol. On the other hand,  $M_1$  not only works on the second syllable during the comparison, but it shortens the first syllable as well, so that the leftmost syllables of both,  $M_1$  and  $M_2$ , have the same length. This is important for counting the number of MVR steps, so that the window of  $M_2$  is positioned exactly one position before  $\#$ . For that,  $M_1$  deletes the second symbol of the first syllable after each comparison. Thus, the first symbol of the first syllable remains unchanged and can therefore be used in the last cycle, where  $M_1$  compares this symbol with the last symbol of the second syllable. A computation of  $\mathcal{M}_{w\#w^R}$  for the input word  $ab\#ba$  looks as follows:

$\vdash_{\mathcal{M}} (q_0\phi ab\#ba\$, \quad q_0\phi ab\#ba\$)$	$\vdash_{\mathcal{M}} (q_0\phi ab\#a\$, \quad \phi ares_{del}b\#ba\$)$
$\vdash_{\mathcal{M}} (\phi q_0ab\#ba\$, \quad \phi q_0ab\#ba\$)$	$\vdash_{\mathcal{M}} (\phi q_0ab\#a\$, \quad \phi ares_{del}b\#ba\$)$
$\vdash_{\mathcal{M}} (\phi aq_ab\#ba\$, \quad \phi res_{mvr}ab\#ba\$)$	$\vdash_{\mathcal{M}} (\phi aq_ab\#a\$, \quad \phi ares_{del}b\#ba\$)$
$\vdash_{\mathcal{M}} (\phi areqb\#ba\$, \quad \phi res_{mvr}ab\#ba\$)$	$\vdash_{\mathcal{M}} (\phi areqb\#a\$, \quad \phi ares_{del}b\#ba\$)$
$\vdash_{\mathcal{M}} (\phi arec_{mvr}b\#ba\$, \quad \phi ack_{mvr}ab\#ba\$)$	$\vdash_{\mathcal{M}} (\phi arec_{del}b\#a\$, \quad \phi aack_{del}b\#ba\$)$
$\vdash_{\mathcal{M}} (\phi abq_1\#ba\$, \quad \phi reqab\#ba\$)$	$\vdash_{\mathcal{M}} (\phi aq_r\#a\$, \quad \phi aq_r\#ba\$)$
$\vdash_{\mathcal{M}} (\phi abres_{mvr}\#ba\$, \quad \phi reqab\#ba\$)$	$\vdash_{\mathcal{M}} (q_0\phi a\#a\$, \quad q_0\phi a\#ba\$)$
$\vdash_{\mathcal{M}} (\phi aback_{mvr}\#ba\$, \quad \phi rec_{mvr}ab\#ba\$)$	$\vdash_{\mathcal{M}} (\phi q_0a\#a\$, \quad \phi q_0a\#ba\$)$
$\vdash_{\mathcal{M}} (\phi ab\#q_2ba\$, \quad \phi aq_1b\#ba\$)$	$\vdash_{\mathcal{M}} (\phi aq_a\#a\$, \quad \phi res_{mvr}a\#ba\$)$
$\vdash_{\mathcal{M}} (\phi ab\#res_bba\$, \quad \phi areqb\#ba\$)$	$\vdash_{\mathcal{M}} (\phi a\#q_{a2}a\$, \quad \phi res_{mvr}a\#ba\$)$
$\vdash_{\mathcal{M}} (\phi ab\#ack_bba\$, \quad \phi arec_b\#ba\$)$	$\vdash_{\mathcal{M}} (\phi a\#aq_e\$, \quad \phi res_{mvr}a\#ba\$)$
$\vdash_{\mathcal{M}} (\phi ab\#q_r a\$, \quad \phi ares_{del}b\#ba\$)$	$\vdash_{\mathcal{M}} (\text{Accept}, \quad \phi res_{mvr}a\#ba\$)$

□

From Theorem 24 we obtain the following lower bounds for one-way and two-way PCRA systems.

**Corollary 20.**  $NL \subseteq \mathcal{L}(PC\text{-}RL)$  and  $L \subseteq \mathcal{L}(det\text{-}PC\text{-}RL)$ .

### 5.6.2 CSL is an upper bound for PCRA systems

Even in PC systems of restarting automata the working space is linearly restricted, that is, if a system has  $n$  components and the input is of length  $l$ , then the available space is  $l \cdot n$ . Thus, an upper bound for the computational power of PCRA systems is the class of all context-sensitive languages.

**Corollary 21.**  $\mathcal{L}(PC\text{-}RLWW) \subseteq CSL$ .

*Proof.* Let  $\mathcal{M}$  be a PC-RLWW-system of degree  $n$ . An LBA  $P$  that simulates  $\mathcal{M}$  uses one tape with  $n$  tracks. Any local operation of the components can easily be simulated sequentially, and the erased cells of the tape can be marked with a special symbol. The LBA  $P$  works like a product automaton, and the finite control of  $P$  simulates the finite controls of the components. Thus, a communication step in  $\mathcal{M}$  is just a change of state for  $P$ . □

It is one of the most interesting open questions whether the inclusion of Corollary 21 is proper.

### 5.6.3 Systems of shrinking restarting automata

Usually, a rewrite step of a restarting automaton has to be length-reducing. This means that, for each rewrite transition  $(q, v) \in \delta(p, u)$ ,  $|v| < |u|$  must hold. A

*shrinking restarting automaton* is a generalization of the usual restarting automaton, where the rewrite step does not necessarily have to be length-reducing but weight-reducing. Shrinking restarting automata are introduced in [JO05] and considered in more detail in [JO07].

Here, a *weight function*  $\omega$  assigns a positive integer to each symbol of the tape alphabet:

$$\omega : \Gamma \rightarrow \mathbb{N}^+.$$

It is extended to words such that  $\omega(\varepsilon) = 0$  and  $\omega(ax) = \omega(a) + \omega(x)$ , where  $a \in \Gamma$  and  $x \in \Gamma^*$ . Since the sentinels  $\dagger$  and  $\$$  are not allowed to be removed or to appear more than once on the tape, they do not influence the weight of the tape content. Now, a restarting automaton  $M$  is called *shrinking*, if there exists such a weight function  $\omega$  such that, for each rewrite transition  $(q, v) \in \delta(p, u)$  of  $M$ ,  $\omega(v) < \omega(u)$ . Weight functions that satisfy this condition are called compatible with  $M$ . Moreover, let  $\#$  be a new tape symbol,  $\# \notin \Gamma$ . Then we define a homomorphism  $r_\omega : \Gamma \rightarrow (\Gamma \cup \{\#\})^*$  by  $r_\omega(a) = a\#\omega(a)^{-1}$  for all  $a \in \Gamma$  and extend it to words by  $r_\omega(\varepsilon) = \varepsilon$  and  $r_\omega(ax) = r_\omega(a)r_\omega(x)$ . It holds that  $|r_\omega(u)| = \omega(u)$  for all  $u \in \Gamma^*$ .

Now, we consider systems of shrinking restarting automata. Let  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  be a system of shrinking restarting automata. The weight functions of the components are denoted by  $\omega^{(i)}$ ,  $1 \leq i \leq n$ , and the corresponding homomorphisms are denoted by  $r_\omega^{(i)}$ . Systems of (deterministic) shrinking restarting automata of type  $X \in \mathcal{T}$  are called of type (det-)PC-sX. The corresponding language classes are denoted by  $\mathcal{L}((\text{det-})\text{PC-sX})$ . The next theorem shows that systems of shrinking restarting automata have the same computational power as systems of length-reducing restarting automata. For this, the methods of the proofs of Theorem 19, Theorem 20, and Lemma 1 of [JO07] are combined.

**Theorem 25.** *For all  $X \in \{\text{RWW}, \text{RRWW}, \text{RLWW}\}$ ,  $\mathcal{L}(\text{det-PC-sX}) = \mathcal{L}(\text{det-PC-X})$  and  $\mathcal{L}(\text{PC-sX}) = \mathcal{L}(\text{PC-X})$ .*

*Proof.* Let  $\mathcal{M} = (M_1, M_2, \dots, M_n)$  be a system of type (det-)PC-sX,  $X \in \{\text{RWW}, \text{RRWW}, \text{RLWW}\}$ , and let  $M_i = (Q_i, \Sigma, \Gamma_i, \dagger, \$, q_i, k_i, \delta_i)$ . Further, let  $\omega^{(1)}, \omega^{(2)}, \dots, \omega^{(n)}$  be weight functions such that  $\omega^{(i)}$  is compatible with  $M_i$ , and let  $\omega_{max}^{(i)} = \max\{\omega^{(i)}(a) \mid a \in \Gamma_i\}$  for all  $1 \leq i \leq n$ . We construct a system

$$\mathcal{M}' = (M_0, M_{1,1}, \dots, M_{1,\omega_{max}^{(1)}+1}, \dots, M_{n,1}, \dots, M_{n,\omega_{max}^{(n)}+1})$$

that behaves as follows. The components  $M_{i,1}, \dots, M_{i,\omega_{max}^{(i)}+1}$  form a subsystem  $\mathcal{M}_i$  for all  $1 \leq i \leq n$  in the same way as we have already seen in the proofs of the closure under homomorphisms (see Theorems 19 and 20). Now,  $\mathcal{M}'$  works in two

phases: translation and simulation. In the first phase,  $M_0$  reads the first symbol  $a \in \Sigma$  of the input directly to the right of the  $\dagger$ -symbol, communicates it to all subsystems  $\mathcal{M}'_1, \dots, \mathcal{M}'_n$ , deletes it, and performs a restart step. The subsystems receive this symbol and translate it into  $r_\omega^{(i)}(a)$ , which they write on their tapes to the right of the previously written translations. Afterwards, the subsystems execute a restart operation and request the next input symbol from  $M_0$ .

When  $M_0$  has processed the whole input, then it sends messages to all subsystems in order to start with the simulation phase. Receiving such a message, subsystem  $\mathcal{M}_i$  simulates the original component  $M_i$ ,  $1 \leq i \leq n$ . For this, the window size of the components of subsystem  $\mathcal{M}_i$  is  $k_i \omega_{max}^{(i)} + 1$ . At the beginning of the simulation phase, the tape content of subsystem  $\mathcal{M}_i$  is  $r_\omega^{(i)}(w)$  for an input word  $w$ . Whenever  $M_i$  reads  $u$  and moves its window one step to the left (right),  $\mathcal{M}_i$  reads  $r_\omega^{(i)}(u)$  and moves the window  $\omega^{(i)}(u)$  steps to the left (right). If  $M_i$  rewrites  $u$  by  $v$ , then  $\mathcal{M}_i$  rewrites  $r_\omega^{(i)}(u)$  by  $r_\omega^{(i)}(v)$ . In the cases that  $M_i$  reads  $u$  and performs a restart, a communication, or an accept step,  $\mathcal{M}_i$  performs the same steps while reading  $r_\omega^{(i)}(u)$ .

Thus,  $\mathcal{M}_i$  accepts input  $w$  in  $\mathcal{M}'$  if and only if  $M_i$  accepts it in  $\mathcal{M}$ . Moreover, if  $\mathcal{M}$  is deterministic, then  $\mathcal{M}'$  is deterministic, too. Finally, since each length reducing restarting automaton is a special case of shrinking restarting automata, it results that  $\mathcal{L}((\text{det-})\text{PC-sX}) = \mathcal{L}((\text{det-})\text{PC-X})$  for all  $X \in \{\text{RWW}, \text{RRWW}, \text{RLWW}\}$ , which completes the proof.  $\square$



## 5.7 Decidability

In this section some typical decision problems are investigated for language classes of PCRA systems. It will be shown that even for weak types of these systems, many of the known problems are undecidable.

In [Har67] Hartmanis used the language of valid computations of Turing machines to show some undecidability results for context-free languages in a quite short way (see also [HU79]). Now, this technique is shortly introduced and used for showing some first undecidability results for PCRA systems.

First, we describe formally the model of the Turing machine and the notation of a valid computation. Since it is well-known that the classes of languages accepted by deterministic and nondeterministic Turing machines coincide, we limit ourselves to the deterministic variant. A deterministic Turing machine  $T$  is a 7-tuple  $T = (Q, \Sigma, \Gamma, \delta, q_0, \square, F)$ , where

- $Q$  is a non-empty finite set of states,
- $\Sigma \subset \Gamma$  is a non-empty finite input alphabet,
- $\Gamma$  is a non-empty finite tape alphabet,
- $q_0 \in Q$  is the initial state with  $q_0 \notin F$ ,
- $\square \in (\Gamma \setminus \Sigma)$  is the blank symbol that indicates an empty cell of the working tape,
- $F \subset Q$  is a set of final states not containing  $q_0$ , and
- $\delta$  is the transition mapping from  $Q \times \Gamma$  into  $Q \times \Gamma \times \{L, N, R\}$ , where  $L$ ,  $N$ , and  $R$  denote the directions for moving the read/write head (left, no move, right).

For later considerations we assume without loss of generality that the sets  $Q$  and  $\Gamma$  are disjoint. A *configuration* of a Turing machine is a string  $uaqbv$ , where  $u, v \in (\Gamma \setminus \{\square\})^*$ ,  $a, b \in \Gamma$ , and  $q \in Q$ . It specifies that the current tape content is  $uabv$ , the current state is  $q$ , and the head is placed on the  $b$  (so that  $b$  is currently read by the head). Since it is known that Turing machines with a one-way infinite tape have the same computational power as Turing machines with a two-way infinite tape, we restrict ourselves to the former one, that is,  $T$  is not allowed to perform a move left step when reading the left-most symbol. Furthermore, we require that  $T$  may not write the blank symbol. If the head is at the left-hand end of the tape, then the configuration is  $\square qbv$ . If it has reached the right-hand end of the already scanned part of the tape, then the configuration is  $uaq\square$ . These

two situations are the only ones, where  $a$  and  $b$  could be the blank symbol, thus, if  $a = \square$  ( $b = \square$ ), then  $u = \varepsilon$  ( $v = \varepsilon$ ).

For an input  $w \in \Sigma^*$ , the *initial configuration* is  $\square q_0 w$ , whenever  $w \neq \varepsilon$ , otherwise, it is  $\square q_0 \square$ . A *final configuration* is of the form  $uqv$ , where either  $u = \square$  or  $u \in (\Gamma \setminus \{\square\})^+$ ,  $q$  is a final state, and either  $v = \square$  or  $v \in (\Gamma \setminus \{\square\})^+$ . A *computation step* of a Turing machine  $T$  is denoted by  $w_1 \vdash_T w_2$ , where  $w_1$  and  $w_2$  are configurations such that one of the following conditions holds for some  $u, v \in (\Gamma \setminus \{\square\})^*$ ,  $a, b \in \Gamma$ ,  $c \in \Gamma \setminus \{\square\}$ , and  $p, q \in Q$ :

1.  $w_1 = uapbv$ ,  $w_2 = uqacv$ ,  $u \neq \varepsilon$ ,  $a \neq \square$ , and  $\delta(p, b) = (q, c, L)$ ;
2.  $w_1 = apbv$ ,  $w_2 = \square qacv$ ,  $a \neq \square$ , and  $\delta(p, b) = (q, c, L)$ ;
3.  $w_1 = uapbv$ ,  $w_2 = uaqcv$ , and  $\delta(p, b) = (q, c, N)$ ;
4.  $w_1 = uapbv$ ,  $w_2 = uacqv$ ,  $a \neq \square$ ,  $v \neq \varepsilon$ , and  $\delta(p, b) = (q, c, R)$ ;
5.  $w_1 = uapb$ ,  $w_2 = uacq\square$ ,  $a \neq \square$ , and  $\delta(p, b) = (q, c, R)$ ;
6.  $w_1 = \square pbv$ ,  $w_2 = cqv$ ,  $v \neq \varepsilon$ , and  $\delta(p, b) = (q, c, R)$ ; or
7.  $w_1 = \square pb$ ,  $w_2 = cq\square$ , and  $\delta(p, b) = (q, c, R)$ .

Observe that according to the first and the second item no move left step is allowed for  $a = \square$ , since the left-hand end of the tape has been reached.

The reflexive and transitive closure of  $\vdash_T$  is denoted by  $\vdash_T^*$ . A sequence of computation steps is called a *computation* of  $T$  and it holds that  $w_1 \vdash_T^* w_2$  if and only if there exists a computation of  $T$  from  $w_1$  to  $w_2$ . Then we can define the language over an alphabet  $\Sigma$  that is accepted by the Turing machine  $T$ :

$$L(T) = \{w \in \Sigma^* \mid \square q_0 w \vdash_T^* w' \text{ (for } w \neq \varepsilon) \text{ or } \square q_0 \square \vdash_T^* w' \text{ (for } w = \varepsilon), \\ \text{where } w' \text{ is a final configuration}\}.$$

A computation that starts with an initial configuration and ends with a final configuration is called a *valid computation*. Based on [Har67] we assume that each valid computation consists of an even number of computation steps. That means that the Turing machine  $T$  is only allowed to accept an input after processing an even number of computation steps. For a later purpose we write a valid computation in the form

$$w_0 \# w_1^R \# w_2 \# w_3^R \# \dots \# w_{r-1}^R \# w_r \#$$

for an even  $r$ , where  $\cdot^R$  is the reversal operation, that is,  $(a_1 a_2 \dots a_n)^R = a_n \dots a_2 a_1$  for any string  $a_1 a_2 \dots a_n$ . Now, the set

$$VC(T) = \{z \mid z \text{ is a valid computation}\} \\ = \{z \mid \exists r > 1 : z = w_0 \# w_1^R \# w_2 \# w_3^R \# \dots \# w_{r-1}^R \# w_r \#, \\ r \text{ is even, and } \forall 0 \leq i < r : w_i \vdash_T w_{i+1}\}$$

is called the language of all valid computations of the Turing machine  $T$ .

**Lemma 9.**  $VC(T) \in \mathcal{L}(\text{det-mon-PC-R}(2))$ . *The language of all valid computations of a Turing machine  $T$  can be accepted by a **det-mon-PC-R**-system with two components and window size 7.*

*Proof.* A PCRA system  $\mathcal{M}$  that accepts the language  $VC(T)$  for a Turing machine  $T$  consists of two components  $M_1$  and  $M_2$ . The first component checks whether, for all configurations  $w_i$  with an even index  $i$ , the configuration  $w_{i+1}$  is a valid successor configuration (that is, whether  $w_i \vdash_T w_{i+1}$  holds). According to the above defined possible forms of a computation step of a Turing machine, the encoding of a valid computation step is as follows (here  $\delta_T$  is the transition mapping of  $T$ ):

1.  $uapbv\#v^Rcaqu^R$  with  $u \neq \varepsilon$ ,  $a \neq \square$ , and  $\delta_T(p, b) = (q, c, L)$ ;
2.  $apbv\#v^Rcaq\square$  with  $a \neq \square$  and  $\delta_T(p, b) = (q, c, L)$ ;
3.  $uapbv\#v^Rcqau^R$  with  $\delta_T(p, b) = (q, c, N)$ ;
4.  $uapbv\#v^Rqcau^R$  with  $a \neq \square$ ,  $v \neq \varepsilon$ , and  $\delta_T(p, b) = (q, c, R)$ ;
5.  $uapb\#\square qcau^R$  with  $a \neq \square$  and  $\delta_T(p, b) = (q, c, R)$ ;
6.  $\square pbv\#v^Rqc$  with  $v \neq \varepsilon$  and  $\delta_T(p, b) = (q, c, R)$ ; or
7.  $\square pb\#\square qc$  with  $\delta_T(p, b) = (q, c, R)$ .

Whether  $w_i \vdash_T w_{i+1}$ ,  $w_i\#w_{i+1}^R$  respectively, holds for two configurations  $w_i$  and  $w_{i+1}$ , can be checked quite similar like testing whether a word is included in the language  $\{w\#w^R \mid w \in \{a, b\}^*\}$ , which is known to be deterministic context-free, and hence, which is accepted by a **det-mon-R**-automaton (see [Ott06]). First, the window moves right until a free  $\#$ -symbol appears in the middle of the window. ‘Free’ means that the symbol positioned directly to the left of  $\#$  is not  $\phi$  or  $\#$  and the symbol to the right of  $\#$  is not  $\$$ . Then the component checks whether the string to the left of  $\#$  is of the form  $apb$  and in the affirmative whether the string to the right of  $\#$  matches with a corresponding transition of  $T$ . To check this in one step, a window of size 7 is needed: three symbols to the left of  $\#$ , the  $\#$  itself, and three symbols to the right of  $\#$ . If there exists no transition that causes this computation step, then  $M_1$  halts and rejects. If the string to the left of  $\#$  is not of the form  $apb$ , the component checks whether the symbols positioned directly before and behind the  $\#$  are identical working tape symbols of  $T$ . If one of the two described cases holds, the component replaces the checked string with  $\#$  and restarts, otherwise it halts and rejects. This is repeated until only  $\#$  is left from  $w_i\#w_{i+1}^R$ . If  $w_0\#w_1^R$ ,  $w_2\#w_3^R$ ,  $\dots$ ,  $w_i\#w_{i+1}^R$  are encodings of valid

computation steps of  $T$ , then the content of  $M_1$ 's tape now begins with  $\clubsuit \#^{i+2}$ , and the remaining computation steps are processed in the same manner until there is no free  $\#$ -symbol left.

The second component  $M_2$  works similar to  $M_1$  except that it checks for all configurations  $w_j$  with an odd index  $j$  if  $w_{j+1}$  is a valid successor configuration. Therefore,  $M_2$  always reads over the first configuration  $w_0$  in each cycle to move to the second free  $\#$ -symbol, and thereby it checks whether  $w_0$  is an initial configuration of  $T$ , that is, if  $w_0 = \square q_0 \square$  or  $w_0 = \square q_0 v$  for a  $v \in \Sigma^+$ . If it is not, then  $M_2$  halts.

Together,  $M_1$  and  $M_2$  verify each computation step of the given input. If this was successful, it remains to check whether the last configuration  $w_r$  is a final configuration of  $T$ . We assumed that each valid computation of  $T$  consists of an even number of computation steps. Thus,  $\clubsuit \#^r w_r \#$  remains on the tape of  $M_1$  after checking the computation steps, and while searching for the next applicable  $\#$ ,  $M_1$  reaches the end of the tape, where it reads  $a \#$  for a symbol  $a$  not equal to  $\#$ . While  $a$  is not a state of  $T$  and not equal to  $\#$ ,  $a \#$  is replaced by  $\#$  followed by a restart. If  $q \#$  is reached for some final state  $q$ , then a communication between both components takes place. Reading some non-final state or the  $\#$ -symbol, then  $M_1$  gets stuck. The component  $M_2$  changes into a corresponding communication state if it reads  $\# \#$  at the end of the tape after checking all computation steps.

Hence, if the input string is a valid computation of  $T$ , then both components reach the according communication states, the communication can be resolved, and  $M_1$ , and therefore the whole system  $\mathcal{M}$ , accepts. Since  $T$  is a deterministic Turing machine,  $M_1$  and  $M_2$ , and hence the system  $\mathcal{M}$ , are deterministic.

At last there are several reasons why an input is not a valid computation of  $T$ , e.g.: 1) the  $\#$ -symbol is not used in a correct way (no free  $\#$ ), 2) a  $w_i$  is not a valid configuration for some  $i$  (including no or more than one states), 3)  $w_i \# w_{i+1}^R$  is not a valid computation step, or 4) the first and the last configuration are not an initial configuration and a final configuration, respectively. Then, depending on the location where the error appears,  $M_1$  or  $M_2$  gets stuck during the computation, the final communication does not happen,  $M_1$  does not reach the accepting configuration, and  $\mathcal{M}$  rejects the input.  $\square$

It follows from Theorem 15 that the complement of  $VC(T)$ , namely  $\overline{VC(T)}$ , is also accepted by a *det-mon-PC-R*-system. Moreover, we can easily argue that only two components suffice for this, too.

**Corollary 22.**  $\overline{VC(T)} \in \mathcal{L}(\text{det-mon-PC-R}(2))$ .

*Proof.* To construct a  $\text{det-mon-PC-R}(2)$ -system  $\mathcal{M}' = (M'_1, M'_2)$  that accepts  $\overline{VC(T)}$  we modify the system  $\mathcal{M} = (M_1, M_2)$  that accepts  $VC(T)$  from the proof of Lemma 9 as follows: 1) delete any transition of  $M_1$  that maps into  $\text{Accept}$  ( $M_2$  does not have such transitions), 2) add accepting transitions to  $M_1$  and  $M_2$  for each non-defined situation except those situations, where  $M_2$  is in a communication state. Thus, if  $\mathcal{M}$  accepts, then  $M_1$  accepts, and therefore,  $M'_1$ ,  $M'_2$ , and  $\mathcal{M}'$  do not accept. If  $\mathcal{M}$  does not accept, then  $M_1$  or  $M_2$  (or both) do not reach the final communication, because they got stuck somewhere. Hence,  $M'_1$  or  $M'_2$ , and therefore  $\mathcal{M}'$ , accept. In particular,  $\mathcal{M}$  and therefore  $\mathcal{M}'$  cannot reach a communication loop (at most one communication can be executed) or a loop in their local computation (no MVL or SCO steps are used). All in all,  $\mathcal{M}'$  accepts  $\overline{VC(T)}$ , and thus,  $\overline{VC(T)} \in \mathcal{L}(\text{det-mon-PC-R}(2))$ .  $\square$

Now we can use the languages  $VC(T)$ ,  $\overline{VC(T)}$ , and the fact that they are accepted by  $\text{det-mon-PC-R}(2)$ -systems to prove some undecidability results for the language class  $\mathcal{L}(\text{det-mon-PC-R})$ .

**Theorem 26 (Undecidabilities).** *Let  $\mathcal{M}_1$  and  $\mathcal{M}_2$  be two arbitrary  $\text{det-mon-PC-R}$ -systems with input alphabet  $\Sigma$ , and let  $R$  be a regular language. Then, the following problems are undecidable:*

1.  $L(\mathcal{M}_1) = \emptyset$ ?
2.  $L(\mathcal{M}_1) = \Sigma^*$ ?
3.  $L(\mathcal{M}_1) = L(\mathcal{M}_2)$ ?
4.  $L(\mathcal{M}_1) \subseteq L(\mathcal{M}_2)$ ?
5.  $R = L(\mathcal{M}_1)$ ?
6.  $R \subseteq L(\mathcal{M}_1)$ ?
7. Is  $L(\mathcal{M}_1)$  finite?

*Proof.* Let  $T = (Q, \Sigma_T, \Gamma, \delta, q_0, B, F)$  be an arbitrary (deterministic) Turing machine. Then  $L(T)$  is empty for a Turing machine  $T$  if and only if there exists no valid computation for  $T$ , that is,

$$L(T) = \emptyset \quad \Leftrightarrow \quad VC(T) = \emptyset \quad \Leftrightarrow \quad \overline{VC(T)} = (Q \dot{\cup} \Gamma \dot{\cup} \{\#\})^*.$$

1. The first result follows immediately from the well-known fact that the emptiness problem for recursively enumerable languages is undecidable.

2. Assume that this problem is decidable. Then it could be decided whether, for a system  $\mathcal{M}$  with  $L(\mathcal{M}) = \overline{VC(T)}$ ,  $L(\mathcal{M}) = \Sigma^*$  or not. Because of the equivalences above, the emptiness problem for Turing machines could then be decided. This is a contradiction, since the emptiness problem is not decidable for Turing machines.
3. Assume that this is decidable. Then we construct the system  $\mathcal{M}_2$  so that  $L(\mathcal{M}_2) = \emptyset$  and decide whether  $L(\mathcal{M}_1) = L(\mathcal{M}_2) = \emptyset$ . This contradicts 1.
4. If this was decidable, then we could decide whether both  $L(\mathcal{M}_1) \subseteq L(\mathcal{M}_2)$  and  $L(\mathcal{M}_2) \subseteq L(\mathcal{M}_1)$  hold. Thus, we could decide  $L(\mathcal{M}_1) = L(\mathcal{M}_2)$  which contradicts 3.
5. Take  $R = \emptyset$  and prove this by reduction from 1.
6. Take  $R = \Sigma^*$  and prove this by reduction from 2.
7. Let this be decidable. Then it would in particular be decidable whether  $VC(T)$  is finite for an arbitrary deterministic Turing machine  $T$ . Since for every word  $w \in L(T)$  there exists exactly one computation of a deterministic Turing machine, it holds that  $VC(T)$  is finite if and only if  $L(T)$  is finite. Thus, the question of whether a language accepted by an arbitrary deterministic Turing machine is finite, would be decidable. This is a contradiction, as it is well-known that it is undecidable whether a language accepted by a (deterministic) Turing machine is finite or not. Hence, this problem cannot be decidable for **det-mon-PC-R**-systems, either.  $\square$

Observe that all the questions of Theorem 26 are not even semi-decidable, since the emptiness problem is known to be not semi-decidable for Turing machines.

**Corollary 23.** *Theorem 26 also holds for (det-)(mon-)PC-R(R)(W)(W)-systems.*

*Proof.*  $\mathcal{L}(\text{det-mon-PC-R})$  is a subset of all the language classes characterized by these systems. Hence, Lemma 9 and with this Theorem 26 as well can be directly applied to (det-)(mon-)PC-R(R)(W)(W)-systems.  $\square$

Another important decision question is the membership problem. For any given PC-RLWW-system  $\mathcal{M}$  and any input word  $w$ , the question ‘Does  $\mathcal{M}$  accept  $w$ ?’ should be answered with yes or no. Although we know that the space used by our PCRA systems is linearly bounded, and this implies an exponential time-bound [Pap94], we give a more detailed proof for the decidability of the word problem in exponential time, using the same typical combinatorial argument as for linear bounded automata (see e.g. [Sip06]).

**Theorem 27 (Membership problem).** *Let  $\mathcal{M}$  be an arbitrary PC-RLWW-system and  $w$  an input word. Then it is decidable in exponential time whether  $w$  is in  $L(\mathcal{M})$  or not.*

*Proof.* Here we can use a combinatorial argument. For  $s = |Q|$  states,  $t = |\Gamma|$  tape symbols, and a length  $l$  of the tape content excluding  $\phi$  and  $\$$ , there exist  $s \cdot t^l \cdot (l + 2)$  different configurations a component can be in. Concerning the shortening of the tape, there are at most

$$r = \sum_{i=0}^l (s \cdot t^i \cdot (i + 2))$$

different configurations a component can reach during a computation. If we assume that  $s$  and  $t$  are the maximal numbers of states and tape symbols over all components, then there exist at most  $r^n$  different configurations for a computation of a system of degree  $n$ . Therefore, each computation

$$K_0 \vdash_{\mathcal{M}}^* K$$

that is longer than  $r^n - 1$  steps must contain a loop, where at least one configuration is passed twice:

$$K_0 \vdash_{\mathcal{M}}^* K' \vdash_{\mathcal{M}}^* K' \vdash_{\mathcal{M}}^* K.$$

But in this case there exists a computation without the loop and hence shorter than  $r^n$ . So, for each word  $w \in L(\mathcal{M})$ , there exists an accepting computation with at most  $r^n - 1$  computation steps. To decide whether  $\mathcal{M}$  accepts the input, all (finitely many) possible computations that are shorter than  $r^n$  can be tested.  $\square$

From [JLNO04] we know that there exists a single RWW-automaton accepting the NP-complete language  $L_{3SAT}$ . Therefore, we can conclude the following: if the membership problem for R(R)WW-automata is solvable in polynomial time, then the equality „P=NP“ holds, which is still an open problem. Thus, it seems improbable that this decision problem can be solved in polynomial time for PC-R(R)WW-systems and PC-RLWW-systems.

**Theorem 28 (Membership problem for deterministic systems).** *Let  $\mathcal{M}$  be an arbitrary det-PC-RLWW-system and  $w$  an input word. Then, it is decidable in quadratic time whether  $w$  is in  $L(\mathcal{M})$  or not.*

*Proof.* A computation of a single deterministic<sup>7</sup> one-way restarting automaton without SCO transitions takes at most about  $l^2$  steps for an input  $w$  of length  $l$ .

<sup>7</sup>This holds as well for a shortest accepting computation of a single nondeterministic automaton.

Due to the length reducing property in each cycle at least one symbol has to be deleted, and thus, a computation can include at most  $l$  cycles. When  $\clubsuit a_1 a_2 \dots a_r \heartsuit$  is the content of the tape, then the maximal length of a cycle is  $r + 2$  steps:

$$\begin{array}{ccccccc} \downarrow & & \downarrow & & \downarrow & & \downarrow \\ \clubsuit a_1 a_2 \dots a_r \heartsuit & \xrightarrow[\text{MVR-steps}]{\text{after } r} & \clubsuit a_1 a_2 \dots a_r \heartsuit & \xrightarrow{\text{rewrite}} & \clubsuit a_1 a_2 \dots a_{r-1} \heartsuit & \xrightarrow{\text{restart}} & \clubsuit a_1 a_2 \dots a_{r-1} \heartsuit \end{array}$$

The downward arrows mark the positions of the window. Of course, the rewrite step has not to be performed at the end of the tape, but could be done anywhere on the tape as long as the  $\clubsuit$ -symbol and the  $\heartsuit$ -symbol are not removed. Since we are interested in the longest possible accepting computation for a given input word, we assume that only one symbol is removed in the rewrite step, although in general  $k$  symbols can be removed with a window of size  $k$ . If  $r = 0$  ( $\clubsuit \heartsuit$  is the tape content), then this is a tail of the computation, and at most two steps can be done, one MVR step and an accepting step. All in all for the length  $s$  of any computation of a single one-way restarting automaton without SCO transitions it holds that

$$s \leq \sum_{r=0}^l (r + 2) = 2(l + 1) + \sum_{r=1}^l r = \frac{l^2 + 5l + 4}{2}.$$

For deterministic one-way restarting automata with SCO transitions and for deterministic two-way restarting automata, there exist  $|Q| \cdot (r + 2)$  many different configurations for a set of states  $Q$  and a tape content of length  $r$  (excluding  $\clubsuit$  and  $\heartsuit$ ). Thus, in each cycle the automaton can execute at most  $|Q| \cdot (r + 2) - 1$  computation steps without reaching a loop. Moreover, the restart step has to be added. For the whole computation of the automaton we then have

$$s \leq \sum_{r=0}^l |Q|(r + 2) = |Q| \frac{l^2 + 5l + 4}{2}.$$

With this inequation we also have an upper bound for the maximum number of different configurations that can be reached by a component within a system. In the further considerations we are interested in the largest such  $s$  over all components, that is,

$$s \leq \left( \max_{1 \leq i \leq n} |Q_i| \right) \frac{l^2 + 5l + 4}{2},$$

where  $Q_i$  is the set of states of the  $i$ -th component. Moreover, the length of an accepting computation of the system is the length of the shortest accepting computation of any component. Indeed, this length is not only determined by the number of local computation steps but also by the number of communication steps. Observe that the length of the computation of a component can be longer than the number of different configurations it can reach. This stems from the fact



that a component may have to wait in a communication situation, where it keeps the current configuration, while the computation of the system goes on. Thus, besides the number of local computation steps, we have to consider two more factors due to communication: 1) the degree of parallelism and 2) the number of communication steps.

The degree of parallelism can be seen as a measure for the number of local computation steps that are executed in parallel. We would expect that a high degree of parallelism results in a shorter computation of the system than a lower degree of parallelism and a more sequential computation. In fact, the degree of parallelism is closely related to the number of waiting steps of the components (computation steps of the form  $\kappa \vdash \kappa$ ). Consider the following two extremes. If no communication takes place during the whole computation, then all components work in parallel, and the length of the computation of the system is at most  $s$ . On the other hand, if the communication leads to a highly sequential computation, that is, there is only one working component at a point of time, and all other components are waiting for communication answers, then the length of the computation is at most  $n \cdot s$  plus the number of communication steps, where  $n$  is the degree of the system.

The number of different configurations containing a communication state is  $|\text{COM}(M)|$  for a component  $M$  with a fixed window content and window position. If we fix the content of all tapes and the positions of all windows, then we obtain

$$t = |\text{COM}(M_1)| \cdot |\text{COM}(M_2)| \cdot \dots \cdot |\text{COM}(M_n)| = \prod_{i=1}^n |\text{COM}(M_i)|$$

different system configurations containing only communication states. Thus, we know that if a system executes more than  $t$  communication steps without any local step of any component in between, then the system reaches a previous configuration twice, and therefore, it is in a communication loop. Hence, after at most  $t$  communication steps at least one local computation step must follow in each accepting computation.

To summarize, the length of an accepting computation of a **det-PC-RLWW**-system of degree  $n$  for a given input word of length  $l$  is at most  $t \cdot n \cdot s \in \mathcal{O}(l^2)$ . To decide whether a deterministic system accepts a particular input or not, the system only has to be simulated on the input for at most  $t \cdot n \cdot s$  computation steps. If the system has not halted, then it is either in a communication loop or in a loop of a local computation caused by SCO steps or the combination of MVR and MVL steps. In this case it does not accept the input.  $\square$



## 6 CONCLUSIONS

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The aim of this thesis was to combine the model of the restarting automaton with the notion of parallel communicating systems and to investigate various properties of the resulting systems, e.g. properties of the communication structure, closure properties, computational power, and decidability questions. For this, parallel communicating restarting automata systems were defined, and several examples were given. Then, it was shown that centralized systems are as powerful as non-centralized systems for each type of restarting automaton as components. This result differs from those for other parallel communicating automata and grammar systems, where centralization decreases the computational power in various cases. Afterwards, the nonforgetting property was considered, and it was shown that this property does not yield an increase in computational power in contrast to the situation for individual restarting automata. Additionally, closure properties of language classes of these systems were established, and it emerged that the considered language classes are somehow robust in the sense that they are closed under most of the usual operations. Three of the language classes, namely  $\mathcal{L}(\text{PC-RWW})$ ,  $\mathcal{L}(\text{PC-RRWW})$ , and  $\mathcal{L}(\text{PC-RLWW})$  are so-called AFLs (abstract families of languages).

Further, the computational power of PCRA systems was investigated and compared with those of individual restarting automata, with those of parallel communicating finite automata systems, with those of one-way and two-way multi-head finite automata, with language classes of the Chomsky-hierarchy, and with other well-known language classes. It turned out that even PCRA systems of the weakest type, i.e. PC-R-systems with two components, are more powerful than the individual automata in the case of restarting automata without auxiliary symbols. Individual restarting automata with auxiliary symbols can be simulated by PCRA systems with two components of the same type without auxiliary symbols. This result was generalized in the following way: each PCRA system with  $n$  components and with auxiliary symbols can be simulated by a PCRA system with  $n + 1$  components and without auxiliary symbols. Moreover, it was shown that systems of PC-R-automata with window size one are strictly more powerful than one-way multi-head finite automata, and thus, than PCFA systems, although the components for themselves have the same computational power, i.e. they accept the regular languages. The comparison with two-way multi-head finite automata leads to the lower bounds  $L$  and  $NL$  for deterministic and nondeterministic PCRA

systems with the weakest two-way components, i.e. RL-automata. An upper bound for all types of PCRA systems is clearly CSL. For systems of shrinking restarting automata it could be shown that they have the same computational power as systems of length-reducing restarting automata.

Then, decidability questions were considered for PCRA systems, and unfortunately, it turned out that most of the interesting problems are undecidable even for systems of two components of the weakest type, i.e. **det-PC-R(2)**-systems: emptiness, universality, finiteness, containment, and equality (even with a regular language). The membership problem is decidable in quadratic time for deterministic systems and in exponential time for nondeterministic systems.

Although interesting results were established in this work, a lot of open questions and approaches for further research are left. For example, we could not find any language that cannot be accepted by a PCRA system. This may result from the fact that already systems with few weak components can accept quite complicated languages that are not even growing context sensitive (like the copy language or the Gladkij language) and not even semi-linear (e.g. exponential language). In this context it would also be interesting to know whether there exist strict hierarchies with respect to the number of components for the different types of PCRA systems or whether there is a fixpoint such that more components do not yield an increase in computational power. Maybe the following language representation can be helpful to show such an infinite hierarchy at least for weak types of PCRA systems: consider alphabets of the form

$$\Sigma_r = \left\{ \left( \begin{array}{c} x_1 \\ x_2 \\ \vdots \\ x_r \end{array} \right) \mid x_i \in \{a, b\} \text{ for all } i = 1, \dots, r \right\}$$

and the Dyck language  $D$ , which consists of all words over the alphabet  $\{a, b\}$  that can be generated by the rules  $S \rightarrow \varepsilon \mid SS \mid aSb$  of a context-free grammar. Now, we define the following languages:

$$L_r = \left\{ \left( \begin{array}{c} w_1 \\ w_2 \\ \vdots \\ w_r \end{array} \right) \in \Sigma_r^* \mid w_i \in D \text{ for all } i = 1, \dots, r \right\},$$

where the concatenation of two vectors is intuitively the componentwise concatenation. The language  $L_r$  can in fact be accepted by a PCRA system of degree  $r$  and of any type, since  $L_r$  is a finite intersection of deterministic context-free

languages for any  $r$ . The assumption is that  $L_r$  cannot be accepted by any PCRA system with  $r - 1$  components at least without auxiliary symbols. Whenever a component begins to try to check whether an entry of the input vector is contained in  $D$ , then it must rewrite some infix  $ab$  (of the corresponding entry of the vector). But then it changes the other entries, and it cannot check anymore whether any of the other vector entries are words of  $D$  or not. Thus, each component can check at most one entry of a vector.

Moreover, the closure of some language classes characterized by PCRA systems under some operations is still open, e.g. product, Kleene closure, positive closure, and non-erasing homomorphisms for deterministic two-way components. We can expect that some more negative results can be achieved in this context when we have more knowledge about languages that cannot be accepted by PCRA systems of a special type.

Another open question is, on the one hand, whether systems of the most general type, i.e. PC-RLWW-systems, are strictly more powerful than the individual automata, and, on the other hand, whether  $\mathcal{L}(\text{PC-RLWW})$  is properly included within the class of context-sensitive languages. Particularly, this is an interesting question, since it is not even known whether  $\mathcal{L}(\text{RLWW})$  is a proper subset of CSL or not.

Comparing **det-PC-R**-systems in which each component has window size one with PCFA systems, the former ones are strictly more powerful than the latter ones, although the individual components (**det-R**-automata with window size one vs. finite automata) have the same computational power, i.e. they recognize the regular languages. Thus, it seems that the communication protocol of PCRA systems is somehow stronger than that of PCFA systems. Therefore, it would be interesting to know what happens if one carries over our communication protocol to systems of finite automata. Surprisingly, we can assume that a nonsynchronized PC system of finite automata, which communicate by request and response states, can be simulated by a multi-head finite automaton and vice versa. Hence, both different communication protocols lead to the same computational power for PC systems of finite automata. For PC systems of pushdown automata a similar communication protocol was applied in [Ott13]. There asynchronous PC systems of pushdown automata are considered that use request symbols as particular pushdown symbols. It turns out that the computational power of these systems differs from that of the original PCPA systems.

Most of the typical decision problems were shown to be undecidable even for the weakest PCRA systems. Hence, it seems reasonable to consider more restricted variants, e.g. systems with a restricted number of communications within a cycle or within the computation, a restricted window size, etc. However, a counter-

argument for this is that some of the typical problems are not even decidable for (still weaker) DRPCFA-systems (that are the weakest PCFA systems). Considering the examples in the context of restricted communication, one can observe that for some languages only a constant number of communications was sufficient (e.g. Gladkij language), while for other languages linearly many communication steps were needed (e.g. copy language).

Moreover, in many proofs the constructed systems consist of more components than the underlying systems. Here the question arises whether the results also hold without increasing the number of components. In this context, results on the previously mentioned hierarchy could be helpful.

Another direction for further investigation is the question of *how* are languages accepted by PCRA systems instead of *what* languages can be accepted. On the one hand, this leads to the research field of derivation languages (also called Szilard languages) that are of importance within linguistic topics. On the other hand, this may give some results about the efficiency of PCRA systems, asking how many computation steps are needed to accept specific languages. Moreover, this can be compared with other formal language devices. Consider, for instance, the copy language with middle marker. A linear bounded automaton needs at least quadratically many computation steps to accept this language (according to the length of the input word), whereas the defined PCRA system only needs linearly many steps.

When defining the PCRA systems, a communication protocol was chosen that differs from those of other PC systems in the sense that there is no implicit synchronisation (no global clock), and the components work absolutely independently of each other between two communication steps. Therefore, these systems are appropriate for distributed and concurrent applications. It should be investigated whether the basic linguistic motivation (analysis by reduction) can be extended in a parallel and distributed manner (e.g. checking several syntactic properties in parallel).

A more theoretical application of PCRA systems is the characterization of relations and the computation of transductions initiated in [HOV11]. One can simply imagine that systems of two components can be modified in a way such that one component contains the input and the other component contains the output. Now, the system accepts if and only if the pair of input and output belongs to a specified relation. Of course, this idea can be extended to systems that deal with  $k$ -ary relations instead of only binary relations by using  $k$  components.

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